

Model Checking

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WS 2011

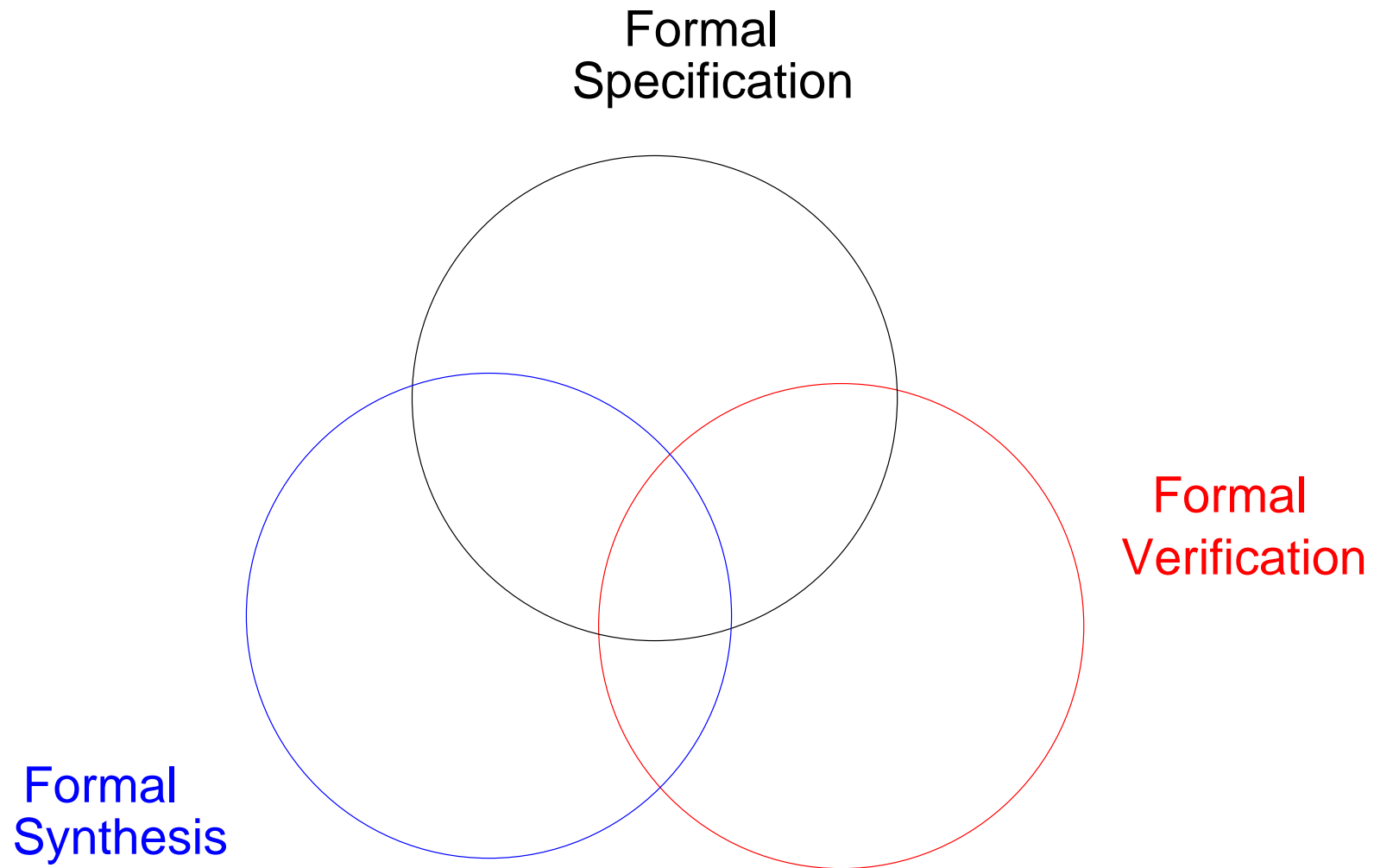
Johannes Kepler University

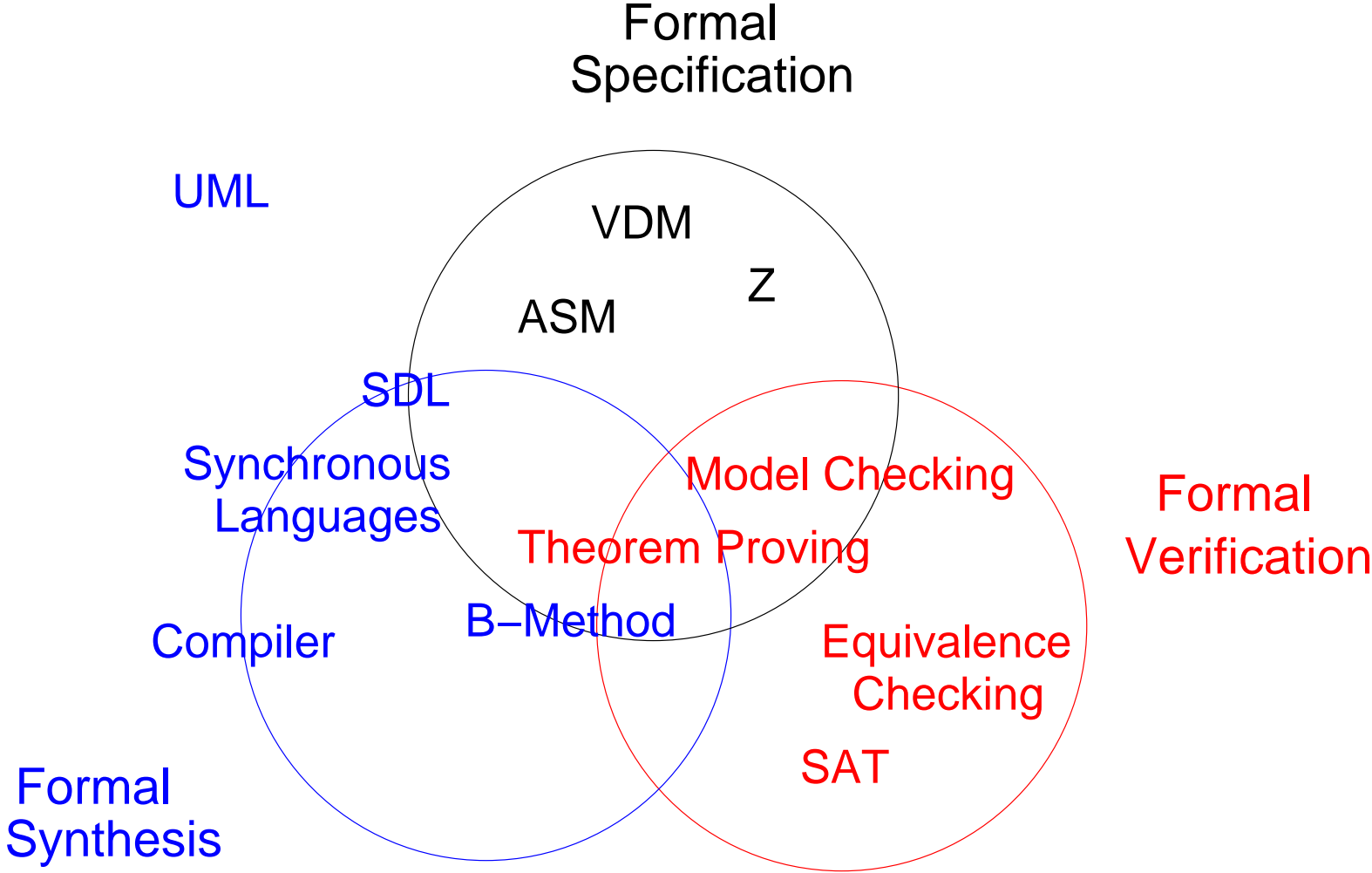
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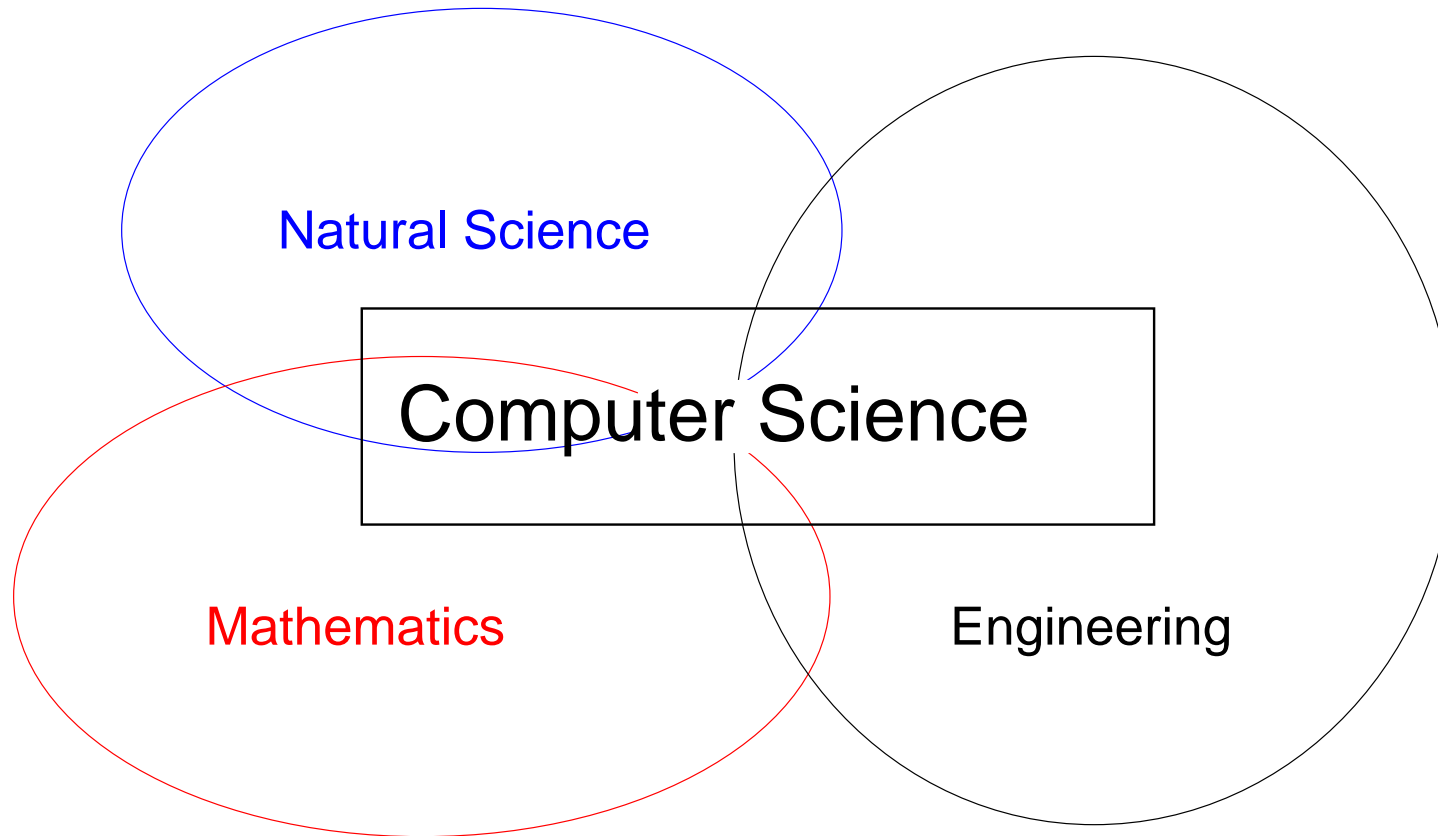
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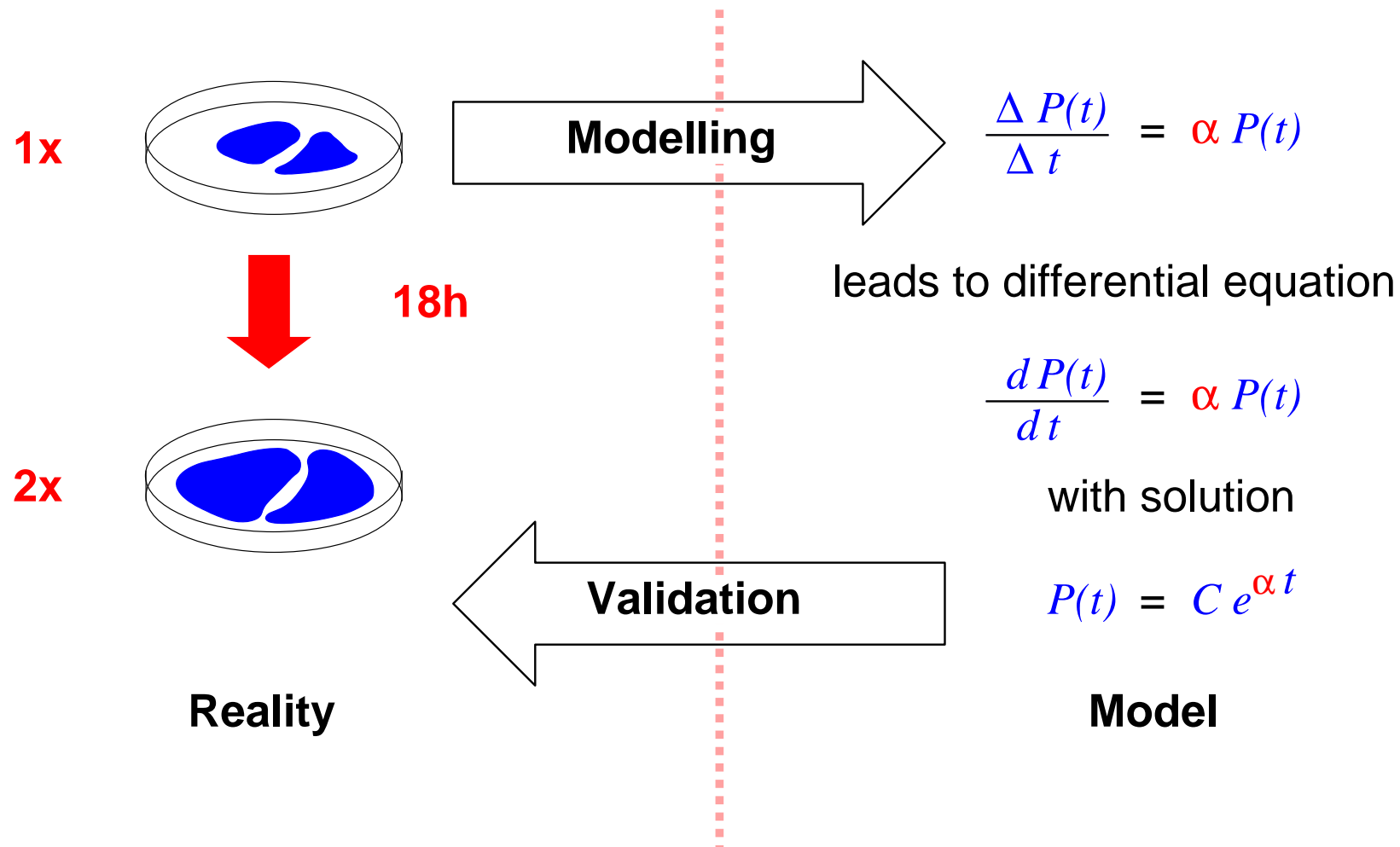


