Formal Models #342.251

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http://fmv.jku.at/fm

Version 2014.1

ta 2 2014.1

use automata for modeling, specification and verification

Definition a *finite automaton* $A = (S, I, \Sigma, T, F)$ consists of the following components

- set of states *S* (usually finite)
- set of initial states $I \subseteq S$
- input-alphabet Σ (usually finite as well)
- transition relation $T \subseteq S \times \Sigma \times S$ written $s \xrightarrow{a} s'$ iff $(s, a, s') \in T$ iff T(s, a, s') "holds"
- set of final states $F \subseteq S$

Definition FA *A* accepts a word $w \in \Sigma^*$ iff there exists s_i and a_i with

$$s_0 \xrightarrow{a_1} s_1 \xrightarrow{a_2} s_2 \xrightarrow{a_3} \dots \xrightarrow{a_{n-1}} s_{n-1} \xrightarrow{a_n} s_n,$$

where $n \ge 0$, $s_0 \in I$, $s_n \in F$ and $w = a_1 \cdots a_n$ $(n = 0 \Rightarrow w = \varepsilon)$.

Definition the *language* L(A) of A is the set of words accepted by it

• use regular languages for syntax specification

(e.g. in a scanner / parser)

• use FA or regular languages to specify event streams

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Definition the product automaton $A = A_1 \times A_2$ of two FA A_1 and A_2 over the same alphabet $\Sigma_1 = \Sigma_2$ has the following components:

 $S = S_1 \times S_2 \qquad I = I_1 \times I_2$ $\Sigma = \Sigma_1 = \Sigma_2 \qquad F = F_1 \times F_2$ $T((s_1, s_2), a, (s'_1, s'_2)) \quad \text{iff} \quad T_1(s_1, a, s'_1) \text{ and } T_2(s_2, a, s'_2)$

Theorem let A, A_1 , and A_2 as above, then $L(A) = L(A_1) \cap L(A_2)$

Example construct automaton, which accepts words with prefix *ab* and suffix *ba*. (as regular expression: $a \cdot b \cdot \mathbf{1}^* \cap \mathbf{1}^* \cdot b \cdot a$, where **1** denotes all letters)

Definition for $s \in S$, $a \in \Sigma$ let $s \xrightarrow{a}$ denote the set of successors of *s* defined as

$$s \stackrel{a}{\rightarrow} = \{s' \in S \mid T(s, a, s')\}$$

Definition an FA is *complete* iff |I| > 0 and $|s \xrightarrow{a}| > 0$ for all $s \in S$ and $a \in \Sigma$.

Definition ... *deterministic* iff $|I| \le 1$ and $|s \xrightarrow{a}| \le 1$ for all $s \in S$ and $a \in \Sigma$.

Proposition ... deterministic and complete iff |I| = 1 and $|s \xrightarrow{a}| = 1$ for all $s \in S$, $a \in \Sigma$.

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Definition the *power-automaton* $A = \mathbb{P}(A_1)$ of an FA A_1 consists of the components:

$$S = \mathbb{P}(S_1) \quad (\mathbb{P} = \text{power set}) \qquad I = \{I_1\}$$
$$\Sigma = \Sigma_1 \qquad \qquad F = \{F' \subseteq S_1 \mid F' \cap F_1 \neq \emptyset\}$$
$$T(S', a, S'') \quad \text{iff} \quad S'' = \bigcup_{s \in S'} s \xrightarrow{a}$$

Theorem let *A*, A_1 as above, then $L(A) = L(A_1)$ and *A* is deterministic and complete.

Example: spam-filter based on the white-list "abb", "abba", and "abacus"! (regular expression: "abb" | "abba" | "abacus")

Definition the *complement-automaton* $A = C(A_1)$ of an FA A_1 has the same components as A_1 , except for the set of final states, which is $F = S \setminus F_1$.

Theorem the complement-automaton $A = C(A_1)$ of a deterministic and complete FA A_1 accepts the complement language $L(A) = \overline{L(A_1)} = \Sigma^* \setminus L(A_1)$.

Example: spam-filter based on the black-list "abb", "abba", and "abacus"! (regular expression: "abb" | "abba" | "abacus")

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Idea: replace non-determinism with oracle

Definition the *oracle-automaton* $A = Oracle(A_1)$ of FA A_1 has the following components:

- $S = S_1$
- $I = I_1$
- $\Sigma = \Sigma_1 \times S_1$
- T(s,(a,t),s') iff s' = t and $T_1(s,a,t)$
- $F = F_1$

Proposition $\pi_1(L(Oracle(A_1))) = L(A_1)$ (π_1 projection on first component)

Proposition *Oracle*(A_1) is deterministic iff $|I_1| \le 1$.

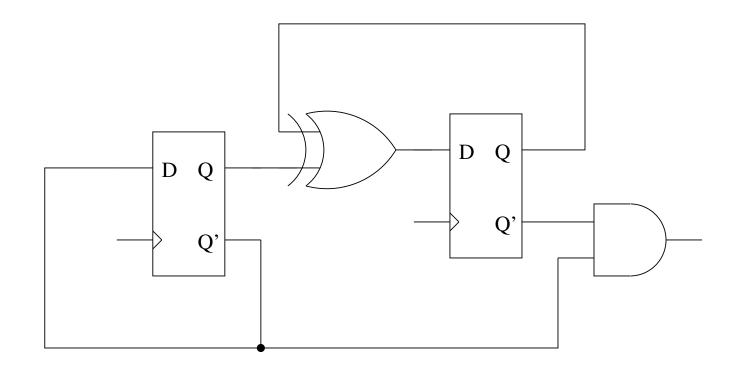
Proposition Oracle(A₁) is almost always incomplete (e.g. $T_1 \neq S_1 \times \Sigma_1 \times S_1$ and $|S_1| > 1$).

Note completeness can be achieved, if A_1 is complete, and if $\{0, ..., n-1\}$ is added to Σ_1 instead of S_1 , where *n* is the maximum number of successors: $n = \max_{s \in S, a \in \Sigma} |s \xrightarrow{a}|$.

$$T(s,(a,i),s')$$
 iff $s'=s_j$, $s \stackrel{a}{\rightarrow} = \{s_0,\ldots,s_{m-1}\}, \quad j \equiv i \mod m$

Exercise construct the oracle automaton for $a \cdot b \cdot \mathbf{1}^* \cap \mathbf{1}^* \cdot b \cdot a$

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implementations of automata have to be deterministic

Definition *I/O-automaton* $A = (S, i, \Sigma, T, \Theta, O)$ consists of:

- a (finite) set of states *S*,
- exactly **one** initial state *i*,
- an input alphabet Σ ,
- a transition function $T: S \times \Sigma \rightarrow S$
- an output alphabet Θ , with

• output function $O: S \times \Sigma \to \Theta$ (Moore machine: $O: S \to \Theta$)

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Let $w \in \Sigma^*$ and $a \in \Sigma$.

Definition interpret *T* as *extended* transition function $T: S \times \Sigma^* \to S$ as follows:

$$s = T(s, \varepsilon)$$
 and $s' = T(s, a \cdot w) \Leftrightarrow \exists s''[s'' = T(s, a) \land s' = T(s'', w)].$

Definition interpret *O* as *extended* output function $O: S \times \Sigma^* \to \Theta^*$ as follows: $O(s, \varepsilon) = \varepsilon$ and $O(s, a \cdot w) = b \cdot w'$, with b = O(s, a), s' = T(s, a) and w' = O(s', w).

Definition the *behavior* $B: \Sigma^* \to \Theta^*$ of an I/O-automaton is defined as B(w) = O(i, w).

Example
$$S = \{0, 1\}, \Sigma = \{a\}, \Theta = \{e, o\},$$

$$T(0, a^{2n}) = 0$$
, $T(0, a^{2n+1}) = 1$, $T(1, a^{2n}) = 1$, $T(1, a^{2n+1}) = 0$

$$B(a^{2n}) = (oe)^n$$
, $B(a^{2n+1}) = (oe)^n o$

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given an I/O-automaton $A = (S, i, \Sigma, T, \Theta, O)$.

