

First Order Predicate Logic

Reasoning in Predicate Logic

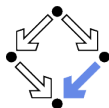
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How does Mathematics “Work”?

Mathematics = “study of **mathematical theories**”

Math. theory = “collection of statements that **follow from axioms**”

Axiom = statement that is **assumed to be true**

Workflow:

1. Characterize objects of interest by **distinguishing properties** \rightsquigarrow **axioms**.
2. Investigate what **must hold** under these circumstances \rightsquigarrow **theorems**.
 - 2.1 Investigate what **might hold** \rightsquigarrow **conjectures**.
 - 2.2 **Justify** conjectures \rightsquigarrow **proof**.

A proof turns a conjecture into a theorem.



Example: Natural Numbers with Addition

What **characterizes** the natural numbers with addition?

1. Objects of interest: $0, s, +$. We write $n + 1$ instead of $s(n)$.
2. No natural number has 0 as its successor:

$$\forall n : \neg(s(n) = 0). \quad (\text{P1})$$

3. Numbers with identical successor are identical:

$$\forall m, n : s(m) = s(n) \rightarrow m = n. \quad (\text{P2})$$

4. Adding 0 from right is neutral:

$$\forall n : n + 0 = n. \quad (\text{P3})$$

5. Adding successor gives successor:

$$\forall m, n : n + (m + 1) = (n + m) + 1. \quad (\text{P4})$$

6. If A holds for 0 and always for successors also, then A holds for all n :

$$(A[0/n] \wedge (\forall m : A[m/n] \rightarrow A[m + 1/n])) \rightarrow \forall n : A. \quad (\text{P5})$$

Available for every formula A .



Example: Natural Numbers with Addition

1. Observe:

$$0 + 1 = 0 + s(0) = s(0 + 0) = s(0) = 1$$

$$0 + 2 = 0 + s(1) = s(0 + s(0)) = s(s(0)) = 2$$

$$0 + 3 = 0 + s(2) = s(0 + s(1)) = s(s(0 + s(0))) = s(s(s(0))) = 3$$

$$0 + 4 = 0 + s(3) = \dots = s(s(s(s(0)))) = 4$$

etc.

2. Conjecture:

$$\forall n : 0 + n = n$$

3. **Justify:** Semantics of \forall : check all assignments for n , which would need (in this case) infinitely many checks!
4. **Proof:** justify statement through a **finite sequence** of arguments, why the statement **must be true**.



Formal Reasoning: What Is a Proof?

Forward interpretation:

A proof starts from trivial proof situations (can be proved easily),

progresses step-by-step

until it reaches the final situation, where the goal is proved.

Backward interpretation:

A proof starts from the goal to be proved,

until it reaches trivial proof situations (can be proved easily).

Individual proof steps are guided by inference rules, which are denoted as

forward \downarrow $\frac{S_1 \quad \dots \quad S_n}{S}$ \uparrow backward

Forward interpretation:

If S_1, \dots, S_n can be proved, then also S can be proved.

Backward interpretation:

In order to prove S , we need to prove S_1, \dots, S_n .

S_1, \dots, S_n , and S : proof situations.



Example

S, S_1, \dots, S_6 : sequents. Consider inference rules:

$$R_1: \frac{S_2 \quad S_3}{S_1} \quad R_2: \frac{}{S_4} \quad R_3: \frac{S_1}{S} \quad R_4: \frac{}{S_5}$$

$$R_5: \frac{S_4 \quad S_5}{S_2} \quad R_6: \frac{}{S_6} \quad R_7: \frac{S_6}{S_3}$$

We want to **prove** S .

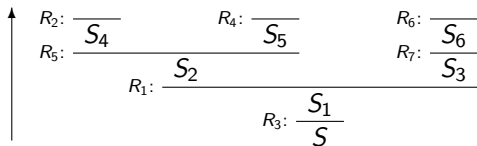
$$\frac{\frac{\frac{R_2: \frac{}{S_4} \quad R_4: \frac{}{S_5}}{R_5: \frac{S_4 \quad S_5}{S_2}} \quad R_6: \frac{}{S_6} \quad R_7: \frac{S_6}{S_3}}{R_1: \frac{S_2 \quad S_3}{S_1}} \quad R_3: \frac{S_1}{S}}{R_3: \frac{S_1}{S}}}$$



Proof Generation vs. Proof Presentation

Proof generation: start with sequent to be proved, then work **backwards**.