- Formal Models (Bachelor 4th Semester), resp. Formal Basics III
 - Formal Modelling of (Distributed) Computer Science Systems
- Model Checking (1st Semester Master)
 - Algorithmic Aspects of Explicit Model Checking
- Advanced Model Checking (Master)
 - Satisfiability Solving (SAT)
 - Algorithmic Aspects of Symbolic Model Checking with SAT and BDDs

- Formal Methods as Core Computer Science
 - abstraction is *the* tool of computer science
 - “abstraction” as the most important tool in computer science
 - Computer Science systems **are** mathematical objects
 - abstractions are formal models
- examples of formal technologies with increasing practical relevance:
 - simulation of abstract models for validation purposes
 - equivalence checking in circuit design
 - model checking, abstract interpretation



growth of bakteria population



- modelling and simulation of computer models
 - of natural and artificial systems
 - allows projection into the future ...
z.B. weather forecast
 - ... and optimization
e.g. reversal of global warming through less CO₂ production
- representation as mathematical equations
 - in general there are **no closed solutions**
 - validation with numerical methods
- **Computational Science as part of Computer Science (?!)**

How much are the developments costs of a program with a certain size?

$$\text{application programs: } PM = 2.4 \cdot (KDSI)^{1.05}$$

$$\text{utility programs: } PM = 3.0 \cdot (KDSI)^{1.12}$$

$$\text{system programs: } PM = 3.6 \cdot (KDSI)^{1.20}$$

PM = person months
(costs)

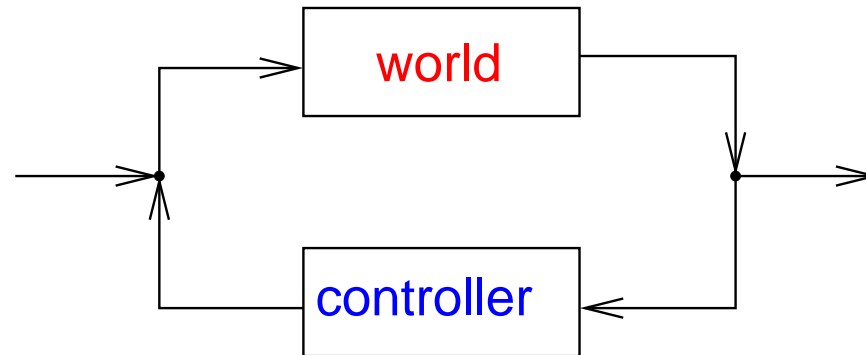
KDSI = Kilo Delivered Source Instructions
(size)

typical usage of **empirical methods** particularly in Software Engineering

- are there models in mathematics?
 - the natural numbers “are just there”
Zahlen hat der liebe Gott gemacht, alles andere ist Menschenwerk [Kronecker]
 - there is no need for interpretation: subject = reality
- model concept in mathematical logic
 - is it possible to model mathematics with mathematics?
no, not in general [Gödel]
 - weaker statements are possible:
many theorems can be **derived formally**
(within a formal calculus)

- programs and other digital systems are formal objects
 - they have precise mathematical models (denotational/operational)
 - Reality = Model
(modulo complex semantics, compiler bugs, hardware failure, ...)
 - **properties of the models also hold in reality**
- proving properties of models is difficult
 - for Software in general **undecidable**
 - for Hardware in **NP** or **PSPACE**
- only valid for **functional properties** , not for **quantitative aspects**
 - availability, through put, latency, etc. are difficult to model precisely