Definition the FA for A is defined as $A' = (S, \{i\}, \Sigma \times \Theta, T', S)$ with

$$T'(s, (a, b), s')$$
 iff $s' = T(s, a)$ and $b = O(s, a)$.

Proposition B(w) = w' iff $(w, w') \in L(A')$



(graphically almost no difference)

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let $A = (S, I, \Sigma, T, F)$ be an FA

Definition the I/O-automaton for *A* is defined as $A' = (\mathbb{P}(S), I, \Sigma, T', \{0, 1\}, O)$ with *T'* the transition relation of $\mathbb{P}(A)$ and O(S', a) = 1 iff $S' \cap F \neq \emptyset$.

Proposition $w \in L(A)$ iff $B(w \cdot x) \in \mathbf{1}^{|w|} \cdot 1$ for one $x \in \Sigma$

Conclusion of the comparison of I/O-automata with FA:

in substance both are the same mathematical structure

we concentrate on the more compact and more elegant FA version

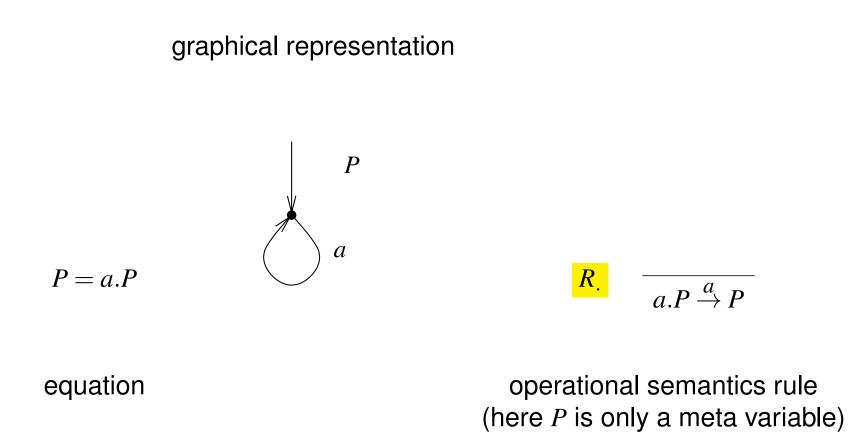
in particular non-determinism is easier to use with FA

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- modeling of *distributed* systems
 - Calculus of Communicating Systems (CCS) [Milner80]
 - Communicating Sequential Processes (CSP) [Hoare85]
 - more specifically: asynchronously communicating processes (protocols / SW)
- synthesis: process algebra (PA) as programming language (e.g. Occam, Lotos)
- verification of (abstract) PA models is simpler
- **theory:** mathematical properties of distributed systems
 - how to compare distributed systems?
 - simulation, bisimulation, observability, divergence $(\Rightarrow model checking course)$

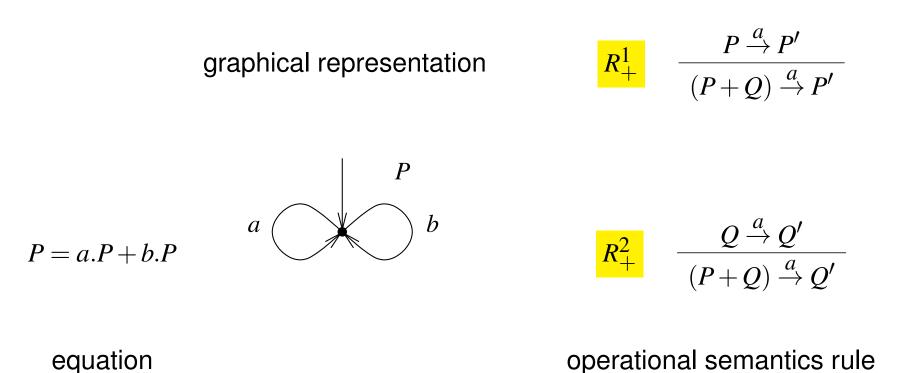
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- right linear grammar = regular language = Chomsky 3 language grammar G: $N = \varepsilon | aM | bM$ M = cN | dN start symbol N \Rightarrow language $L(G) = ((a | b)(c | d))^*$ (as regular expression)
- syntax in PA:
 - same idea: equations of non-terminals = processes
 - concatenation not with juxtaposition but with '.' operator
 - choice represented with '+' operator (not with '|')
- semantics
 - we are only interested in potential sequences = event streams



'.' operator means sequential composition

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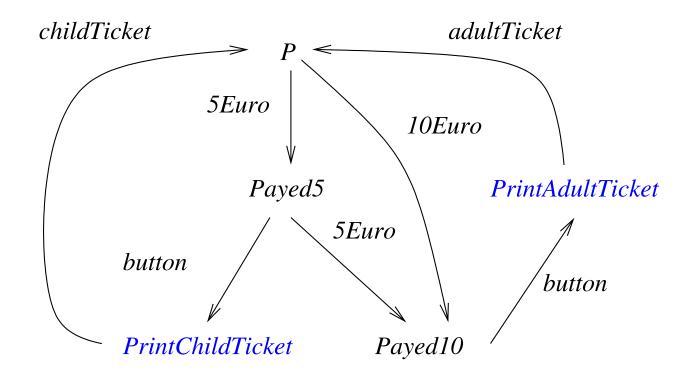


operational semantics rule (here again P, Q are meta variables)

'+' operator means non-deterministic choice

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P = 5Euro.Payed5 + 10Euro.Payed10 Payed5 = button.childTicket.P + 5Euro.Payed10 Payed10 = button.adultTicket.P



pa

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- LTS as operational semantics of PAE
- almost the same as an automaton, but ...
 - no final states: in some sense all states are final
 - only possible event streams matter
- LTS $A = (S, I, \Sigma, T)$ with
 - state set *S*
 - actions Σ
 - transition relation $T \subseteq S \times \Sigma \times S$ defined through operational semantics
 - initial states $I \subseteq S$

- divergent self-cycles
 - P = a.P + P is an **invalid** PAE
 - there are no ϵ -transitions in contrast to FAs

(actions "need time", ε has connotation of not really taking time)

- avoid self-cycles
 - term *T* is **guarded** if *T* only occurs in the form *a*.*T*

(where *a* can be different for all occurrences of *T* of course)

simplest restriction:

process variables on the right hand side (RHS) of an PAE are all guarded

- or more complex: each "cycle" contains at least one action

Data in PA

- actions and states can be parameterized
 - which also gives rise to parameterized equations
- previous example with $x \in \{5, 10\}$:

P = euro(x).Payed(x) Payed(5) = button.print(childTicket).P + euro(5).Payed(10)Payed(10) = button.print(adultTicket).P

• it is possible to operate on data as well:

$$Payed(x) = euro(y).Payed(x+y) + button.ticket(x).P$$

- actually allows modeling of *infinite systems*
- and turns PA into a real programming language

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$$R_{\text{then}}$$
 $\frac{P \xrightarrow{a} P'}{\text{if } B \text{ then } P \text{ else } Q \xrightarrow{a} P'}$ B R_{else} $\frac{Q \xrightarrow{a} Q'}{\text{if } B \text{ then } P \text{ else } Q \xrightarrow{a} Q'}$ $\neg B$

(and similar rules for if-then alone)

Payed(X) = euro(Y).Payed(X+Y) + button.Print(X)Print(X) = if(X = 5) then childTicket.P+ if(X = 10) then adultTicket.P