Read and apply rules from bottom to top.



Backward style proof presentation: In order to prove S we have to prove, by R_3 , S_1 . For this, by R_1 , we have to

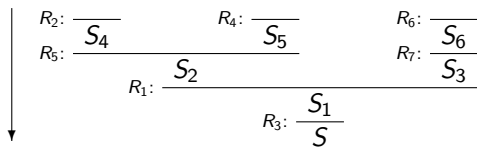
1. prove S_2 : by R_5 we have to prove S_4 and S_5 , which are guaranteed by R_2 and R_4 , respectively. Now we still have to
2. prove S_3 : by R_7 it is sufficient to prove S_6 , which we know from R_6 .
q.e.d.



Proof Generation vs. Proof Presentation

Proof presentation: often done in **forward reasoning style**, i.e. start with known facts and work forward until the sequent to be proved is reached.

Read and apply rules from top to bottom.



Forward style proof presentation: We know S_4 and S_5 can be proved, hence by R_5 , S_2 can be proved. Furthermore we know that S_6 can be proved, hence by R_7 , also S_3 can be proved. Together with S_2 , by R_1 , we know that S_1 can be proved, and therefore, by R_3 , also S . q.e.d.

Note: proof cannot be generated in this way.



Formal Proofs

A **formal proof** can be seen as a **tree**, where

1. every node is a sequent,
2. if S_1, \dots, S_n are the children nodes of a node S , then there must be an inference rule of the form $\frac{S_1 \dots S_n}{S}$.

Special case $n = 0$: A leaf has 0 children, hence

for every leaf S in the tree there must be a rule \overline{S} .

A **formal proof of S** is a formal proof with root S .



A Sketch of a Simple Proof Generation Procedure

Input: S

Output: P s.t. P is a formal proof S .

$P :=$ tree containing only the root node S

$Q := \{S\}$

while Q not empty

 choose a rule $\frac{S_1 \dots S_n}{S}$ such that $s \in Q$

 replace s in Q by S_1, \dots, S_n

 add S_1, \dots, S_n as children nodes of s in P

return P

Depending on 1) the rules and 2) the choice of the rule in the loop, the procedure might not terminate or might not give a complete proof.



Inference Rules: A Closer Look

Proof situations are written as **sequents** of the form $H_1, \dots, H_k \vdash C$, where

$H_1, \dots, H_k \vdash C$ intuitively means the **goal** C follows from the **assumptions** $\{H_1, \dots, H_k\}$.

Special case $k = 0$: there are no assumptions!

Proof situation $\vdash C$ means: we have to prove that C is valid.

In the sequel, we describe inference rules as **schematic patterns**

$$\text{name: } \frac{K_1 \dots \vdash C_1 \quad \dots \quad K_n \dots \vdash C_n}{K \dots \vdash C}$$

where letters stand for individual formulas or terms and
“ $K \dots$ ” stand for sequences of formulas.



Choice of Inference Rules: A Closer Look

Convention: formula sequences are **orderless**, i.e.

$$K \dots, F_1 \wedge F_2 \vdash \neg G$$

expresses that

1. the assumptions **contain** a formula with outermost symbol “ \wedge ” and
2. the goal is a formula with outermost symbol “ \neg ”.

In the “proof generation procedure” above:

choose a rule $\frac{S_1 \dots S_n}{s}$ such that $s \in Q$

means

choose a rule $\frac{S_1 \dots S_n}{s}$ such that s “matches” some $q \in Q$.

Now S_1, \dots, S_n actually mean variants of the schematic patterns, where variables are replaced by those parts of s that are fixed by above “matching” (see examples later).



Proof Rules for Predicate Logic

One could give a (minimal) set of inference rules for first order predicate logic, which can be shown to be **sound** and **complete**, i.e.

1. every formula, which has a formal proof, is also semantically true and
2. every semantically true formula has a formal proof.

↔ e.g. **sequent calculus**, **Gentzen calculus**, **natural deduction calculus**, etc.

Rather, we want to give proof rules that help in **practical proving** of mathematical statements and **checking of given proofs**. Differences lie in details.

We distinguish: **structural rules**, **connective rules** and **quantifier rules**.

For every logical connective and every standard quantifier, we give at least one rule, where the connective or quantifier occurs as the outermost symbol in the goal or one of the assumptions.