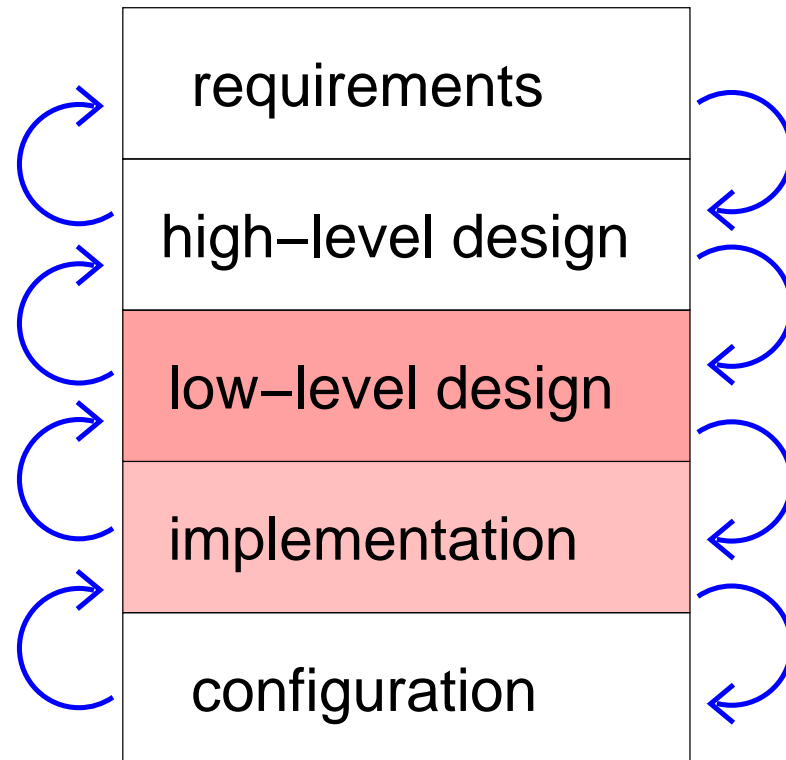
modelling of a controller



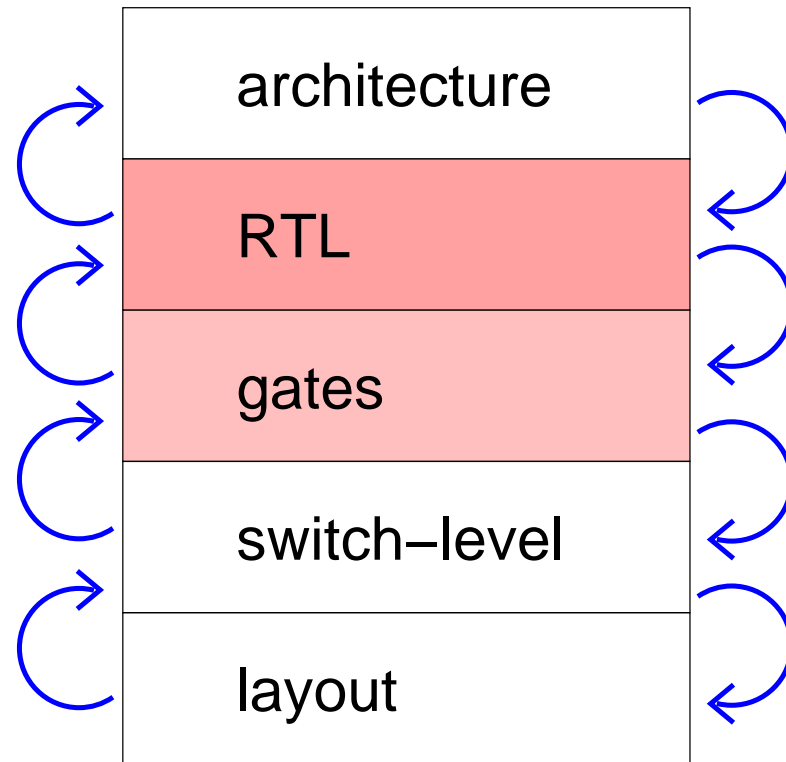
- model also includes the artifact (controller)
- models are an approximation of reality
 - real system is different from the model
- goal is construction/synthesis and optimization of the controller

- goal is construction/synthesis and optimization of **Computer Science systems**
- models for **quantitative** analysis/optimization
 - Markov-chains etc.
 - quantitative/probabilistic simulation
- high-level models
 - stepwise refinement/synthesis (e.g. code generation, compiler)
 - example: Model Driven Architecture (MDA) = executable UML models
 - example: behavioral models and synthesis for digital systems

- models as in Natural Science
 - Computational Science, computer models
- further empirical models:
 - empirical methods in Software Engineering
- mathematical/formal modelling
 - logic as basis, SW/HW as formula, reality = model
- high-level models
 - qualitative (functional) or quantitative, refinement/synthesis

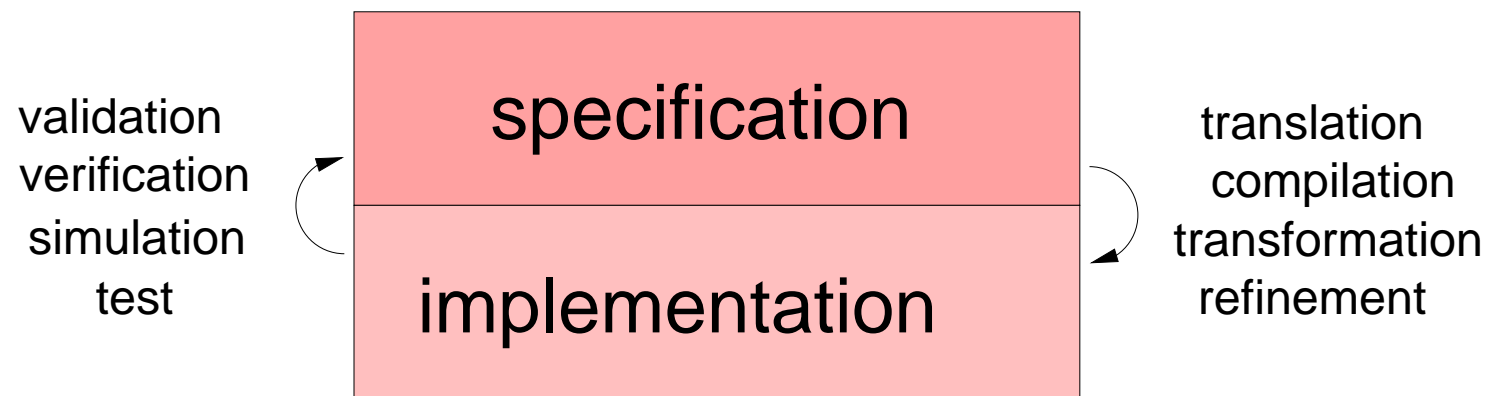


for instance hardware design



verification

synthesis



formal

or

adhoc

compare with Formal Basics 3 resp. Formal Models

motivation: automata for modelling, specification and verification

Definition a *finite automaton* $A = (S, I, \Sigma, T, F)$ consists of

- set of states S (usually finite)
- set of initial states $I \subseteq S$
- input alphabet Σ (usually finite)
- transition relation $T \subseteq S \times \Sigma \times S$
write $s \xrightarrow{a} s'$ iff $(s, a, s') \in T$ iff $T(s, a, s')$ “holds”
- set of final states $F \subseteq S$

Definition An FA A accepts a word $w \in \Sigma^*$ iff there are s_i and a_i with

$$s_0 \xrightarrow{a_1} s_1 \xrightarrow{a_2} s_2 \xrightarrow{a_3} \dots \xrightarrow{a_{n-1}} s_{n-1} \xrightarrow{a_n} s_n,$$

where $n \geq 0$, $s_0 \in I$, $s_n \in F$ and $w = a_1 \cdots a_n$ ($n = 0 \Rightarrow w = \varepsilon$).

Definition The language $L(A)$ of A is the set of its accepted words.

- use automata or regular languages to describe event streams
- “conformance” of implementation event streams to their specification
- conformance relates to sub set relation of languages

Definition The Product Automaton $A = A_1 \times A_2$ of two FA A_1 and A_2 with common input alphabet $\Sigma_1 = \Sigma_2$ has the following components:

$$S = S_1 \times S_2$$

$$I = I_1 \times I_2$$

$$\Sigma = \Sigma_1 = \Sigma_2$$

$$F = F_1 \times F_2$$

$$T((s_1, s_2), a, (s'_1, s'_2)) \quad \text{iff} \quad T_1(s_1, a, s'_1) \quad \text{and} \quad T_2(s_2, a, s'_2)$$

Theorem Let A , A_1 , and A_2 as above, then $L(A) = L(A_1) \cap L(A_2)$

Example: construction of automata which accepts words with prefix ab and suffix ba

(as regular expression: $a \cdot b \cdot \mathbf{1}^* \cap \mathbf{1}^* \cdot b \cdot a$, where $\mathbf{1}$ denotes the set of all letters)

Definition for $s \in S$, $a \in \Sigma$ define $s \xrightarrow{a}$ as the set of successors of s with

$$s \xrightarrow{a} = \{s' \in S \mid T(s, a, s')\}$$

Definition An FA is *complete* iff $|I| > 0$ and $|s \xrightarrow{a}| > 0$ for all $s \in S$ and $a \in \Sigma$.

Definition ... *deterministic* iff $|I| \leq 1$ and $|s \xrightarrow{a}| \leq 1$ for all $s \in S$ and $a \in \Sigma$.

Fact ... deterministic and complete iff $|I| = 1$ and $|s \xrightarrow{a}| = 1$ for all $s \in S$, $a \in \Sigma$.

Definition The power automaton $A = \mathbb{P}(A_1)$ of an FA A_1 has the following components

$$S = \mathbb{P}(S_1) \quad (\mathbb{P} = \text{power set})$$

$$I = \{I_1\}$$

$$\Sigma = \Sigma_1$$

$$F = \{F' \subseteq S \mid F' \cap F_1 \neq \emptyset\}$$

$$T(S', a, S'') \text{ iff } S'' = \{s'' \mid \exists s' \in S' \text{ with } T_1(s', a, s'')\}$$

Theorem A, A_1 as above, then $L(A) = L(A_1)$ and A is deterministic and complete.

Example: Spam filter based on the white list “abb”, “abba”, and “abacus”!

(regular expression: “abb” | “abba” | “abacus”)

Definition The complement automaton $A = K(A_1)$ of an FA A_1 has the same components as A_1 except $F = S \setminus F_1$.

Theorem The complement automaton $A = K(A_1)$ of a deterministic and complete automaton A_1 accepts the same language $L(A) = \overline{L(A_1)} = \Sigma^* \setminus L(A_1)$.

Example: Spam filter based on black list “abb”, “abba”, and “abacus”!