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synchronization through rendezvous in CSP

$$\Theta \subseteq \Sigma$$

$$\begin{array}{c} R_{||_{\Theta}} & \frac{P \xrightarrow{a} P' \quad Q \xrightarrow{a} Q'}{P \mid_{\Theta} Q \xrightarrow{a} P' \mid_{\Theta} Q'} \quad a \in \Theta & \text{rendezvous} \\ \\ R_{||_{\Theta}}^{1} & \frac{P \xrightarrow{a} P'}{P \mid_{\Theta} Q \xrightarrow{a} P' \mid_{\Theta} Q} \quad a \notin \Theta & \text{interleaving} \\ \\ R_{||_{\Theta}}^{2} & \frac{Q \xrightarrow{a} Q'}{P \mid_{\Theta} Q \xrightarrow{a} P \mid_{\Theta} Q'} \quad a \notin \Theta & \text{interleaving} \end{array}$$

rendezvous does not distinguish sender and receiver

$$\frac{R_{||}}{P || Q \xrightarrow{a} P' ||_{\Theta} Q'}{P || Q \xrightarrow{a} P' || Q'} \quad \Theta = \Sigma(P) \cap \Sigma(Q)$$

 $\Sigma(P)$ is the subset of actions of Σ which occur in *P* syntactically

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Proposition || is commutative: $P || Q \xrightarrow{a} P' || Q'$ iff $Q || P \xrightarrow{a} Q' || P'$

proof follows directly from the rules

Proposition || is associative

proof: Let $P = P_1 || (P_2 || P_3), P' = P'_1 || (P'_2 || P'_3), Q = (P_1 || P_2) || P_3, Q' = (P'_1 || P'_2) || P'_3$

To show: $P \xrightarrow{a} P' \quad \Leftrightarrow \quad Q \xrightarrow{a} Q'$

8 cases of $a \in \Sigma(P_i)$ resp. $a \notin \Sigma(P_i)$ for each direction

intuition:

1. $a \in \Sigma(P_i) \Rightarrow P_i \stackrel{a}{\rightarrow} P'_i$

- 2. P_i with $a \notin \Sigma(P_i)$ does not change $(P'_i = P_i)$
- 3. the sames applies for every "parallel composition" of the P_i

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• "parenthesis" around || can be omitted:

```
P \mid \mid (Q \mid \mid R) verhält sich wie (P \mid \mid Q) \mid \mid R verhält sich wie P \mid \mid Q \mid \mid R
```

• order is irrelevant:

 $P \parallel Q \parallel R$ verhält sich wie $P \parallel R \parallel Q$ verhält sich wie $Q \parallel P \parallel R$ etc.

• parallel composition $\frac{||P_i|}{i \in J}$ of arbitrary processes P_i over an index set J:

$$\frac{\forall P_i, a \in \Sigma(P_i) \quad P_i \xrightarrow{a} P'_i \qquad \forall P_i, a \notin \Sigma(P_i) \quad P'_i = P_i \\ ||P_i \quad \xrightarrow{a} \quad ||P'_i \qquad \exists P_i \quad P_i \xrightarrow{a} P'_i$$

 R_{\parallel}

Hiding

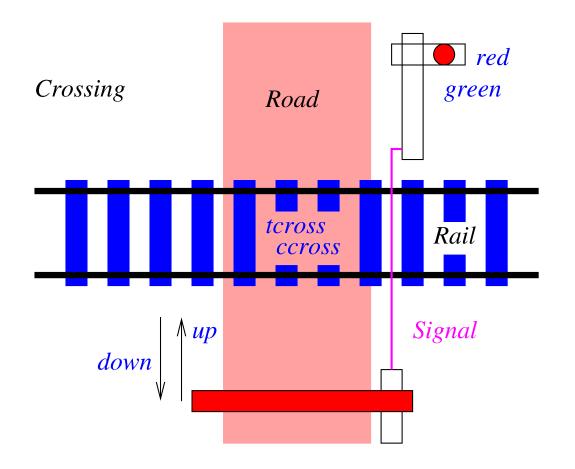
- hiding resp. abstraction of internal, **unobservable** actions
- abstracted to "silent" action $\boldsymbol{\tau}$
 - assumption: $\tau \notin \Sigma$
 - $* \,$ formally consider only $\Sigma \, \dot{\cup} \, \{\tau\}$ as actions
 - $\ast\,$ it is not possible to synchronize on τ
 - $-\tau$ still needs time

• typical usage of internal synchronization $R = (||_{i=1}^{n} Q_i) \setminus \{x_1, \dots, x_n\}$

Railroad Crossing

[BradfieldStirling]

- Road = car.up.ccross.down.Road
 - Rail = train.green.tcross.red.Rail
- Signal = green.red.Signal + up.down.Signal
- $Crossing = (Road || Rail || Signal) \setminus \{green, red, up, down\}$



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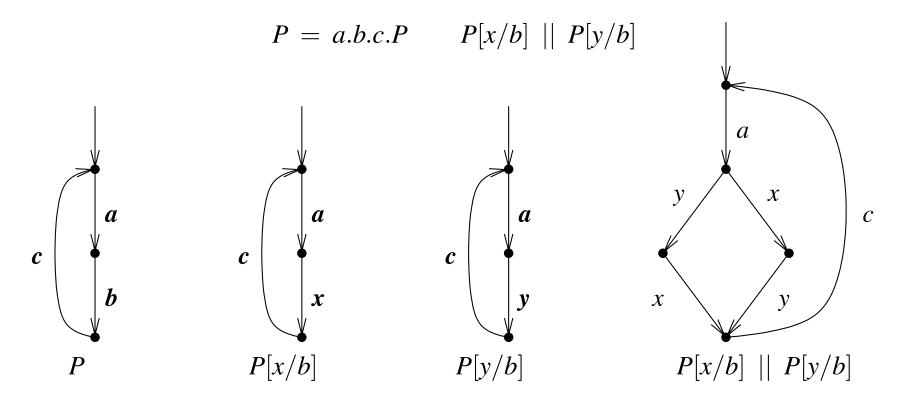
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Linking

Linking as substitution of actions

$$\begin{array}{c|c} P \xrightarrow{a} Q \\ \hline R_{[]} & P[b/a] \xrightarrow{b} Q[b/a] \end{array} \end{array}$$
 Example: $(a.P)[b/a] \xrightarrow{b} P[b/a]$

needed to "link" processes or instantiate templates:



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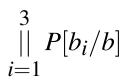
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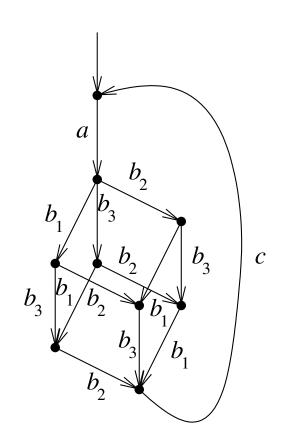
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$$P = a.b.c.P$$





- classical example of process algebra
 - modeling of a round robin scheduler
- scheduling of *n* processes $||P_i|$ with P = a.z.b.P and $P_i = P[a_i/a, z_i/z, b_i/b]$
 - *a* start one run of a process
 - *z* internal action(s)
 - *b* end of one run of a process
- Restrictions:
 - processes are started round robin in the order P_1, P_2, \ldots
 - nothing is about execution order of the $b_i!$

- idea: proxy for each process
- divide scheduler R' in token ring of *n* parallel cyclic processes Q'
- each Q'_i controls start (a_i) and end (b_i) of P_i, \ldots
- ... hands over x_i control to next Q'_{i+1} ...
- and then waits to get control x_{i-1} from previous Q'_{i-1} in ring

$$Q' = a.x.b.y.Q'$$

$$Q'_{1} = Q'[a_{1}/a, x_{1}/x, b_{1}/b, x_{n}/y]$$

$$Q'_{i} = (y.Q')[a_{i}/a, x_{i}/x, b_{i}/b, x_{i-1}/y] \qquad i \in \{2, ..., n\}$$

$$R' = \prod_{i=1}^{n} Q'_{i}$$

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- incorrect solution does **not** accept the legal sequence:
 - ending P_2 before P_1 : $a_1a_2b_2b_1...$
- decouple ending (*b*) and accepting control (*y*)

$$Q = a.x. (b.y + y.b).Q$$

$$Q_{1} = Q[a_{1}/a, x_{1}/x, b_{1}/b, x_{n}/y]$$

$$Q_{i} = (y.Q)[a_{i}/a, x_{i}/x, b_{i}/b, x_{i-1}/y] \quad i \in \{2, ..., n\}$$

$$R = \prod_{i=1}^{n} Q_{i}$$

- implemented by non blocking waiting on two different messages
 - in programming languages: try-locking, multiple threads, select (java.nio), ...
- slightly sloppy alternative notation $b.y+y.b=b \parallel y$ (we do not have a *nil* process)