Structural Rules

- ▶ If the goal is among the assumptions, the goal can be proved.

$$\text{GoalAssum: } \frac{}{K \dots, G \vdash G}$$

- ▶ Add valid assumption:

$$\text{ValidAssum: } \frac{K \dots, V \vdash G}{K \dots \vdash G} \quad \text{if } V \text{ is valid}$$

- ▶ Drop any assumption:

$$\text{AnyAssum: } \frac{K \dots \vdash G}{K \dots, A \vdash G}$$

- ▶ Add proved assumption — the cut-rule:

$$\text{Cut: } \frac{K \dots \vdash A \quad K \dots, A \vdash G}{K \dots \vdash G}$$



Connective Rules

- ▶ Handle negation:

$$P_{\neg}: \frac{K \dots, G \vdash \perp}{K \dots \vdash \neg G} \qquad A_{\neg}: \frac{K \dots, \neg G \vdash A}{K \dots, \neg A \vdash G}$$

- ▶ Prove parts of a conjunction separately:

$$P_{\wedge}: \frac{K \dots \vdash F_1 \quad K \dots \vdash F_2}{K \dots \vdash F_1 \wedge F_2}$$

- ▶ Split conjunction in assumptions:

$$A_{\wedge}: \frac{K \dots, F_1, F_2 \vdash G}{K \dots, F_1 \wedge F_2 \vdash G}$$

- ▶ Prove disjunction:

$$P_{\vee}: \frac{K \dots, \neg F_1 \vdash F_2}{K \dots \vdash F_1 \vee F_2}$$

- ▶ Disjunction in assumptions \rightsquigarrow prove by cases:

$$A_{\vee}: \frac{K \dots, F_1 \vdash G \quad K \dots, F_2 \vdash G}{K \dots, F_1 \vee F_2 \vdash G}$$



Example

$$P_{\neg}: \frac{\sqrt{2} \in \mathbb{Q}, \dots \vdash \perp}{\dots \vdash \sqrt{2} \notin \mathbb{Q}}$$

Natural language description of this proof step:

We have to prove that $\sqrt{2}$ is not rational. We do a proof by contradiction, hence, we assume that $\sqrt{2}$ was rational and derive a contradiction.

Since $G \equiv \neg\neg G$ the rule P_{\neg} can be used in a more general form:

$$\text{indirect: } \frac{K \dots, \neg G \vdash \perp}{K \dots \vdash G}$$

A proof using this rule or the rule P_{\neg} is called *indirect proof* or *proof by contradiction*.



Connective Rules

- ▶ Prove implication \rightsquigarrow assume LHS and prove RHS:

$$P_{\rightarrow}: \frac{K \dots, F_1 \vdash F_2}{K \dots \vdash F_1 \rightarrow F_2}$$

- ▶ Implication in assumptions:

$$A_{\rightarrow}: \frac{K \dots \vdash F_1 \quad K \dots, F_2 \vdash G}{K \dots, F_1 \rightarrow F_2 \vdash G}$$

- ▶ Prove equivalence by proving both directions:

$$P_{\leftrightarrow}: \frac{K \dots \vdash F_1 \rightarrow F_2 \quad K \dots \vdash F_2 \rightarrow F_1}{K \dots \vdash F_1 \leftrightarrow F_2}$$

- ▶ Equivalence in assumptions \rightsquigarrow substitution:

$$A_{\leftrightarrow}: \frac{K \dots [F_2/F_1], F_1 \leftrightarrow F_2 \vdash G}{K \dots, F_1 \leftrightarrow F_2 \vdash G} \quad A_{\leftrightarrow}: \frac{K \dots, F_1 \leftrightarrow F_2 \vdash G[F_2/F_1]}{K \dots, F_1 \leftrightarrow F_2 \vdash G}$$

$\phi[F_2/F_1]$: replace **some occurrences** of (sub-)formula F_1 by formula F_2 in formula or sequence of formulas ϕ .