(regular expression: $\overline{\text{“abb”} \mid \text{“abba”} \mid \text{“abacus”}}$)

- modelling and specification with automata:
 - event streams of an implementation represented by FA A_1
 - partial specification of event streams as FA A_2

- conformance test:
 - $L(A_1) \subseteq L(A_2)$
 - iff $L(A_1) \cap \overline{L(A_2)} = \emptyset$
 - iff $A_1 \times K(\mathbb{P}(A_2))$ contains no reachable final state

- **Example:** specification $S = (cs|sc|ss)^*$, implementation $I = ((s|c)^2)^*$

- temporal properties: (**1** denotes an arbitrary letter)
 - every third step a holds: $(\mathbf{1} \cdot \mathbf{1} \cdot a)^*$
 - exactly every third step a holds: $(\bar{a} \cdot \bar{a} \cdot a)^*$
 - a (acknowledge) has to be preceded by r (request): $\overline{(\bar{r})^*} \cdot a$
 - each a has to be preceded by an r : $\overline{(\mathbf{1}^* \cdot a)^*} \cdot \overline{(\bar{r})^*} \cdot a$

- refinement: (scheduling of three processes a , b and c)
 - abstract round robin scheduler: $(abc \mid acb \mid bac \mid bca \mid cab \mid cba)^*$
 - round robin scheduler, a higher priority than b : $(abc \mid acb \mid cab)^*$
 - round robin scheduler, a before b , c before b : $(acb \mid cab)^*$
 - deterministic round robin scheduler of implementation: $(cab)^*$

- similar approach:
 - given a propositional formula f over boolean variables $V = \{x_1, \dots, x_n\}$
 - the expansion $E(f) \subseteq 2^n$ is the set of satisfying assignments of f

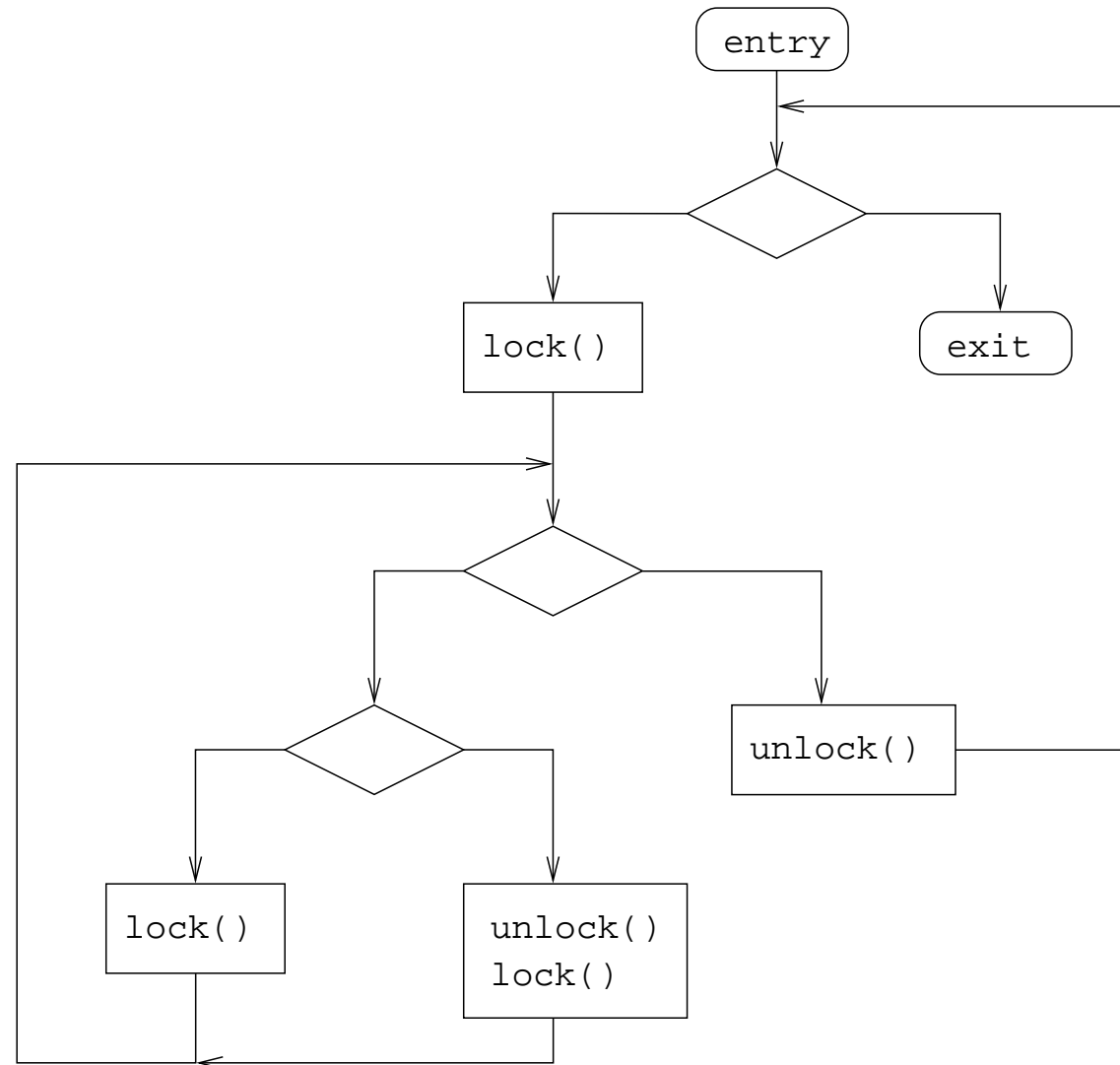
$$(a_1, \dots, a_n) \in E(f) \quad \text{iff} \quad f[x_1 \mapsto a_1, \dots, x_n \mapsto a_n] = 1$$
 - e.g. $E(f) \neq \emptyset$ iff f satisfiable

- modelling and specification:
 - f_1 characterizes the implementation for all possible configurations
 - f_2 represents a partial specification of all valid configuration

- conformance test: $f_1 \Rightarrow f_2$ iff $E(f_1) \subseteq E(f_2)$ iff $E(f_1) \cap \overline{E(f_2)} = \emptyset$
 (or in practice: ... iff $f_1 \wedge \neg f_2$ unsatisfiable)

```

while (...) {
  lock ();
  ...
  while (...) {
    if (...) {
      lock ();
      ...
    } else {
      unlock ();
      ...
      lock ();
    }
    ...
  }
  ...
  unlock ();
}
    
```



$$(l \cdot (l \mid u \cdot l)^* \cdot u)^*$$

```
assert (i < n);  
lock ();  
do {  
    ...  
    i++;  
    if (i >= n)  
        unlock ();  
    ...  
} while (i < n);
```

$l \cdot (\varepsilon|u)^*$ violates partial specification $\overline{\mathbf{1}^* \cdot l \cdot \bar{u}^*}$

(“...” neither leads to a lock nor unlock and leaves i and n untouched)

refinement of abstraction by introduction of a predicate variable: $b == (i < n)$

<pre> assert (i < n); lock (); do { ... i++; if (i >= n) unlock (); ... } while (i < n); </pre>	is abstracted to	<pre> assert (b); lock (); do { ... if (b) b = *; if (!b) unlock (); ... } while (b); </pre>
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$l \cdot \varepsilon^* \cdot u$ satisfies partial specification $\overline{\mathbf{1}^* \cdot l \cdot \bar{u}^*}$

(“...” neither leads to a lock nor unlock and leaves i and n untouched)

```
int bsearch (int * a, int n, int e) {
    int l = 0, r = n;
    if (!n) return 0;
    while (l + 1 < r) {
        printf ("l=%d r=%d\n", l, r);
        int m = (l + r) / 2;
        if (e < a[m]) r = m;
        else l = m;
    }
    return a[l] == e;
}

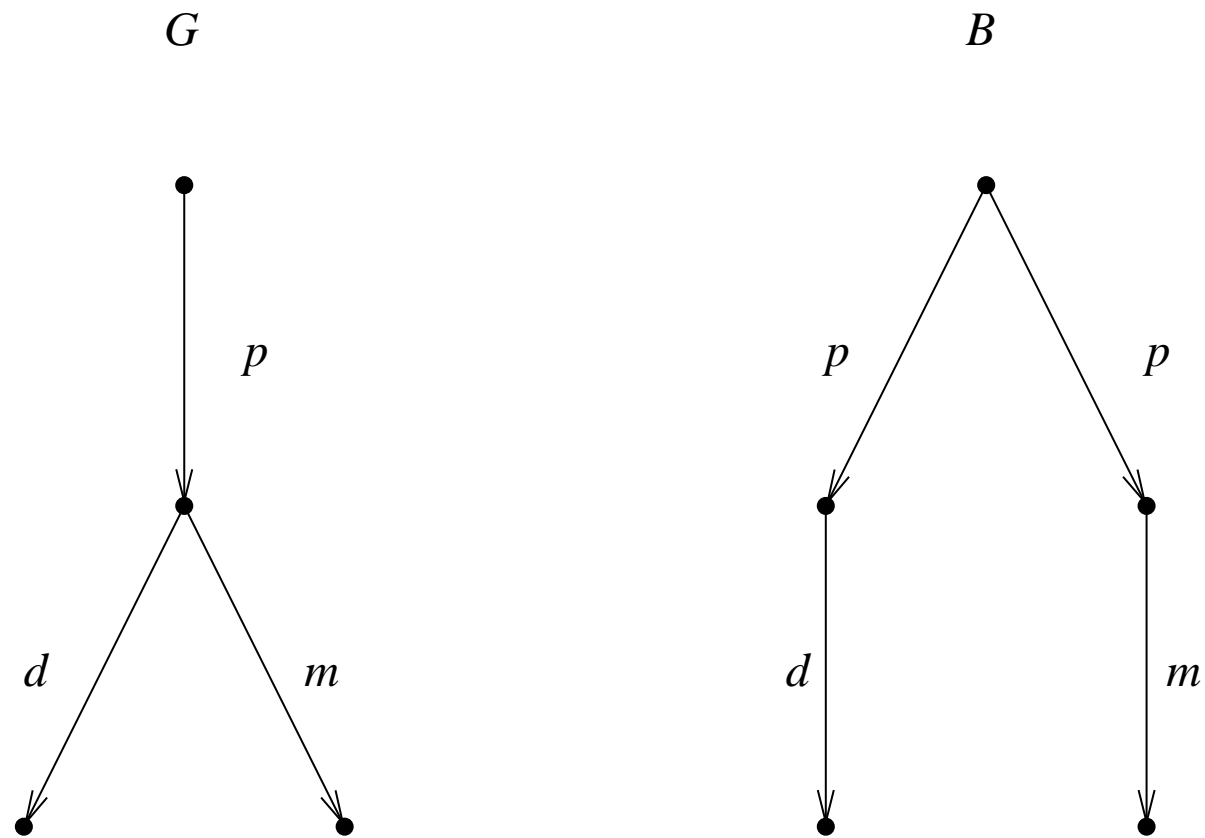
int main (void) {
    int n = INT_MAX;
    int * a = calloc (n, 4);
    (void) bsearch (a, n, 1);
}

$ ./bsearch
l=0 r=2147483647
l=1073741823 r=2147483647
Segmentation fault
```

running this allocates 8GB and actually took more than 3 seconds

fix: `int min = l + (r - l) / 2;`

- general semantical model for **process algebra**
 - focus on **reactive** or **open** systems
 - concept of **environment** with **external** events
 - implementation (on one abstraction layer) determines **internal** events
- an LTS $A = (S, I, \Sigma, T)$ essentially is an FA:
 - only behavior, e.g. potential of transition, is important
 - no final states: no “explicit” language
 - “implicit” language $L(A)$ defined by $F = S$



p = pay

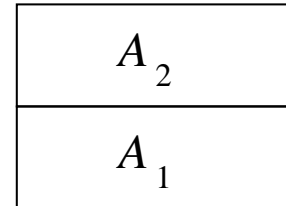
d = dark chocolate

m = milk chocolate

- semantics of the two LTS should be “different”
 - G allows to select the type of chocolate after paying
 - B non deterministically determines the chocolate type while paying
- but B and G are **language equivalent**:
 - $L(B) = p \cdot (d \mid m) = L(G)$
- same problem with conformance test:
 - language based conformance test identifies B and G
 - language conformance ignores “branching behavior”