- actions: $\Sigma \dot{\cup} \overline{\Sigma} \dot{\cup} \{\tau\}$ overlined actions are outputs, otherwise inputs
- different hiding principle (new syntax: double instead of single backslash)

$$\frac{P \xrightarrow{a} Q}{P \setminus \Theta \xrightarrow{a} Q \setminus \Theta} \quad a \notin \Theta \cup \overline{\Theta}$$

• pairwise **explicit** synchronization

$$\frac{R_{|||}}{P_{|||Q}} \quad \frac{P \xrightarrow{a} P' \quad Q \xrightarrow{\overline{a}} Q'}{P_{|||Q} \xrightarrow{\overline{z}} P'_{|||Q'}} \quad a \in \Sigma \cup \overline{\Sigma}$$

$$\frac{R_{|||}^{1}}{P_{|||Q} \xrightarrow{a} P'_{|||Q}} \quad \frac{R_{|||}^{2}}{P_{|||Q} \xrightarrow{\overline{z}} P'_{|||Q'}}$$



Rail = train.green.tcross.red.Rail

 $Crossing = (Road || Rail || Signal) \setminus \{green, red, up, down\}$

resp. in CCS

 $Road = car.up.\overline{ccross}.\overline{down}.Road$

Rail = train.green.tcross.red.Rail

- $Signal = \overline{green}.red.Signal + \overline{up}.down.Signal$
- $Crossing = (Road ||| Rail ||| Signal) \setminus \{green, red, up, down\}$

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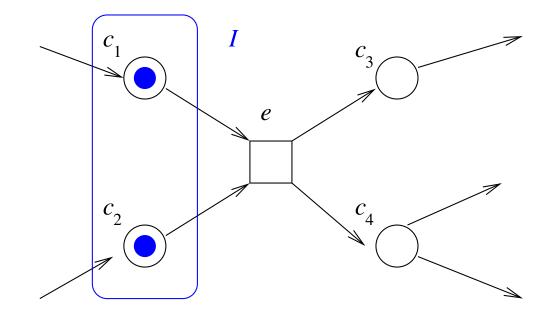
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- originally CSP had channels with data
 - inputs: *channel*? *datain*, outputs: *channel*! *dataout*
- π -calculus after [MilnerParrowWalker]
 - (references to) channels / connections can be used as data as well
 - example: *TimeAnnounce* = *ring*(*caller*).*caller*(*CurrentTime*).*hangup*.*TimeAnnounce*
- probabilistic behavior
 - transitions have a "transition probability"
- timed process algebra
 - transitions need (explicitly specified) time

- beside process algebra the most common modeling language for *distributed* systems
 - investigated since 60ies, now also known as activity diagrams in UML
 - again: asynchronously communicating processes (protocols / SW)
- modeling and verification tools available
- **theory:** many interesting results, vast literature
 - finiteness, deadlock, ...
- extension motivated by practice
 - data, coloring, hierarchy, and again quantitative aspects etc.

Definition

A CEN N = (C, I, E, G) is made of conditions *C*, an initial marking $I \subseteq C$, events *E* and a dependence graph $G \subseteq (C \times E) \stackrel{.}{\cup} (E \times C)$

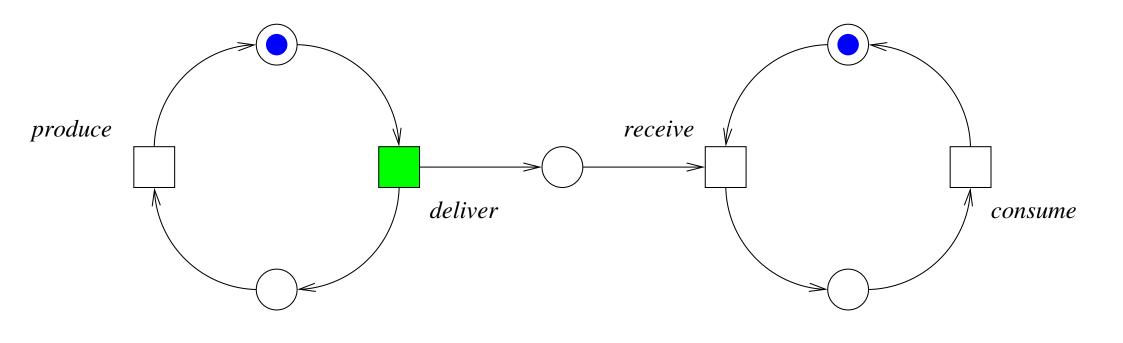


• we also use \rightarrow instead of G

- can be interpreted as *bipartite* graph oder ...
- ... hyper graph with multiple source resp. target edges E

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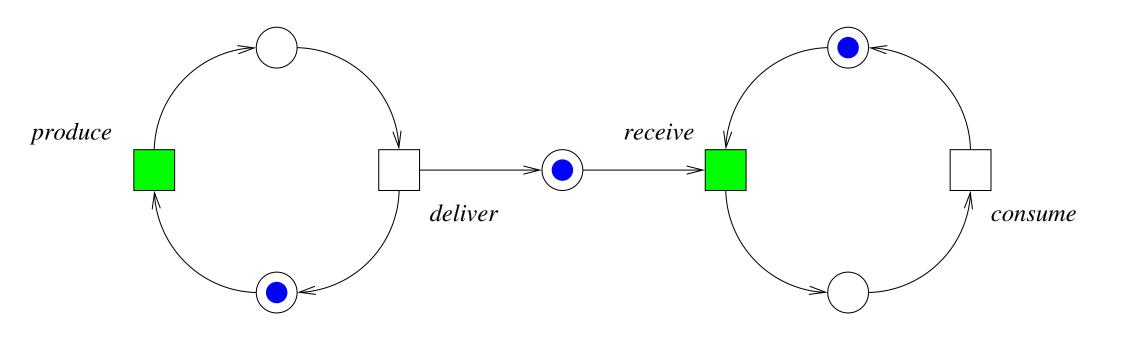
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only one event / transition can fire

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two events / transitions can fire

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produce receive deliver consume

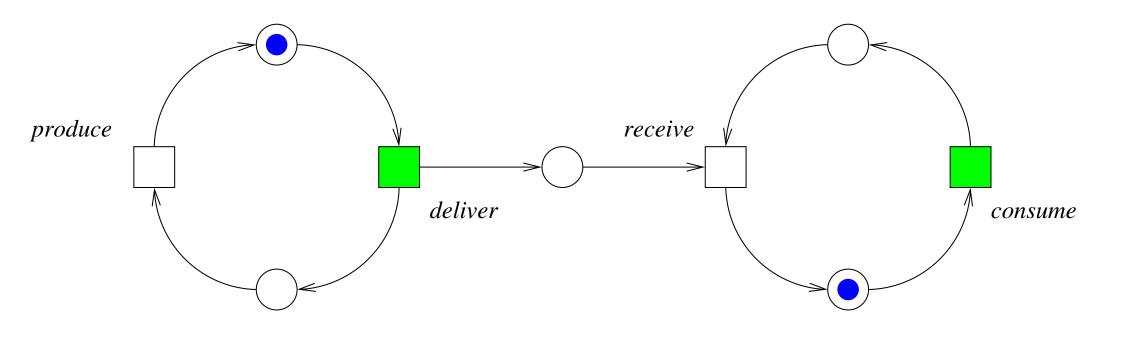
target condition of *deliver* occupied

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again choice of two possible events