Example

$$\text{A-}\forall: \frac{\frac{P_1}{\text{even}(m) \vdash G} \quad \frac{P_2}{\text{odd}(m) \vdash G}}{\text{even}(m) \vee \text{odd}(m) \vdash G}$$

Natural language description of this proof step:

We already know that m is even or m is odd. Thus, we can distinguish the two cases:

- 1. m is even: ... (insert proof P_1 here)*
- 2. m is odd: ... (insert proof P_2 here)*



Making our Lives Easier: Derivable Rules

Assume B is a logical consequence of A , i.e. $A \rightarrow B$ is valid.

$$\begin{array}{c} \text{GoalAssum: } \frac{}{K \dots, A \vdash A} \quad \text{AnyAssum: } \frac{K \dots, A, B \vdash G}{K \dots, A, A \rightarrow B, B \vdash G} \\ A \rightarrow: \frac{}{\frac{K \dots, A, A \rightarrow B \vdash G}{K \dots, A \vdash G}} \\ \text{ValidAssum: } \end{array}$$

This shows that with a combination of available rules we can always add a logical consequence of an assumption to the knowledge base. We can formulate this as a **derivable rule**:

$$\text{ConsAssum: } \frac{K \dots, A, B \vdash G}{K \dots, A \vdash G} \quad \text{if } B \text{ is a logical consequence of } A$$



Making our Lives Easier: Derivable Rules

As soon as we have contradicting assumptions, the proof can be finished:

$$\begin{array}{l} \text{GoalAssum: } \frac{}{K \dots, A, \neg G \vdash A} \\ \text{A-}\neg: \frac{}{K \dots, A, \neg A, \neg G \vdash \perp} \\ \text{indirect: } \frac{}{K \dots, A, \neg A \vdash G} \end{array}$$

Derivable rule:

$$\text{ContrAssum: } \frac{}{K \dots, A, \neg A \vdash G}$$



Example

Prove $((A \rightarrow (B \vee C)) \wedge \neg C) \rightarrow (A \rightarrow B)$,

where A, B , and C are abbreviations for complex predicate logic formulas.

Develop proof tree top-down with root on top (convenient in practice).

$$\begin{array}{c} \text{P-}\rightarrow: \frac{\vdash ((A \rightarrow (B \vee C)) \wedge \neg C) \rightarrow (A \rightarrow B)}{(A \rightarrow (B \vee C)) \wedge \neg C \vdash A \rightarrow B} \quad \downarrow \\ \text{A-}\wedge: \frac{\text{P-}\rightarrow: \frac{A \rightarrow (B \vee C), \neg C \vdash A \rightarrow B}{A \rightarrow (B \vee C), \neg C, A \vdash B}}{\dots, A \vdash A} \\ \text{GoalAssum: } \frac{\text{A-}\rightarrow: \frac{\dots, A \vdash A}{\dots, A \rightarrow (B \vee C), \neg C, A \vdash B}}{\dots, A \rightarrow (B \vee C), \neg C, A \vdash B} \\ \text{A-}\vee: \frac{\text{GoalAssum: } \frac{\dots, B \vdash B}{\dots, A \rightarrow (B \vee C), \neg C, A \vdash B}}{\dots, A \rightarrow (B \vee C), \neg C, A \vdash B} \\ \text{ContrAssum: } \frac{\dots, \neg C, C \vdash B}{\dots, A \rightarrow (B \vee C), \neg C, A \vdash B} \end{array}$$



Equality Rules

- ▶ $t = t$ can be proved:

$$P=:= \frac{}{K \dots \vdash t = t}$$

- ▶ Equality in assumptions \rightsquigarrow substitution:

$$A=:= \frac{K \dots [t_2/t_1], t_1 = t_2 \vdash G}{K \dots, t_1 = t_2 \vdash G} \quad A=:= \frac{K \dots, t_1 = t_2 \vdash G[t_2/t_1]}{K \dots, t_1 = t_2 \vdash G}$$

$\Gamma[t_2/t_1]$: replace **some occurrences** of term t_1 by term t_2 in formula or sequence of formulas Γ . If t_1 is a variable, then replace only free occurrences!

The rules $A-\leftrightarrow$ and $A-=$ allow to use all known logical equivalences (e.g. De-Morgan rules, etc.) and arithmetic laws (e.g. distributivity, etc.) for **rewriting** anywhere in a proof. Typically, not all known rules will be listed explicitly in the assumptions. Formally, they may be added through the rule `ValidAssum`.



Example

$$A \dashv\vdash: \frac{\dots, \text{even}(m), n = m^2 \vdash \text{even}(m^2)}{\dots, \text{even}(m), n = m^2 \vdash \text{even}(n)}$$

Natural language description of this proof step:

We have to prove that n is even. Since we know $n = m^2$, it suffices to prove that m^2 is even.