- behavior of implementation A_1 should be consistent with specification A_2
 - each **transition** in A_1 has a counterpart in A_2

- A_2 **simulates** A_1



- A_2 may have more behavior

- to simplify exposition merge A_1 and A_2 into one LTS A

- common alphabet Σ

- (disjoint) union of the other components:

$$S = S_1 \dot{\cup} S_2, \quad I = I_1 \dot{\cup} I_2, \quad T = T_1 \dot{\cup} T_2$$

- ... written as $A = A_1 \dot{\cup} A_2$

Definition a relation $\lesssim \subseteq S \times S$ over an LTS A is a **simulation** iff
(read $s \lesssim t$ as t simulates s)

$$s \lesssim t \quad \text{then} \quad \forall a \in \Sigma, s' \in S [s \xrightarrow{a} s' \Rightarrow \exists t' \in S [t \xrightarrow{a} t' \wedge s' \lesssim t']]$$

Fact there is exactly one maximal simulation over an LTS A

Proof (sketch) **S finite**

- union of simulations is again a simulation
- the set of simulations over A is non empty (it contains the identity)

- starting point: $\approx_0 = S \times S$ (usually not a simulation)

- refine \approx_i to \approx_{i+1} as follows

$$s \approx_{i+1} t \text{ iff } s \approx_i t \text{ and } \forall a \in \Sigma, s' \in S [s \xrightarrow{a} s' \Rightarrow \exists t' \in S [t \xrightarrow{a} t' \wedge s' \approx_i t']]$$

- for finite S there is an n with $\approx_n = \approx_{n+1}$
 - \approx_n obviously a simulation
 - maximality less obvious
- can be interpreted as fixpoint computation

Let \lesssim be a simulation.

Show $\lesssim \subseteq \lesssim_i$ with induction over i .

Base case is trivial, induction step follows.

Assume (indirect proof): $\lesssim \not\subseteq \lesssim_{i+1}$.

Then there is s and t with $s \lesssim t$ but $s \not\lesssim_{i+1} t$.

Therefore there has to be s' and a with $s \xrightarrow{a} s'$, but $t \not\xrightarrow{a} t'$ or $t \not\lesssim_i t'$ for all t' .

With the induction hypothesis $\lesssim \subseteq \lesssim_i$ we get: $t \not\xrightarrow{a} t'$ or $t \not\lesssim_i t'$ for all t' .

Contradiction to the assumption that \lesssim is a simulation.

Fact maximal simulations are transitive and reflexive

Proof (sketch)

- maximum simulation is reflexive because identity is a simulation
- transitivity by the following lemma

Lemma transitive hull of a simulation is again a simulation

Proof the following operator produces simulations from simulations

$$\Psi: \mathbb{P}(S \times S) \rightarrow \mathbb{P}(S \times S) \quad \Psi(\lesssim)(r, t) \text{ iff } r \lesssim t \text{ or } \exists s [r \lesssim s \wedge s \lesssim t]$$

Definition

LTS A_2 simulates LTS A_1 iff there is a simulation \lesssim over $A_1 \cup A_2$ such that for all initial states $s_1 \in S_1$ of A_1 there is an initial state $s_2 \in S_2$ of A_2 with $s_1 \lesssim s_2$. Also written as $A_1 \lesssim A_2$.

Fact simulation on LTS are transitive and reflexive

Proof (sketch)

- construct maximal simulation over all three LTS
- show existence of simulating initial states
- project to the two outer LTS

Definition A *trace* of an LTS A is a word $w = a_1 \cdots a_n \in \Sigma^*$ with

$$s_0 \xrightarrow{a_1} s_1 \xrightarrow{a_2} \cdots \xrightarrow{a_{n-1}} s_{n-1} \xrightarrow{a_n} s_n,$$

where $s_0 \in I$ and $n \geq 0$.

Fact $L(A) = \{w \mid w \text{ trace of } A\}$

Theorem (simulating LTS are conservative abstractions)

if LTS A_2 simulates A_1 ($A_1 \lesssim A_2$), then $L(A_1) \subseteq L(A_2)$.

Application $P \lesssim A \leq S \Rightarrow L(P) \subseteq L(S)$

(P = program, A abstraction, S specification)

- $\tau \in \Sigma$ represents a non observable *internal event*

- previous definition of simulation becomes **strong simulation**

$$s \lesssim t \quad \text{then} \quad \forall a \in \Sigma, s' \in S [s \xrightarrow{a} s' \Rightarrow \exists t' \in S [t \xrightarrow{a} t' \wedge s' \lesssim t']]$$

- write $s \xrightarrow{\tau^* a} t$ if there is s_0, \dots, s_n with

$$s = s_0 \xrightarrow{\tau} s_1 \xrightarrow{\tau} \dots \xrightarrow{\tau} s_{n-1} \xrightarrow{a} s_n = t$$

- a relation \lesssim is a **weak simulation** iff

$$s \lesssim t \quad \text{then} \quad \forall a \in \Sigma \setminus \{\tau\}, s' \in S [s \xrightarrow{\tau^* a} s' \Rightarrow \exists t' \in S [t \xrightarrow{\tau^* a} t' \wedge s' \lesssim t']]$$

- use τ to *abstract* events
 - for instance computations / data flow irrelevant for synchronization
- **τ -cleared LTS** A of an LTS A_1 with $\tau: \Sigma = \Sigma_1 \setminus \{\tau\}$, $T(s, a, t)$ iff $s \xrightarrow{\tau^* a} t$ in A_1 .
 - τ removal produces a strong simulation from a weak one
 - previous algorithms can be adapted to work here as well
- transitivity and applications as with strong simulation
- **divergence** $s \xrightarrow{\tau^+} s$ is not handled sufficiently
 - $A_1 \lesssim A_2$ allows A_1 to diverge and A_2 not

Idea: implementation is *exactly* the specified behavior **and not more !**

Definition a relation \approx is a **strong bisimulation** iff

$$s \approx t \text{ then } \forall a \in \Sigma, s' \in S [s \xrightarrow{a} s' \Rightarrow \exists t' \in S [t \xrightarrow{a} t' \wedge s' \approx t']] \text{ and}$$

$$\forall a \in \Sigma, t' \in S [t \xrightarrow{a} t' \Rightarrow \exists s' \in S [s \xrightarrow{a} s' \wedge s' \approx t']]$$

Definition a relation \approx is a **weak bisimulation** iff

$$s \approx t \text{ then } \forall a \in \Sigma \setminus \{\tau\}, s' \in S [s \xrightarrow{\tau^* a} s' \Rightarrow \exists t' \in S [t \xrightarrow{\tau^* a} t' \wedge s' \approx t']] \text{ and}$$

$$\forall a \in \Sigma \setminus \{\tau\}, t' \in S [t \xrightarrow{\tau^* a} t' \Rightarrow \exists s' \in S [s \xrightarrow{\tau^* a} s' \wedge s' \approx t']]$$

weak bisimulation is useful when abstracting internal events of the implementation with τ

theoretical application: bisimulation equivalent LTS “have the same properties”

Given a deterministic and complete FA $A = (S, I, \Sigma, T, F)$

- starting point: $\sim_0 = (F \times F) \cup (\bar{F} \times \bar{F})$
 - partition with respect to “final state flag”
 - equivalence relation

- refine \sim_i by \sim_{i+1}

$$s \sim_{i+1} t \text{ iff } s \sim_i t \text{ and}$$

$$\forall a \in \Sigma, s' \in S [s \xrightarrow{a} s' \Rightarrow \exists t' \in S [t \xrightarrow{a} t' \wedge s' \sim_i t']] \text{ and}$$

$$\forall a \in \Sigma, t' \in S [t \xrightarrow{a} t' \Rightarrow \exists s' \in S [s \xrightarrow{a} s' \wedge s' \sim_i t']]$$

- termination $\sim_{n+1} = \sim_n$ guaranteed at $n = |S|$
- equivalence relation $\sim = \sim_n$ produces minimal automaton A/\sim

Definition *Reachability Analysis* is ...

- computations of all reachable states
 - starting from initial states
 - result is represented either “explicitly” or “symbolically”
 - can be used for optimizing systems, e.g. remove dead code
- check reachability of certain states
 - corresponds to model checking of safety properties

explicit = each state/transition is represented separately
symbolic = sets of states/transitions represented as formulas

	explicit model	symbolic model
explicit analysis	graph search	explicit model checking
symbolic analysis	—	symbolic model checking

originally: symbolic MC for HW (SMV), explicit MC for SW (SPIN)

today: symbolic and explicit MC for both SW+HW

- in theory explicit matrix representation of T is enough

	0	1	2	3	4	5	6	7
0	0	0	1	0	1	0	0	0
1	0	0	0	1	0	1	0	0
2	0	0	0	0	1	0	1	0
3	0	0	0	0	0	1	0	1
4	1	0	0	0	0	0	1	0
5	0	1	0	0	0	0	0	1
6	1	0	1	0	0	0	0	0
7	0	1	0	1	0	0	0	0

initial state: 0

- in practice T is given in a modelling or programming language

```
initial_state = 0;
```

```
next_state = (current_state + 2 * (bit + 1)) % 8;
```

- symbolic representation can be exponentially more succinct

- symbolic traversal of state space
 - determine set of states reachable in one step from a given set of states
 - successor states are computed and represented symbolically
 - has some flavor of breadth first search
 - for (infinite state) programs undecidable
- result is symbolic representation of all reachable states
 - for instance `0 <= state && state < 8 && even (state)`

- symbolic representation usually has “parallel composition operator”
 - for instance product of automata, each automaton is represented explicitly

$$G = C_1 \times C_2$$

- components are programmed separately

```
process A begin P1 end || process B begin P2 end;
```

- program size of whole system:
 - $|C_1| + |C_2|$, resp. $|P1| + |P2|$
 - sum of the sizes of the components
- also applies to HW