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CEN Semantics as LTS

Definition Let CEN N = (C, I, E, G). The LTS $L = (S, \{I\}, \Sigma, T)$ for N is defined as

$$S = \mathbb{P}(C)$$
 $\Sigma = E$

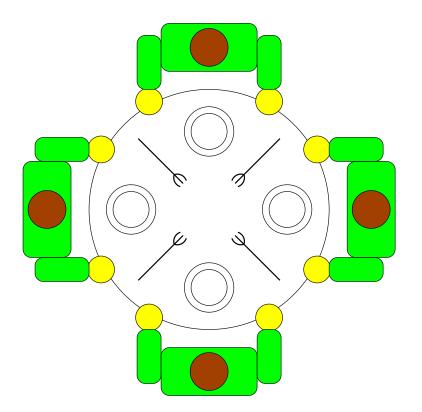
$$\begin{array}{ll} T(C_1,e,C_2) & \text{iff} & G^{-1}(e) \subseteq C_1 & \text{pre-conditions satisfied} & (1) \\ & G(e) \cap C_1 = \emptyset & \text{post-conditions satisfied} & (2) \\ & C_2 = (C_1 \backslash G^{-1}(e)) \, \cup \, G(e) & \text{state update} \end{array}$$

$$G(e) =$$
 post-conditions of event e (or $e \rightarrow$)
 $G^{-1}(e) =$ pre-conditions of event e (or $\rightarrow e$)

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- states $M \in \mathbb{P}(C)$ of the LTS are also called markings of the CEN
- event *e* is **enabled** in *M* iff $M \xrightarrow{e} \neq \emptyset$
- marking $M \in \mathbb{P}(C)$ is a **deadlock** iff
 - *M* is is "dead end" in the reachability graph of the LTS iff
 - no event in *M* is enabled iff
 - all events are disabled iff
 - $\forall e \in E[M \xrightarrow{e} = \emptyset]$
- a CEN has a deadlock iff a deadlock is reachable

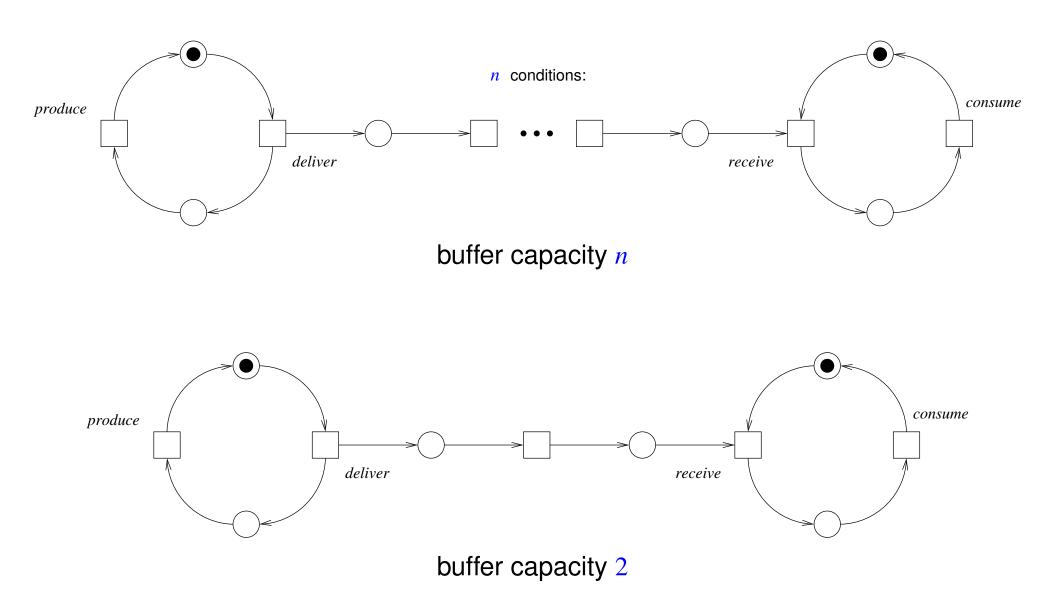
n philosophers, *n* forks, *n* plates



philosophers alternate in thinking and eating they need to pick up and use two forks to eat forks can not be picked up at the same time (atomically)

Capacities

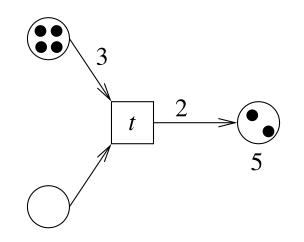
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Place Transition Net (PTN)

Definition A PTN N = (P, I, T, G, C) consists of places P, initial marking $I: P \to \mathbb{N}$, transitions T, connection graph $G \subseteq (P \times T) \stackrel{.}{\cup} (T \times P)$, and capacities $C: P \stackrel{.}{\cup} G \to \mathbb{N}_{\infty}$.

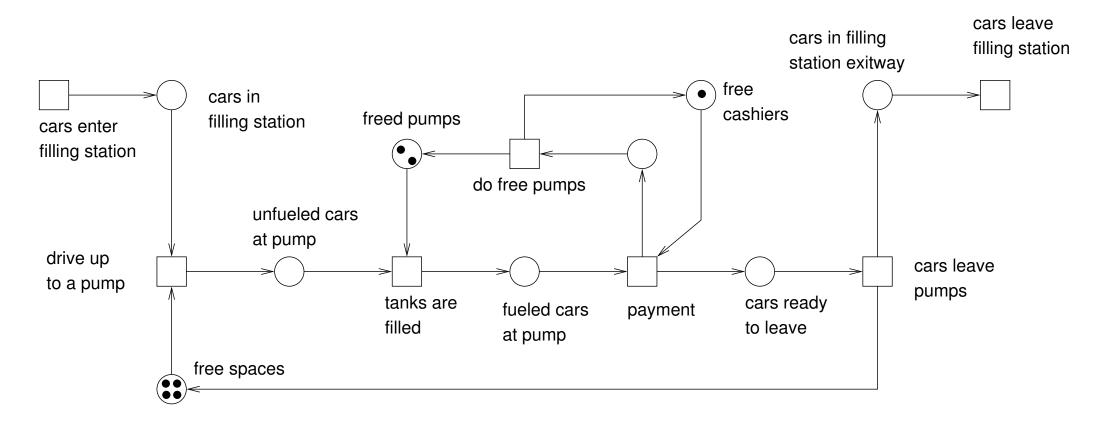


- capacity of a *connection* is finite and is one if not specified explicitly
- capacity of a *place* can be ∞ and is ∞ if not specified explicitly
- CEN can be interpreted as PTN with constant capacity $C \equiv 1$

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Filling Station

from [W. Reisig, A Primer in Petri Net Design, 1992]



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given a PTN N = (P, I, T, G, C)

Definitiontransition $t \in T$ can fire in a state / marking $M: P \to \mathbb{N}$ iff $C((p,t)) \leq M(p)$ for all $p \in G^{-1}(t)$ and $C((t,q)) + M(q) \leq C(q)$ for all $q \in G(t)$.