Quantifier Rules: Universal Quantifier

- ▶ Prove for all $x \rightsquigarrow$ choose \bar{x} “arbitrary but fixed” (skolemize):

$$\text{P-}\forall: \frac{K \dots \vdash F[\bar{x}/x]}{K \dots \vdash \forall x : F} \quad \text{if } \bar{x} \text{ does not occur in } K \dots, F$$

- ▶ What is “arbitrary but fixed”?
 - ▶ **fixed**: \bar{x} is constant in contrast to x , which is a variable.
 - ▶ **arbitrary**: nothing is known about \bar{x} , it is a completely new symbol, which does not occur in the current proof situation. It is arbitrary in the sense that we could have taken any other one as well.
 - ▶ Justification: for all assignments for x we see that F is true by the argument that works for \bar{x} .
- ▶ Instantiate universal assumption:

$$\text{A-}\forall: \frac{K \dots, \forall x : F, F[t/x] \vdash G}{K \dots, \forall x : F \vdash G}$$

- ▶ $\forall x : F$ stays in the assumptions \rightsquigarrow multiple instantiations.
- ▶ Knowledge generating rule.



Example

$$\text{P-}\forall: \frac{\dots \vdash \text{even}(\bar{n}) \rightarrow \text{even}(\bar{n}^2)}{\dots \vdash \forall n : \text{even}(n) \rightarrow \text{even}(n^2)}$$

Natural language description of this proof step:

*In order to prove that the square of **any** even number n is again even, we take an arbitrary but fixed natural number \bar{n} and show $\text{even}(\bar{n}) \rightarrow \text{even}(\bar{n}^2)$.*

$$\text{A-}\forall: \frac{\dots, \forall n : \text{even}(n) \rightarrow \text{even}(n^2), \text{even}(m) \rightarrow \text{even}(m^2) \vdash \dots}{\dots, \forall n : \text{even}(n) \rightarrow \text{even}(n^2) \vdash \dots}$$

Natural language description of this proof step:

*We know that the square of **any** even number is again even. Hence, this holds for a particular number m also, i.e. if m is even then also m^2 must be even.*



Quantifier Rules: Existential Quantifier

- ▶ Prove there exists $x \rightsquigarrow$ find a witness t (instantiate):

$$P-\exists: \frac{K \dots \vdash F[t/x]}{K \dots \vdash \exists x : F}$$

- ▶ How to find the witness term t ?
- ▶ Skolemize existential assumption:

$$A-\exists: \frac{K \dots, F[\bar{x}/x] \vdash G}{K \dots, \exists x : F \vdash G} \quad \text{if } \bar{x} \text{ does not occur in } K \dots, F, G$$

- ▶ \bar{x} is “arbitrary but fixed”.



Example

$$P-\exists: \frac{\dots \vdash 2 \cdot 2a = 4a}{\dots \vdash \exists m : 2m = 4a}$$

Natural language description of this proof step:

We have to prove that there exists an m with $2m = 4a$. Let now $m := 2a$, thus, we have to show $2 \cdot 2a = 4a$.

$$A-\exists: \frac{\dots, \frac{\bar{m}^2}{\bar{n}^2} = 2 \vdash \dots}{\dots, \exists m, n : \frac{m^2}{n^2} = 2 \vdash \dots}$$

Natural language description of this proof step:

*We know there exist m and n such that $\frac{m^2}{n^2} = 2$. Thus, we may *assume* $\frac{\bar{m}^2}{\bar{n}^2} = 2$ for some \bar{m} and \bar{n} .*



Natural Language Presentation of Proofs

1. Do not mention all steps,
2. combine several steps into one (derivable rules!),
3. use same names for arbitrary but fixed constants, etc.

Theorem: Suppose a divides b if and only if, for some $t \in \mathbb{N}$, $b = t \cdot a$. Then, if a divides b it also divides every multiple of b .

Proof: Assume $a, b, s \in \mathbb{N}$ arbitrary but fixed such that a divides b . We have to show that a divides $s \cdot b$, i.e. $\exists t \in \mathbb{N} : s \cdot b = t \cdot a$. Since a divides b , we know that $b = \bar{t} \cdot a$ for some $\bar{t} \in \mathbb{N}$, thus, we have to find $t \in \mathbb{N}$ s.t. $s \cdot \bar{t} \cdot a = t \cdot a$. Let now $t := s \cdot \bar{t} \in \mathbb{N}$, we have to show $s \cdot \bar{t} \cdot a = s \cdot \bar{t} \cdot a$.
q.e.d.