- size of state space:
 - $|S_G| = |S_{C_1}| \cdot |S_{C_2}|$
 - product of the number of states of the components
- sequential circuits:
 - n -bit counter can be implemented with $O(n)$ gates, but has 2^n states
- hierarchical descriptions can lead to another exponential factor
- for (infinite state) SW even more complex:
 - in theory not even double exponential is enough
 - in practice heap is the problem

- explicit representation reduces to graph search:
 - search for reachable states starting from the initial states
 - linear in the size of the number of **all** states
- symbolic representation:
 - computation of the successor states is the main problem
 - **on-the-fly** expansion of T for a concrete state (simulate or interpret T)
 - avoid computation of explicit transitions of T for unreachable states
 - alternatively compute symbolic representation of successor states

- mark all visited states
 - implementation depends on, whether states are generated **on-the-fly**
- unmarked successors of visited states pushed on search stack
- next working state popped from top of search stack
- if “error” or “target” state reached then
 - path to error resp. target state is on the search stack
 - to simplify the algorithms abort search

```
recursive_dfs_aux (Stack stack, State current)
{
    if (marked (current))
        return;

    mark (current);
    stack.push (current);

    if (is_target (current))
        stack.dump_and_exit ();    /* target reachable */

    forall successors next of current
        recursive_dfs_aux (stack, next);

    stack.pop ();
}
```

```
recursive_dfs ()
{
    Stack stack;

    forall initial states state
        recursive_dfs_aux (stack, state);

    /* target not reachable */
}
```

- “abort/exit” needs to be handled more gracefully of course
- recursive version may run out of stack memory
- support on-the-fly generation of reachable states by hashing

```
#include <stdio.h>

void
f (int i)
{
    printf ("%d\n", i);
    f (i + 1);
}

int
main (void)
{
    f (0);
}
```

this C program crashes after a “few” recursions

```
non_recursive_dfs_aux (Stack stack)
{
  while (!stack.empty ())
  {
    current = stack.pop ();
    if (is_target (current))
      dump_family_line_and_exit (current);
    forall successors next of current
    {
      if (cached (next)) continue;
      cache (next);
      stack.push (next);
      next.set_parent (current);
    }
  }
}
```



```
non_recursive_dfs ()
{
    Stack stack;

    forall initial states state
    {
        if (!cached (state))
            cache (state);

        stack.push (state);
    }

    non_recursive_dfs_aux (stack);

    /* target not reachable */
}
```

```
non_recursive_buggy_dfs_aux (Stack stack)
{
  while (!stack.empty ())
  {
    current = stack.pop ();
    if (is_target (current))
      dump_family_line_and_exit (current);
    if (cached (current)) continue;
    cache (current);
    forall successors next of current
    {
      stack.push (next);
      next.set_parent (current);
    }
  }
}
```

```
non_recursive_but_also_buggy_aux (Stack stack)
{
  while (!stack.empty ())
  {
    current = stack.pop ();
    forall successors next of current
    {
      if (cached (next)) continue;
      cache (next);
      stack.push (next);
      next.set_parent (current);
      if (is_target (next))
        dump_family_line_and_exit (next);
    }
  }
}
```

```
bfs_aux (Queue queue)
{
  while (!queue.empty ())
  {
    current = queue.dequeue ();
    if (is_target (current))
      dump_family_line_and_exit (current);
    forall successors next of current
    {
      if (cached (next)) continue;
      cache (next);
      queue.enqueue (next);
      next.set_parent (current);
    }
  }
}
```

```
bfs ()
{
    Queue queue;

    forall initial states state
    {
        if (!cached (state))
            cache (state);
        queue.enqueue (state);
    }

    bfs_aux (queue);

    /* target not reachable */
}
```

- DFS

- easy to implement recursively (which you should not do anyhow)
- can be extended to detect cycles \Rightarrow liveness
- faster if there are many but deep targets

- BFS

- requires non recursive formulation from the beginning
- generates shortest paths to error/target states
- no cycle detection (at least not easy to implement)
- faster if there are few but shallow targets

- forward:
 - successors of working states are next states to work with
 - all analyzed states are reachable

- backward:
 - predecessors of working states are next states to work with
 - analyzed states can be unreachable
 - most useful in symbolic analysis
 - **in some applications backward analysis terminates fast**

- global analysis “adds one bit” for each *possibly* reachable state

```
bool cached (State state) { return state.mark; }
```

```
void cache (State state) { state.mark = true; }
```

- global analysis **without** on-the-fly state generation

- **example:** `struct State { int components[2]; bool mark; };`

- $2^{64} = 2^{32} \cdot 2^{32}$ **possible** states can not be allocated $9 \cdot 2^{26}$ TB \approx 604 million TB

- local analysis **with** on-the-fly state generation

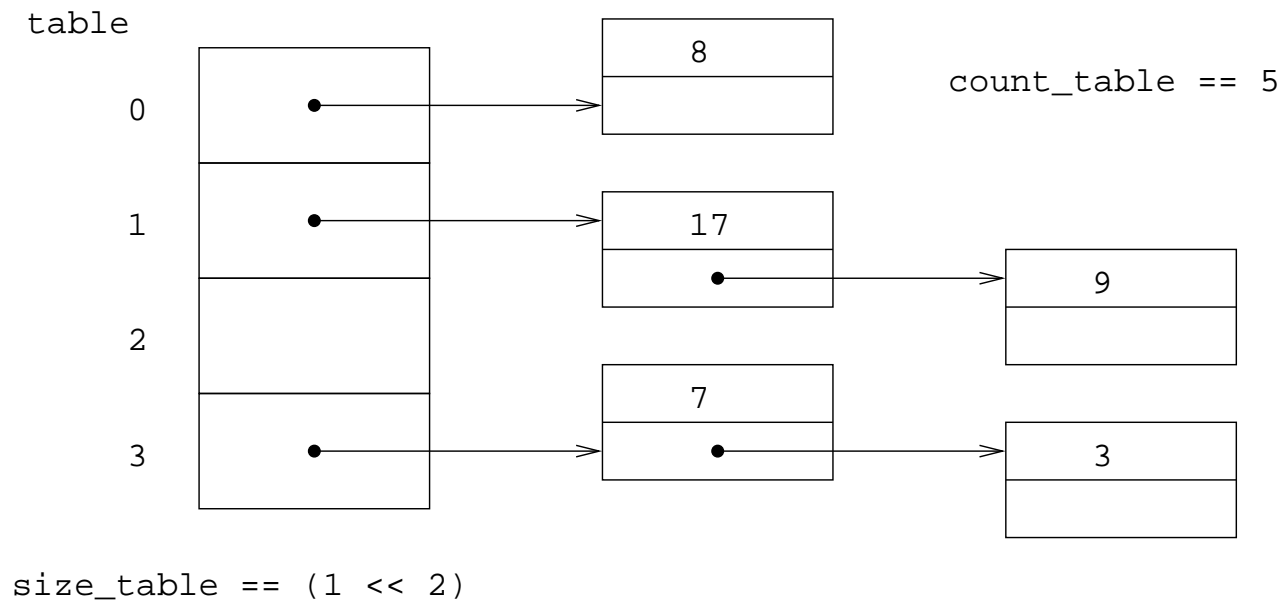
- $2 \cdot 2^{20} = 2097152$ **reachable** states

- need 8 times more bytes \approx 16 MB

plus overhead to access them, $<$ factor 2

- requirements:
 - visited states have to be marked and saved (cache)
 - ⇒ insertion operation for each new visited state
 - successors have to be checked for already “being visited” (cached)
 - ⇒ contains check for each successor
- alternatives:
 - bit set: for each *possible* state one bit (as in global analysis)
 - search trees: operations logarithmic in number of reachable states
 - **hash table:** operations constant time in number of reachable states

- look up and insertion time can be assumed to be constant
 - in theory much more complex analysis required
- “good” hash functions are important
 - hash index computed by randomization resp. distribution of input bits
- adaptation of hash table size:
 - either enlarge dynamically by constant factor!
 - or use all available memory



```
unsigned hash (unsigned data) { return data; }
```

```
struct Bucket *
find (unsigned data)
{
    unsigned h = hash (data);
    h &= (size_table - 1);
    ...
}
```

```
unsigned
bad_string_hash (const char * str)
{
    const char * p;
    unsigned res;

    res = 0;

    for (p = str; *p; p++)
        res += *p;

    return res;
}
```

```
unsigned
very_bad_string_hash (const char * str)
{
    const char * p;
    unsigned res;

    res = 0;

    for (p = str; *p; p++)
        res = (res << 4) + *p;

    return res;
}
```

[Dragonbook]

```
unsigned
classic_string_hash (const char *str)
{
    unsigned res, tmp;
    const char *p;

    res = 0;

    for (p = str; *p; p++)
    {
        tmp = res & 0xf0000000;    /* unsigned 32-bit */
        res <<= 4;
        res += *p;
        if (tmp)
            res ^= tmp >> 28;
    }

    return res;
}
```