Definition transition $t \in T$ leads from $M_1: P \to \mathbb{N}$ to $M_2: P \to \mathbb{N}$ iff t can fire in M_1 , and $M_2 = M_1 - M_- + M_+$ with

$$M_{-}(p) = \begin{cases} C((p,t)) & p \in G^{-1}(t) \\ 0 & \text{otherwise} \end{cases} \qquad M_{+}(p) = \begin{cases} C((t,p)) & p \in G(t) \\ 0 & \text{otherwise} \end{cases}$$

Definition the LTS $L = (S, \{I\}, \Sigma, T_L)$ of *N* is defined through

 $S = \mathbb{N}^P$ $\Sigma = T$ and $T_L(M_1, t, M_2)$ iff t leads from M_1 to M_2

Formal Models #342.251 SS 2014

Temporal Logic application in computer science goes back to A. Pnueli

- often used to specify concurrent and reactive systems
- allows to relate properties at different time points
 - "tomorrow the weather is nice"
 - "reactor is not going to overheat"
 - "central locking of a car opens immediately after a crash"
 - "airbag only inflates if a car crash happens"
 - "acknowledge (ack) has to be preceded by a request (req)"
 - "if the elevator is called it will show up eventually"
- granularity of time steps has to be defined

HML is an example for temporal logic over LTS

let Σ be the alphabet of actions

Definition syntax consists of the usual boolean constants $\{0, 1\}$, boolean operators $\{\wedge, \neg, \rightarrow, \ldots\}$ and unary **modal operators** [a] and $\langle a \rangle$ with $a \in \Sigma$.

read [a] f as for all *a*-successors of the current state *f* holds

read $\langle a \rangle f$ as for one *a*-successor of the current state *f* holds

abbreviations $\langle \Theta \rangle f$ denotes $\bigvee_{a \in \Theta} \langle a \rangle f$ resp. $[\Theta] f$ for $\bigwedge_{a \in \Theta} [a] f$

 Θ can also be written as a boolean expression over Σ

e.g.
$$[a \lor b] f \equiv [\{a, b\}] f$$
 oder $\langle \neg a \land \neg b \rangle f \equiv \langle \Sigma \backslash \{a, b\} \rangle f$

Examples Simplified HML

1.	[a] 1	for all <i>a</i> -successor 1 holds (always true)
2.	[a]0	for all <i>a</i> -successor 0 holds (<i>a</i> is not possible)
3.	$\langle a \rangle$ 1	for one <i>a</i> -successor 1 holds (<i>a</i> should be possible)
4.	$\langle a angle 0$	for one a -successor 0 holds (always wrong)
5.	$\langle a angle 1 \wedge [b] 0$	a has to be possible but not b
6.	$\langle a angle 1 \wedge [eg a] 0$	a and only a should be possible
7.	$[a \lor b] \langle a \lor b \rangle$ 1	after a or b again a or b should be possible
8.	$\left\langle a ight angle \left[b ight] \left[b ight] 0$	a should be possible and afterwards b not twice
9.	$[a](\langle a \rangle 1 \rightarrow [a] \langle a \rangle 1)$	if a is possible after a again, then also a second time

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Given LTS $L = (S, I, \Sigma, T)$.

Definition semantics are defined recursively as $s \models f$ (read "*f* holds in *s*"), with $s \in S$ and *f* a simplified HML formula.

$$s \models 1$$

$$s \not\models 0$$

$$s \models [\Theta]g \quad \text{iff} \quad \forall a \in \Theta \forall t \in S: \quad \text{if } s \xrightarrow{a} t \text{ then } t \models g$$

$$s \models \langle \Theta \rangle g \quad \text{iff} \quad \exists a \in \Theta \exists t \in S: \quad s \xrightarrow{a} t \text{ and } t \models g$$

Definition $L \models f$ holds (read "f holds in L") iff $s \models f$ for all $s \in I$

Definition expansion of f is the set of states [[f]] in which f holds.

$$[[f]] = \{s \in S \mid s \models f\}$$

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Let $L = (S, I, \Sigma, T)$ be an LTS.

Definitions A Trace π of *L* is a finite or infinite sequence of states

 $\boldsymbol{\pi} = (s_0, s_1, \ldots)$

For each pair (s_i, s_{i+1}) in π there is an $a \in \Sigma$ with $s_i \xrightarrow{a} s_{i+1}$. Therefore there exist a_0, a_1, \ldots with

$$s_0 \xrightarrow{a_0} s_1 \xrightarrow{a_1} s_2 \xrightarrow{a_2} \dots$$

 $|\pi|$ is the length of π , e.g. $|\pi| = 2$ for $\pi = (s_0, s_1, s_2)$, and $|\pi| = \infty$ for infinite traces.

 $\pi(i)$ is the *i*'th state s_i of π for $i \leq |\pi|$

 $\pi^i = (s_i, s_{i+1}, ...)$ denotes the suffix of π starting with the *i*'th state s_i for $i \leq |\pi|$

Note: if $|\pi| = \infty$ then $|\pi^i| = \infty$ for all $i \in \mathbb{N}$

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first only in combination with HML

Definition CTL/HML syntax based on the syntax of HML and additionally
unary temporal path operators X, F, G and one binary temporal path operator U.
Path operators have to be prefixed with a path-quantifier E or A.

EX f	in one (immediate) successor state f holds	$\equiv \langle \Sigma angle f$
AX f	in all successor states f holds	$\equiv [\Sigma] f$
EF f	in one future f holds eventually	exists finally
$\mathbf{AF}f$	in all possible orders of events f holds eventually	always finally
EGf	in one future f holds all the time	exists globally
AG f	f holds always	always globally
$\mathbf{E}[f \mathbf{U} g]$	potentially f holds until finally g gilt (note g has to hold on this trace eventually)	exists until
$\mathbf{A}[f \mathbf{U} g]$	f always holds until finally g occurs (note g has to hold on all traces eventually)	always until

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 $\neg \mathbf{E} \mathbf{X} f \equiv \mathbf{A} \mathbf{X} \neg f \qquad \neg \langle \mathbf{\Theta} \rangle f \equiv [\mathbf{\Theta}] \neg f \qquad \neg \mathbf{E} \mathbf{F} f \equiv \mathbf{A} \mathbf{G} \neg f \qquad \neg \mathbf{E} \mathbf{G} f \equiv \mathbf{A} \mathbf{F} \neg f$

(De'Morgan for $\mathbf{E}[\cdot \mathbf{U} \cdot]$ requires additional temporal path operator)

 $AG[\neg safe]0$ it is never possible to execute unsafe actions

EF $\langle \neg safe \rangle$ 1 potentially an unsafe action can be executed

- $\neg \mathbf{E}[\neg \langle req \rangle \ \mathbf{I} \ \mathbf{U} \langle ack \rangle \ \mathbf{I}] \quad \text{there is an order of events in which } ack \text{ becomes possible} \\ \text{and } req \text{ was not possible before}$
- $AG[req] AF[\neg ack] 0$ always after req a point is reached,from no other action than ack is possible

CTL/HML allows to combine requirements about states and actions

which is required to express useful facts and unfortunately not very elegant

Let *f* be a CTL/HML formula, *L* an LTS, π a trace of *L*, and *i*, *j* \in \mathbb{N} .

Definition semantics are defined recursively: $s \models f$ (read "*f* holds in *s*")

(only for the new CTL operators here)

$$s \models \mathbf{E}\mathbf{X}f$$
 iff $\exists \pi(0) = s \land \pi(1) \models f$]

 $s \models \mathbf{AX}f$ iff $\forall \pi[\pi(0) = s \Rightarrow \pi(1) \models f]$

$$s \models \mathbf{EF}f$$
 iff $\exists \pi[\pi(0) = s \land \exists i[i \le |\pi| \land \pi(i) \models f]]$

$$s \models \mathbf{AF}f$$
 iff $\forall \pi[\pi(0) = s \Rightarrow \exists i[i \le |\pi| \land \pi(i) \models f]]$

 $s \models \mathbf{EG}f$ iff $\exists \pi[\pi(0) = s \land \forall i[i \le |\pi| \Rightarrow \pi(i) \models f]]$

$$s \models \mathbf{AG}f$$
 iff $\forall \pi[\pi(0) = s \Rightarrow \forall i[i \le |\pi| \Rightarrow \pi(i) \models f]]$

 $s \models \mathbf{E}[f \mathbf{U} g] \quad \text{iff} \quad \exists \pi[\pi(0) = s \land \exists i[i \le |\pi| \land \pi(i) \models g \land \forall j[j < i \Rightarrow \pi(j) \models f]]]$ $s \models \mathbf{A}[f \mathbf{U} g] \quad \text{iff} \quad \forall \pi[\pi(0) = s \Rightarrow \exists i[i \le |\pi| \land \pi(i) \models g \land \forall j[j < i \Rightarrow \pi(j) \models f]]]$

- classical semantic model for temporal logic
- only states, no actions
 - LTS with exactly one action $(|\Sigma| = 1)$
 - additionally annotation of states with atomic propositions
- has its roots in modal logics:
 - different "worlds" from S are connected through \rightarrow resp. T
 - []f iff for all immediate successor worlds f holds
 - $\langle \rangle f$ iff there is an immediate successor world in which f holds

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Let \mathcal{A} be the set of atomic propositions (boolean predicates).

