# DEBUGGING: STRUCTURED DEBUGGING

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#### **Cause of a Failure**

The cause of any event ("effect") is a preceding event without which the effect would not have occurred.

to prove causality, one must show that

the effect occurs when the cause occurs

the effect does not occur when the cause does not.

advantages in programming

- programs are (high-level) abstractions of reality
- program runs are usually repeatable
- testing can be automated

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# **Debugging: Ad-Hoc Approach**

guess the cause of a failure based on

intuition

experience

problems with this approach

- a priori knowledge is necessary
- hardly systematic
- hardly reproducible

hard to teach

challenge: systematically find an explanation for a failure

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# **Debugging: Scientific Method**

process of obtaining a theory that explains some aspects of the universe

#### process outline:

- 1. observe a failure
- 2. establish a hypothesis that is consistent with observations
- 3. make predictions based on the hypothesis
- 4. test the hypothesis by experiments and further observations
  - □ refine hypothesis if experiment satisfy the predictions
  - □ otherwise, create alternative hypothesis
- 5. repeat 3. and 4. until no refinement is possible

```
int main (int argc, char *argv []) {
1 int *a;
 2 int i;
 3 a = (int *)malloc((argc - 1) * sizeof(int));
 4
    for (i = 0; i < argc - 1; i++)
       a[i] = atoi(argv[i + 1]);
 5
    shell_sort(a, argc);
 6 printf("Output: ");
    for (i = 0; i < argc - 1; i++)
 7
       printf("%d ", a[i]);
    printf("\n");
8
9 free(a);
10
    return 0;
}
```

```
int main (int argc, char *argv []) {
1
     int *a:
2 int i;
    a = (int *)malloc((argc - 1) * sizeof(int));
3
4
    for (i = 0; i < argc - 1; i++)
       a[i] = atoi(argv[i + 1]);
                               Preparation:
5
    shell_sort(a, argc);
                                 hypothesis
                                               input "11 14" works
    printf("Output: ");
6
                                 prediction
                                               output is "11 14"
7
     for (i = 0; i < argc - 1;
       printf("%d ", a[i]);
                                 experiment
                                               run with input "11 14"
8
     printf("\n");
                                 observation
                                               output is "0 11"
                                 conclusion
                                               hypothesis rejected
9
    free(a);
10
    return 0:
}
```

```
int main (int argc, char *argv []) {
1
     int *a:
2 int i;
    a = (int *)malloc((argc - 1) * sizeof(int));
3
4
    for (i = 0; i < argc - 1; i++)
       a[i] = atoi(argv[i + 1]);
                               Hypothesis 1:
5
    shell_sort(a, argc);
                                hypothesis
                                              a[0] becomes zero
    printf("Output: ");
6
                                prediction
                                              a[0] = 0 in line 9
7
     for (i = 0; i < argc - 1;
       printf("%d ", a[i]);
                                experiment
                                              observe a [0]
8
     printf("\n");
                                observation
                                              a[0] = 0
                                conclusion
                                              hypothesis confirmed
9
    free(a);
10
    return 0:
}
```

```
int main (int argc, char *argv []) {
1
     int *a:
2
  int i;
    a = (int *)malloc((argc - 1) * sizeof(int));
3
4
     for (i = 0; i < argc - 1;
       a[i] = atoi(argv[i + 1] Hypothesis 2:
5
     shell_sort(a, argc);
                               hypothesis
                                              infection in shell sort
                               prediction
                                              a = [11, 14],
    printf("Output: ");
6
     for (i = 0; i < argc - 1;
                                              size = 2 in line 5
7
       printf("%d ", a[i]);
                               experiment
                                              observe a, size
     printf("\n");
8
                               observation
                                              a = [11, 14, 0],
                                              size = 3
9
    free(a);
10
    return 0:
                               conclusion
                                              hypothesis rejected
}
```

```
int main (int argc, char *argv []) {
1
     int *a:
2 int i;
    a = (int *)malloc((argc - 1) * sizeof(int));
3
4
     for (i = 0; i < argc - 1;
       a[i] = atoi(argv[i + 1] Hypothesis 3:
5
     shell_sort(a, argc);
                               hypothesis
                                              size = 3 causes failure
                                              in shell_sort
    printf("Output: ");
6
                               prediction
                                              if we set size = 2
7
     for (i = 0; i < argc - 1;
       printf("%d ", a[i]);
                                              program works
     printf("\n");
8
                               experiment
                                              set size = 2
                               observation
                                              as predicted
9
    free(a);
10
    return 0:
                               conclusion
                                              hypothesis confirmed
}
```