- empirically good randomization for identifiers in programming languages
 - average number collisions as quality metric for good hash functions
- **fast:** max. 4 logical/arithmetic operations per character
- for strings longer than 8 characters good distribution of bits
- overlapping of 8-bit encodings of individual characters
(but beware of ASCII encoding)
- clustering effects for many short strings (e.g. in automatically generated code)
`n1, ..., n99, n100, ..., n1000`

```
static unsigned primes [] = { 2000000011, 2000000033, ... };
#define NUM_PRIMES (sizeof (primes) / sizeof (primes[0]))

unsigned
primes_string_hash (const char * str)
{
    unsigned res, i;
    const char * p;

    i = 0;
    res = 0;
    for (p = str; *p; p++)
    {
        res += *p * primes[i++];
        if (i >= NUM_PRIMES)
            i = 0;
    }

    return res;
}
```



```
static unsigned primes [] = { 2000000011, 2000000033, ... };
#define NUM_PRIMES (sizeof(primes)/sizeof(primes[0]))

unsigned
hash_state (unsigned * state, unsigned words_per_state)
{
    unsigned res, i, j;

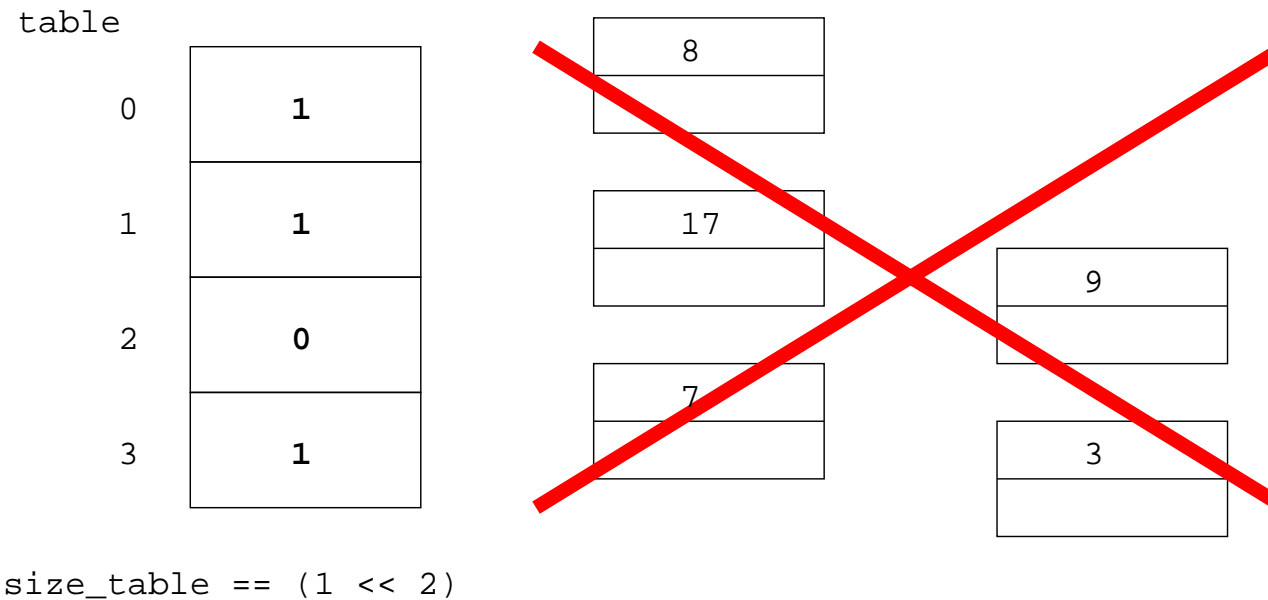
    res = 0;
    i = 0;
    for (j = 0; j < words_per_state; j++)
    {
        res += state[j] * primes [i++];
        if (i >= NUM_PRIMES)
            i = 0;
    }

    return res;
}
```

```
#define CRC_POLYNOMIAL 0x82F63B78
static unsigned
crc_hash_bit_by_bit (const char * str)
{
    unsigned const char * p;
    unsigned res = 0;
    int i, bit;
    for (p = str; *p; p++) {
        res ^= *p;
        for (i = 0; i < 8; i++) {
            bit = res & 1;
            res >>= 1;
            if (bit)
                res ^= CRC_POLYNOMIAL;
        }
    }
    return res;
}
```

- easy to parameterize
 - just use different prime numbers or starting positions (salt)
- integer multiplication is quite fast on modern processors
 - more space (transistors) available for multipliers
 - super scalar processors: multiple integer functional units
- how to adjust hash index to table size
 - power of two sizes: bit masking
 - otherwise calculate remainder

- problem with explicit **complete** state space exploration:
 1. too many states due to state space explosion
 2. single state requires already quite some space to be saved (10 - 1000 bytes?)
- simple idea of super-trace algorithm:
 1. treat states with the same hash value as identical states
 2. do not save states
 3. save only their hash value
- super-trace algorithm = **bit-state hashing**



if a state with the same hash value as the hash value of the current state was visited before then the current state is considered to have been visited as well!

- Advantages:

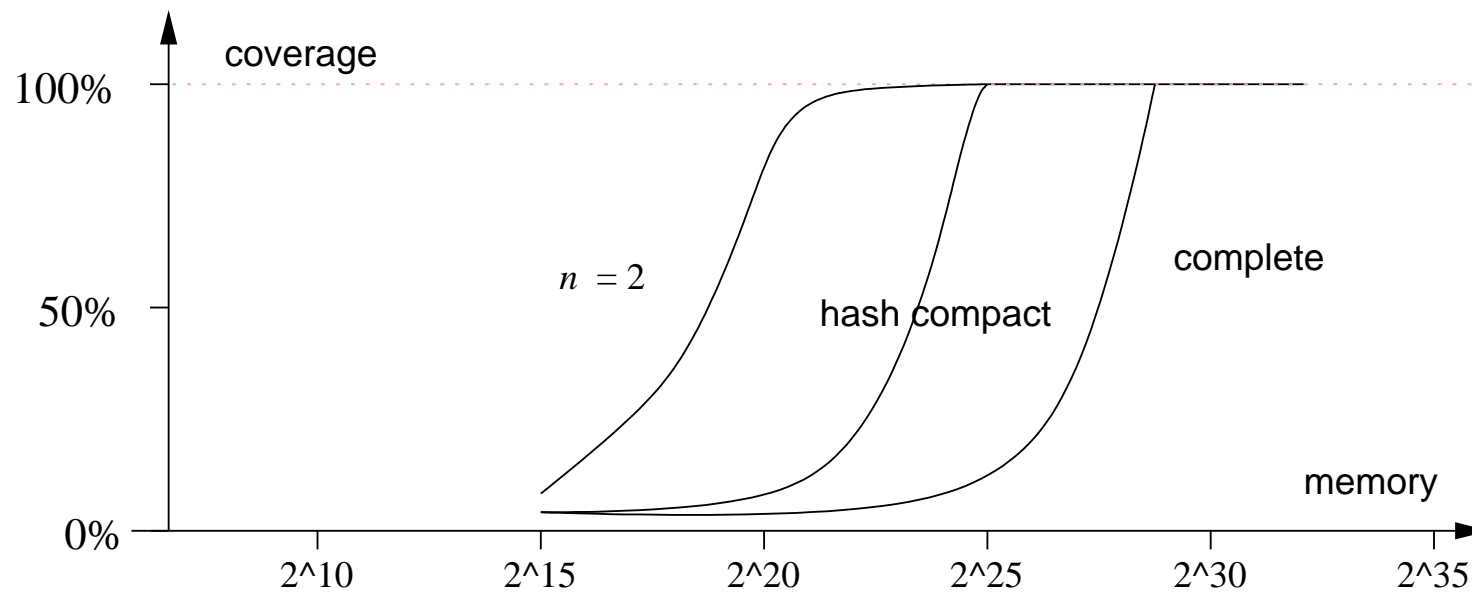
- drastic reduction of space usage (one bit per state)
- reduced by at least 8 times the size of a single state in bytes
- fit size of hash table to the amount of available main memory
- produces a lower bound on the number of visited and thus reachable states
- hash function parameterization and/or search order randomization ...
- ... thus different parts of the search space are explored

- Disadvantages:

- incompleteness due to non collision free hashing
- coverage?

- calculate two hash values with different hash functions
 - save state by storing in both hash tables one bit
 - now state is assumed to have been visited iff both bits are already set
 - z.B. $h_1, h_2 : Keys \rightarrow \{0, \dots, 2^{32} - 1\}$
 - two hash tables with 2^{32} bits = 2^{29} bytes = 512 MB
- easy to extend to n hash functions with $n > 2$
 - $n = 4$ with 2 GB main memory for hash tables is realistic
 - cf. parameterization of hash function using multiplication with primes

- instead of storing n bits it is also possible to store the n bit hash value in the hash table
 - hash table using 256 MB = 2^{28} bytes can store 2^{26} hash values / states
 - 32 bit hash function $h: keys \rightarrow \{0, \dots, 2^{32} - 1\}$
 - 4 bytes hash value per state



(after [Holzmann 1998], 427567 reachable states, 1376 bits)

- best case assumptions:
 - hash function is collision free
 - hash table is filled as long as possible without collisions
- m memory usage in bits, s state size in bits, r reachable states

$$coverage_{n=2}(m) = \frac{m}{r \cdot 2}$$

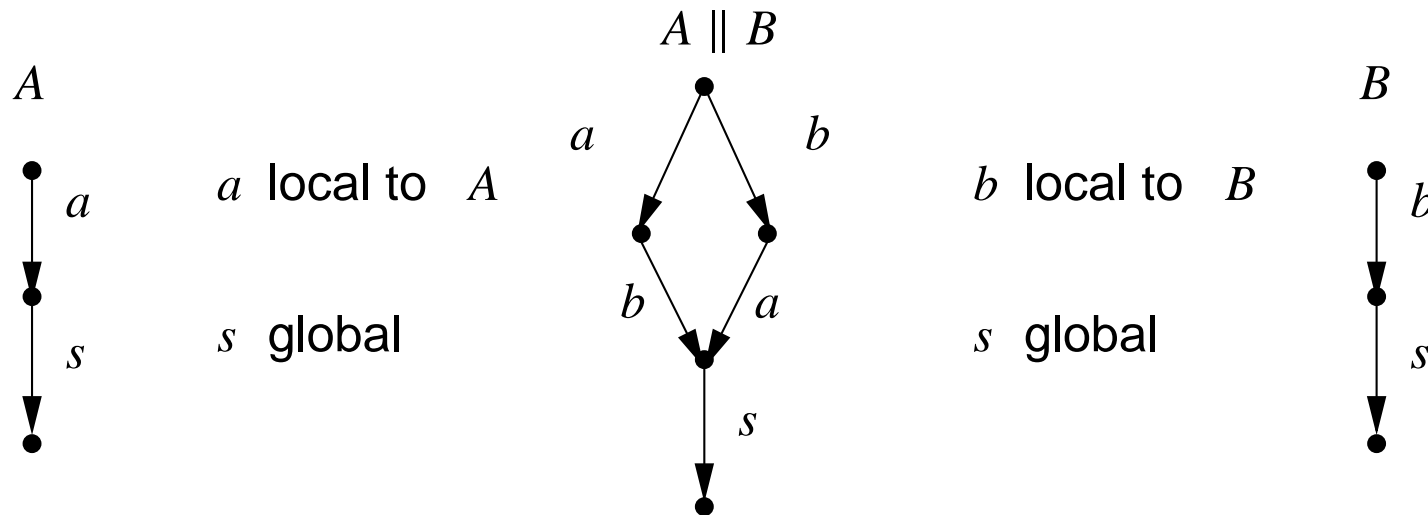
$$coverage_{\text{hashcompact}}(m) = \frac{m}{r \cdot w} \quad w = \text{word size in bits, e.g. 32 bits, } w \leq \lceil \log_2 r \rceil$$

$$coverage_{\text{complete}}(m) = \frac{m}{r \cdot s}$$

horizontal axis in previous figure is logarithmic

- in practice collisions do occur
- complete methods actually need more memory to achieve the same coverage
- these techniques are actually so called “Bloom filters”