Definition a Kripke structure K = (S, I, T, L) consists of the following components:

- set of states *S*.
- initial states $I \subseteq S$ with $I \neq \emptyset$
- a *total* transition relation $T \subseteq S \times S$ (*T* total iff $\forall s[\exists t[T(s,t)]]$)
- labelling/marking/annotation $\mathcal{L}: S \to \mathbb{P}(\mathcal{A})$.

Labelling maps a state *s* on to the set of atomic propositions that hold in *s*:

$$\mathcal{L}(s) = \{gray, warm, dry\}$$

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LTS as Kripke Structure

Definition the Kripke structure $K = (S_K, I_K, T_K, \mathcal{L})$ for a complete LTS $L = (S_L, I_L, \Sigma, T_L)$ is defined with the following components

$$\mathcal{A} = \Sigma$$
 $S_K = S_L \times \Sigma$ $I_K = I_L \times \Sigma$ $\mathcal{L}: (s, a) \mapsto a$
 $T_K((s, a), (s', a'))$ iff $T_L(s, a, s')$ and a' arbitrary

similar construction as the oracle automaton

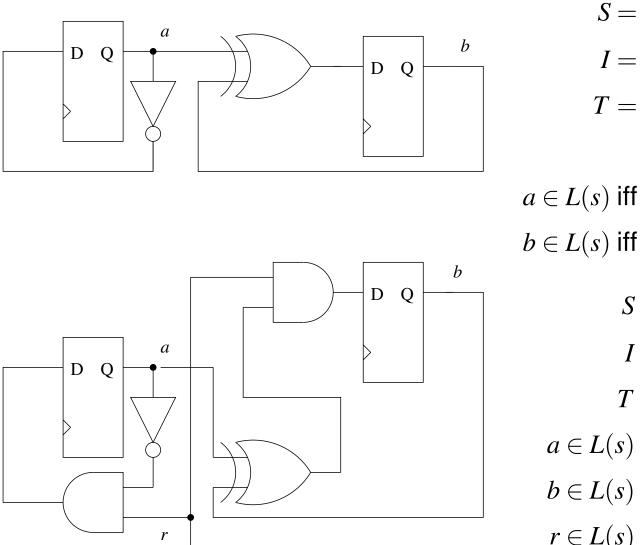
Proposition

$$s_0 \xrightarrow{a_0} s_1 \xrightarrow{a_1} \cdots \xrightarrow{a_{n-1}} s_n$$
 in L
iff
 $(s_0, a_0) \to (s_1, a_1) \cdots \to (s_n, a_n)$ in K

Note often
$$S \subseteq \mathbb{B}^n$$
, $\Sigma = \{a_1, \dots, a_n\}$, and $\mathcal{L}((s_1, \dots, s_n)) = \{a_i \mid s_i = 1\}$

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 $S = \mathbb{B}^{2}$ $I = \mathbb{B}^{2}$ $T = \{((0,0), (0,1)), ((0,1)), ((0,1), ((0,1)), ((0,1$

 $S = \mathbb{B}^{3}$ $I = \mathbb{B}^{3}$ $T = \dots$ $a \in L(s) \text{ iff } s \in \{(-, -, 1)\}$ $b \in L(s) \text{ iff } s \in \{(-, 1, -)\}$ $r \in L(s) \text{ iff } s \in \{(1, -, -)\}$

we assume that circuits abstracted to netlists do not have an initial state

classical version of CTL on Kripke structures

Definition CTL syntax contains all $p \in \mathcal{A}$, all boolean operators $\land, \neg, \lor, \rightarrow, \ldots$ and the temporal operators **EX**, **AX**, **EF**, **AF**, **EG**, **AG**, **E**[·**U**·] and **A**[·**U**·].

Definition CTL semantics over a Kripke structure K = (S, I, T, L) are defined recursively as for CTL/HML, except for the base case in which $s \models p$ iff $p \in L(s)$.

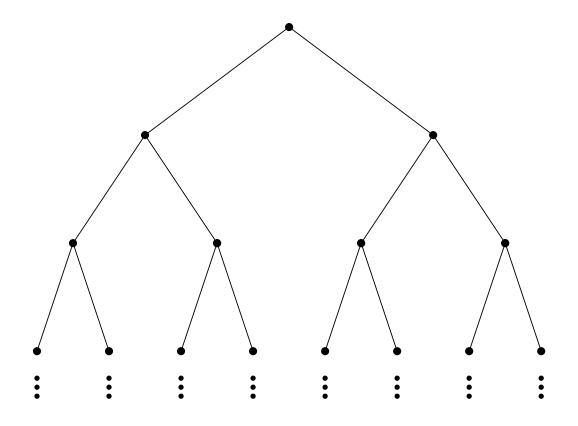
Examples for	$\mathbf{AG}(\overline{r} \to \mathbf{AX}(\overline{a} \wedge \overline{b}))$		
2-Bit counter with reset	$\mathbf{AG}\ \mathbf{EX}(\overline{a}\wedge\overline{b})$		
With reset	$\mathbf{AG} \ \mathbf{EF}(\overline{a} \wedge \overline{b})$		
	$\mathbf{AG} \mathbf{AF}(\overline{a} \wedge \overline{b})$	infinitely often	$\overline{a}\wedge\overline{b}$
	$\mathbf{AG}(\overline{a} \wedge \overline{b} \wedge r \to \mathbf{AX} \mathbf{A}[(a \lor b) \mathbf{U} (\overline{a} \wedge \overline{b})])$		
	$(\mathbf{AG} r) \rightarrow \mathbf{AF}(a \wedge b)$		

Definition f holds in K written $K \models f$ iff $s \models f$ for all $s \in I$

generic definition

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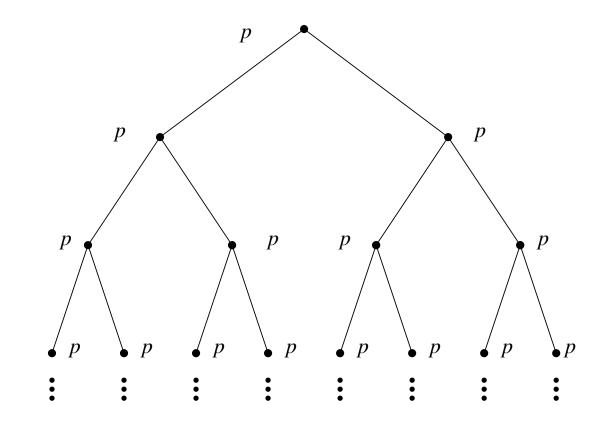


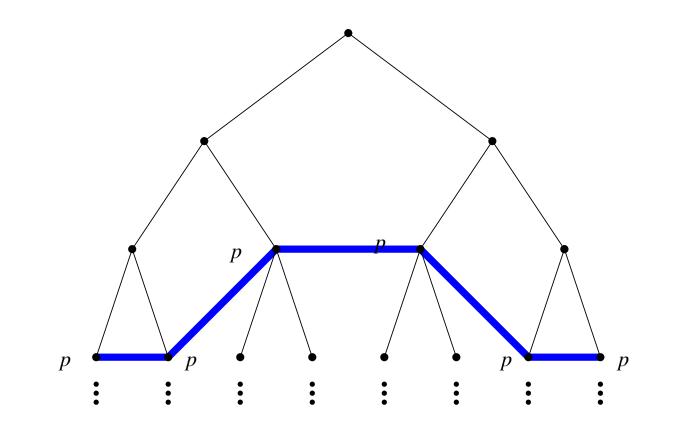
all possible orders of events are represented in one (infinite) computation tree

CTL describes the branching behavior of this computation tree

and has a local state view

every state is the starting point of new branching paths





Definition LTL syntax similar to CTL syntax, except that temporal operators do not have path quantifiers: LTL only has **X**, **F**, **G** and **U**.