## **Summary: Scientific Method**



# **Deriving a Hypothesis**

- problem description: without concise description, the problem cannot be solved
- program code: common abstraction across all program runs
- failing run: execute the code and reproduce the problem observe actual facts about the concrete run
- alternate runs: identification of anomalies differences between failing run and passing runs
- earlier hypotheses:
  - □ include passed hypotheses
  - □ exclude failed hypotheses

# **Theories in Debugging**

When the hypothesis explains all experiments and observations, the hypothesis becomes a theory.

a theory is a hypothesis that

- explains earlier observations
- predicts further observations

context of debugging: a theory is called a diagnosis

This contrasts popular usage, where a theory is a vague guess



**basic idea**: (partially) automate the debugging process by interactively querying the user about infection sources

#### approach:

- 1. assume an incorrect result R with origins  $O_1, O_2, \ldots, O_n$
- 2. for each  $O_i$ , enquire whether  $O_i$  is correct
- 3. if some  $O_i$  is incorrect, continue at Step 1 with  $R = O_i$
- 4. otherwise (all  $O_i$  are correct), we found the defect





```
def sort (list):
    if len (list) <= 1:
        return list
    head = list[0]
    tail = list[1:]
    return insert (head, sort(tail))
```



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```
def sort (list):
    if len (list) <= 1:
        return list
    head = list[0]
    tail = list[1:]
    return insert (head, sort(tail))</pre>
```





```
def sort (list):
    if len (list) <= 1:
        return list
    head = list[0]
    tail = list[1:]
    return insert (head, sort(tail))
```



```
def insert (elem, list):
    if len (list) == 0:
        return [elem]
    head = list[0]
    tail = list[1:]
    if elem <= head:
        return list + [elem]
    return [head] + insert (elem, tail)</pre>
```

```
def sort (list):
    if len (list) <= 1:
        return list
    head = list[0]
    tail = list[1:]
    return insert (head, sort(tail))
```



```
def insert (elem, list):
    if len (list) == 0:
        return [elem]
    head = list[0]
    tail = list[1:]
    if elem <= head:
        return list + [elem]
    return [head] + insert (elem, tail)</pre>
```

```
def sort (list):
    if len (list) <= 1:
        return list
    head = list[0]
    tail = list[1:]
    return insert (head, sort(tail))
```



# **Algorithmic Debugging: Critical Discussion**

- drive the search for a deffect in a systematic way guided by human input
- problems on real-world scenarios:
  - □ scalability: number of functions, shared data structures, ...
     ⇒ works best for functional and logical programming languages
  - process is too mechanical: programmer has to assist the tool

 $\Rightarrow$  replace programmer by oracle that knows the external specification of the program



# **Structuring the Debugging Process**

not every problem needs the strength of a the scientific method, but for complex problems it is useful to

- be explicit is important to understand (and find) the problem
  - write down hypotheses and observations in order to know
    - where you are
    - □ where you have been
    - □ where you want to go
    - what you want to get



# **Reasoning about Programs for Debugging**

#### deduction (0 runs)

reason from (abstract) program code to concrete runs  $\Rightarrow$  static analysis

observation (1 run)

inspection of a single program run

- $\Rightarrow$  facts about program execution
- induction (n runs)

reasoning from the particular to the general

- $\Rightarrow$  summary of findings from multiple runs
- experimentation (n controlled runs) refinement and rejection of hypotheses ⇒ scientific method

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