Every sentence in the proof is justified by one or more proof rules. Trivial steps (e.g. split conjunction in knowledge base) not mentioned explicitly.



Example

Suppose n is even if and only if, for some $k \in \mathbb{N}$, $n = 2k$.

Then every even natural number is the sum of two odd numbers with a difference less or equal than 2, i.e.

$$\forall \text{even}(n) : \exists \text{odd}(k), \text{odd}(l) : n = k + l \wedge k - l \leq 2$$

Let n be arbitrary but fixed and assume n is even.

Hence, $n = 2m$.

Case m is odd:

Let $k = l := m$. Then $k + l = 2m = n$, thus, n is the sum of two odd numbers k and l s.t. $k - l = 0 \leq 2$.

Case m is even:

Let $k := m + 1$ and $l := m - 1$.

Then $k + l = m + 1 + m - 1 = 2m = n$, thus, n is the sum of two odd numbers k and l s.t. $k - l = 2 \leq 2$.

P- \forall , P- \rightarrow

A- \forall , A- \leftrightarrow , A- \exists

$\forall n : \text{odd}(n) \vee \text{even}(n)$, A- \forall
 $\text{odd}(m) \vee \text{even}(m)$, A- \vee

P- \exists

GoalAssum

A- \vee

P- \exists

GoalAssum



Drinker's Paradox

In every non-empty bar there is one person such that if (s)he drinks, then everybody drinks.

$$\exists x : (D(x) \rightarrow \forall y : D(y)) \quad (1)$$

Apply P- \exists : no chance.

Apply proof by contradiction, assume $\neg \exists x : (D(x) \rightarrow \forall y : D(y))$, i.e.

$$\forall x : (D(x) \wedge \exists y : \neg D(y)) \quad (2)$$

Since the bar is not empty, there is at least one person in the bar, call her/him p . Since (2) holds for all x , it must also hold for p (instantiation!), thus $D(p)$ and also $\exists y : \neg D(y)$. So there exists a person, call her/him q , such that

$$\neg D(q). \quad (3)$$

But (2) must hold for q also, i.e. $D(q) \wedge \neg \forall y : D(y)$, thus

$$D(q). \quad (4)$$

(4) contradicts (3), so the original statement (1) is proven.



Example

Prove over the domain \mathbb{N} : $\forall n : 0 + n = n$.

Available knowledge:

$$\forall n : n + 0 = n. \quad (\text{P3})$$

$$\forall m, n : n + (m + 1) = (n + m) + 1. \quad (\text{P4})$$

$$(A[0/n] \wedge (\forall m : A[m/n] \rightarrow A[m + 1/n])) \rightarrow \forall n : A \quad (\text{P5})$$

In this case for $A \equiv 0 + n = n$: We apply $A \rightarrow$ to (P5), i.e. we have prove

$$A[0/n] \wedge (\forall m : A[m/n] \rightarrow A[m + 1/n]).$$

(Part 2 of $A \rightarrow$ amounts to the trivial situation $\forall n : A \vdash \forall n : A \rightsquigarrow$ can be skipped.

Using (P- \wedge) we have to

1. Prove $A[0/n]$, i.e. $0 + 0 = 0$. Instantiation of (P3) by $[n \mapsto 0]$ yields $0 + 0 = 0$, hence we are done (GoalAssum).
2. Prove $\forall m : A[m/n] \rightarrow A[m + 1/n]$, i.e. for arbitrary but fixed m , we assume $0 + m = m$ (*) and show $0 + (m + 1) = m + 1$. Now,

$$0 + (m + 1) \stackrel{(\text{P4})}{=} (0 + m) + 1 \stackrel{(*)}{=} m + 1.$$



Summary

- ▶ Proof rules are purely **syntactic** \rightsquigarrow proving can be viewed as a **syntactic process**.
- ▶ When doing “real mathematical proofs”:
 - ▶ Obey the syntactic structure of the involved formulas.
 - ▶ Apply rules “matching” the current proof situation.
 - ▶ Think of the proof as a tree and try to “close” all branches.
 - ▶ Instead of “waiting for the brilliant idea” that solves a proof problem, better “stupidly” apply the rules.
- ▶ **You will be surprised, in how many proofs you will succeed this way!**