Definition LTL semantics defined recursively along infinite paths π in *K*:

$$\begin{split} \pi &\models p & \text{iff} \quad p \in \mathcal{L}(\pi(0)) \\ \pi &\models \neg g & \text{iff} \quad \pi \not\models g \\ \pi &\models g \wedge h & \text{iff} \quad \pi \models g \text{ and } \pi \models h \\ \pi &\models \mathbf{X}g & \text{iff} \quad \pi^1 \models g \\ \pi &\models \mathbf{F}g & \text{iff} \quad \pi^i \models g \text{ for one } i \\ \pi &\models \mathbf{G}g & \text{iff} \quad \pi^i \models g \text{ for all } i \\ \pi &\models g \mathbf{U}h & \text{iff} \quad \text{exists } i \text{ with } \pi^i \models h \text{ and } \pi^j \models g \text{ for all } j < i \end{split}$$

Definition $K \models f$ iff $\pi \models f$ for all infinite paths π in K with $\pi(0) \in I$

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- LTL only considers one single linear order of events
- then $(\mathbf{G}r) \rightarrow \mathbf{F}(a \wedge b)$ suddenly makes sense (premise is a restriction/assumption)
- LTL is compositional (w.r.t. sync. product of Kripke structures):

-
$$K_1 \models f_1, K_2 \models f_2 \Rightarrow K_1 \times K_2 \models f_1 \wedge f_2$$

-
$$K_1 \models f \rightarrow g, K_2 \models f \Rightarrow K_1 \times K_2 \models g$$

Proposition CTL and LTL have different expressibility:

AXEX*p* can not be specified in LTL, AFAG*p* does not have corresponding LTL formula

[Clarke and Draghicescu'88]

ACTL is the sub logic of CTL formulas without ${\bf E}$ path quantifiers in NNF

NNF: negations only occur in front of atomic propositions $p \in \mathcal{A}$

Definition for an ACTL formula *f* define $f \setminus A$ as the LTL formula obtained from *f* by deleting all path quantifiers, e.g. $(AGAFp) \setminus A = GFp$.

Definition f and g are equivalent iff $K \models f \Leftrightarrow K \models g$ for all Kripke structures K.

(f and g can be formulas in different logics)

Theorem if an ACTL formula f is equivalent to an LTL formula g, then also to $f \setminus \mathbf{A}$.

Proof
$$K \models f \stackrel{\text{assumption}}{\Leftrightarrow} \forall \pi[\pi \models g] \stackrel{\text{assumption}}{\Leftrightarrow} \forall \pi[\pi \models f] \stackrel{!}{\Leftrightarrow} \forall \pi[\pi \models f \setminus \mathbf{A}] \stackrel{\text{Def.}}{\Leftrightarrow} K \models f \setminus \mathbf{A}$$

(assume π to be initialized and in $\pi \models f$ interpreted as Kripke structure)

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Syntactically Characterized Intersection of LTL and ACTL [M. Maidl'00]

Let *f* and *g* be CTL resp. LTL formulas and $p \in \mathcal{A}$.

Definition every sub formula of an CTL^{det} formula is of the following form:

 $p, f \wedge g, \mathbf{AX}f, \mathbf{AG}f, (\neg p \wedge f) \lor (p \wedge g) \text{ or } \mathbf{A}[(\neg p \wedge f) \mathbf{U} (p \wedge g)]$

Definition every sub formula of an LTL^{det} formula is of the following form:

$$p, f \wedge g, \mathbf{X}f, \mathbf{G}f, (\neg p \wedge f) \lor (p \wedge g)$$
 or $(\neg p \wedge f) \mathbf{U} (p \wedge g)$

Theorem the intersection of LTL and ACTL is equivalent to LTL^{det} resp. CTL^{det}

Intuition CTL semantics for CTL^{det} are restricted to one path

Hint
$$\mathbf{A}[f \mathbf{U} p] \equiv \mathbf{A}[(\neg p \land f) \mathbf{U} (p \land 1)]$$
 $\mathbf{AF} p \equiv \mathbf{A}[1 \mathbf{U} p]$

 \Rightarrow non deterministic specifications can be misinterpreted

You can not count with LTL and CTL

[P. Wolper'83]

Specification "after *m*-th step *p*" holds (at least)

Proposition for all m > 1 there is no CTL nor LTL formula f with

 $K \models f$ iff $\pi(i) \models p$ for all initialized paths π of K and all $i = 0 \mod m$.

Problem $p \wedge \mathbf{G}(p \leftrightarrow \neg \mathbf{X}p)$ denotes "exactly every 2nd step p holds"

Solutions

- add modulo *m* counter to model (problems with compositionality)
- logic extensions
 - ETL with additional temporal operators defined through automata ...
 - ... resp. quantifiers over atomic propositions (embed automata into the logic)

- regular expressions:
$$\neg \left(\underbrace{(1;\ldots;1;p)^*;1;\ldots;1}_{m-1};\neg p \right)$$
 resp. $\underbrace{(1;\ldots;1;p)^{\omega}}_{m-1}$

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- specifications often need additional *fairness* assumptions
 - e.g. abstraction of scheduler: "each process gets it's turn"
 - e.g. one component must be enabled infinitely often
 - e.g. infinitely often a transmission channel does not produce an error
- no problem in LTL: $(\mathbf{GF}f) \rightarrow \mathbf{G}(r \rightarrow \mathbf{F}a)$
- fair Kripke structures for CTL:
 - additional component F of fair states
 - path π fair iff $|\{i \mid \pi(i) \in F\}| = \infty$
 - only consider fair paths

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- restricted class of quantifiers over sets of states
 - quantified variables $V = \{X, Y, \ldots\}$
 - in general also over sets and thus gives a second order logic
- fix point logic: least fix points specified with μ and largest with ν
- modal μ -calculus as extension of HML resp. CTL

 $\nu X[p \wedge []X] \equiv \mathbf{AG}p \qquad \mu X[q \vee (p \wedge \langle \rangle X)] \equiv \mathbf{E}[p \mathbf{U} q]$

 $vX[p \land [][]X]$ corresponds to "every 2nd step *p* holds"

 $\nu X[p \land \langle \rangle \mu Y[(f \land X) \lor (p \land \langle \rangle Y)]] \equiv \nu X[p \land \mathbf{EXE}[p \mathbf{U} f \land X]] \equiv \mathbf{EG}p$ under fairness f

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again over Kripke structures K = (S, I, T, L).

Definition an assignment ρ of variables *V* is a mapping $\rho: V \to \mathbb{P}(S)$

Definition semantics $[[f]]_{\rho}$ of a μ -calculus formula f is defined recursively as expansion, i.e. as set of states in which f holds for a given assignment ρ :

$$\begin{split} [[p]]_{\rho} &= \{s \mid p \in \mathcal{L}(s)\} & [[X]]_{\rho} &= \rho(X) \\ [[\neg f]]_{\rho} &= S \setminus [[f]]_{\rho} & [[f \wedge g]]_{\rho} &= [[f]]_{\rho} \cap [[g]]_{\rho} \\ \mu X[f] &= \bigcap \{A \subseteq S \mid [[f]]_{\rho[X \mapsto A]} = A\} & \nu X[f] &= \bigcup \{A \subseteq S \mid [[f]]_{\rho[X \mapsto A]} = A\} \\ \text{with } \rho[A \mapsto X](Y) &= \begin{cases} A & X = Y \\ \rho(Y) & X \neq Y \end{cases} . \end{split}$$

Definition $K \models f$ iff $I \subseteq [[f]]_{\rho}$ for all assignments ρ

Proposition μ -calculus subsumes CTL and at least theoretically also LTL.

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- Property Specification Language (PSL)
 - subsumes CTL, LTL and also regular expressions
 - Verilog and VHDL flavor
- System Verilog Assertions (SVA)
 - less general than PSL
 - closer to Hardware
 - part of System Verilog (extension of Verilog)
- verification tools (testing / formal) often come with their own temporal logic