- synchronous composition
 - lock step of all components, global clock
 - corresponds to product automaton construction
 - typical model for sequential **hardware**
(even though on switch/transistor level we need asynchronous models)
- asynchronous composition
 - components run independently, local clock
 - typical model for communication protocols and distributed **software**
 - synchronization: system calls, interrupts, signals, messages, channels, RPC
 - appropriate simplification: **interleaving**



- **alternating** execution of each process for **local** actions
- synchronization on **global** actions by **rendezvous**
- standard parallel composition in process algebra

Definition Let A_1 and A_2 be LTS. Their parallel composition $A = A_1 \parallel A_2$ consists of the following components:

$S = S_1 \times S_2$, $\Sigma = \Sigma_1 \cup \Sigma_2$, $I = I_1 \times I_2$, T is defined as follows:

$s \xrightarrow{a} s'$ in A_1 and $t' = t$ if $a \in \Sigma \setminus \Sigma_2$

$(s, t) \xrightarrow{a} (s', t')$ in A iff $t \xrightarrow{a} t'$ in A_2 and $s' = s$ if $a \in \Sigma \setminus \Sigma_1$

$s \xrightarrow{a} s'$ in A_1 and $t \xrightarrow{a} t'$ in A_2 if $a \in \Sigma_1 \cap \Sigma_2$

interleaving with synchronization on common actions

Definition In $A_1 \parallel A_2$ a symbol a is **local** for A_i iff $a \in \Sigma_i$ and $a \notin \Sigma_j$ for all $i \neq j$.

The set of local symbols for A_i is denoted as Λ_i .

Definition A symbol is called **local** if it is local for one A_i .

The set of all local symbols is denoted as $\Lambda = \bigcup \Lambda_i$.

Definition Transition $(s_1, s_2) \xrightarrow{a} (s'_1, s'_2)$ in $A_1 \parallel A_2$ is local (for A_i), iff a is local (for A_i).

Definition Symbols resp. transitions are **global**, iff they are not local.

The set of global symbols for A_i is denoted Γ_i and for all components denoted as $\Gamma = \bigcup \Gamma_i$.

If $i = 1$ let $\sigma(i) = 2$ and vice versa.

Fact $(s_1, s_2) \xrightarrow{a} (s'_1, s'_2)$ in A iff

$s_i \xrightarrow{a} s'_i$ in A_i and $s'_{\sigma(i)} = s_{\sigma(i)}$	if a local for A_i
$s_j \xrightarrow{a} s'_j$ in A_j for all $j = 1, 2$	if a global

Fact Asynchronous parallel composition \parallel is associative.

(the notation $A_1 \parallel A_2 \parallel \dots \parallel A_n$ is therefore well defined)

Fact ... and commutative modulo bisimulation: $A_1 \parallel A_2 \approx A_2 \parallel A_1$

Fact For the transition relation of $A_1 \parallel A_2 \parallel \dots \parallel A_n$ we have:

Let $\Psi(a) \subseteq \{1, \dots, n\}$ be the set of indices i with $a \in \Sigma_i$.

Let $\overline{\Psi(a)}$ be its complement.

$(s_1, \dots, s_n) \xrightarrow{a} (s'_1, \dots, s'_n)$ iff $s_i \xrightarrow{a} s'_i$ for all $i \in \Psi(a) \neq \emptyset$ and $s'_j = s_j$ for all $j \in \overline{\Psi(a)}$

Definition For two LTS A_1 and A_2 the *full asynchronous* parallel composition $A = A_1 ||| A_2$ consists of the following components:

$S = S_1 \times S_2$, $\Sigma = \mathbb{P}(\Sigma_1 \cup \Sigma_2)$, $I = I_1 \times I_2$, T is defined as follows:

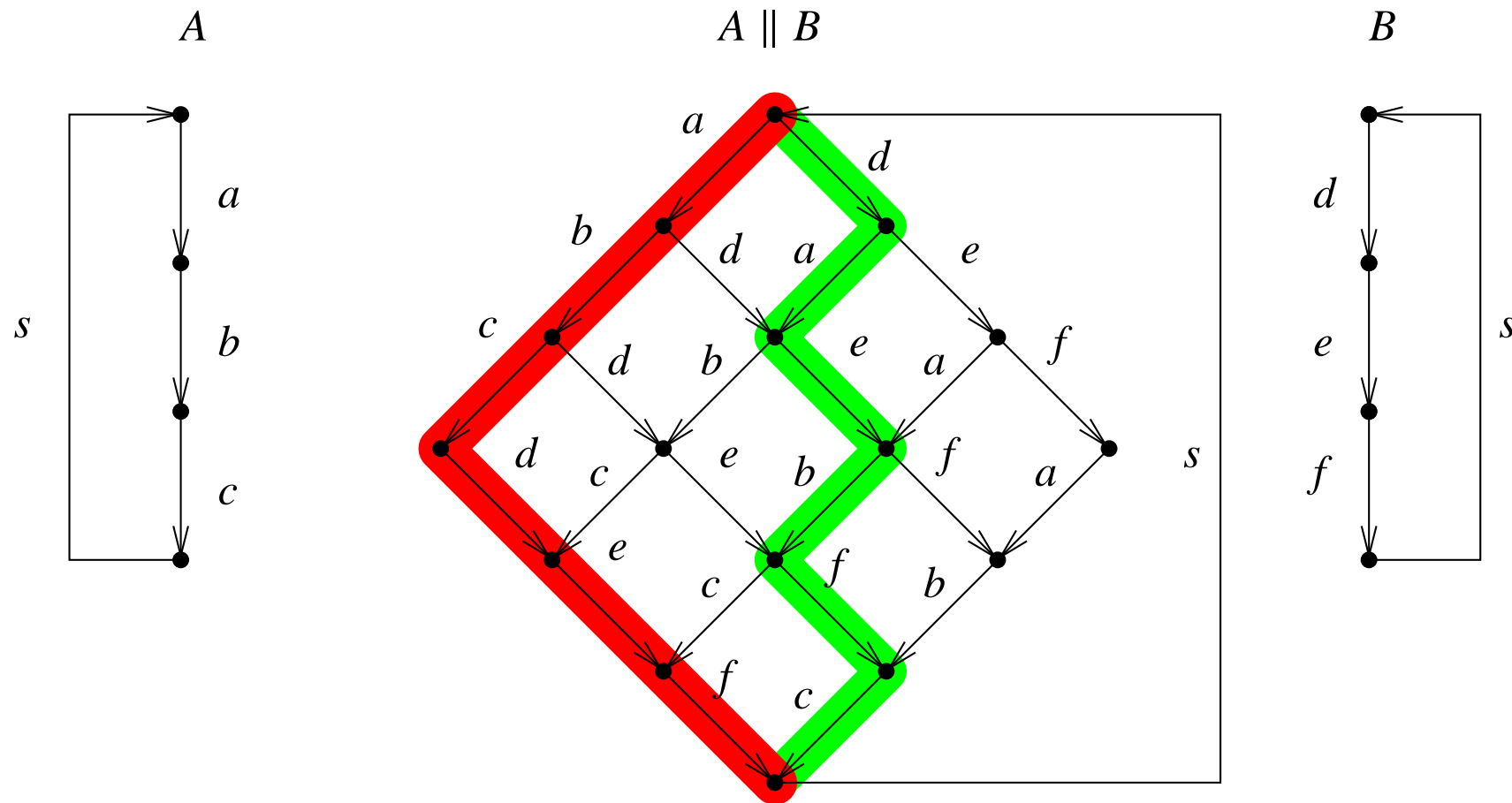
$s \xrightarrow{a} s'$ in A_1 and $t' = t$ if $M = \{a\} \subseteq \Sigma_1 \setminus \Sigma_2$

$t \xrightarrow{b} t'$ in A_2 and $s' = s$ if $M = \{b\} \subseteq \Sigma_2 \setminus \Sigma_1$

$(s, t) \xrightarrow{M} (s', t')$ in A iff $s \xrightarrow{a} s'$ in A_1 and $t \xrightarrow{a} t'$ in A_2 if $M = \{a\} \subseteq \Sigma_1 \cap \Sigma_2$

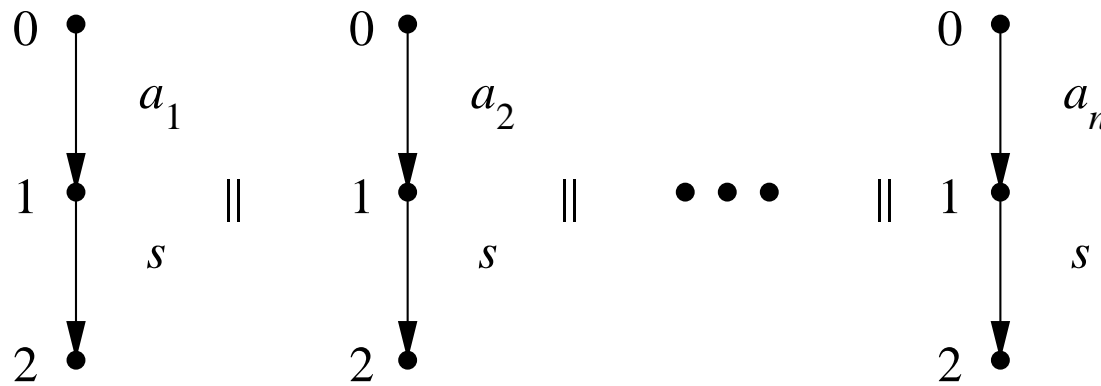
$s \xrightarrow{a} s'$ in A_1 and $t \xrightarrow{b} t'$ in A_2 if $a \in \Sigma_1 \setminus \Sigma_2$
and $b \in \Sigma_2 \setminus \Sigma_1$
and $M = \{a, b\}$

- extension of full asynchronous composition to arbitrary many components:
 - $\Sigma = \mathbb{P}(\Sigma_1 \cup \dots \cup \Sigma_n)$, $T = \dots$
 - synchronization on multiple global symbols in parallel is possible
 - exponential increase in alphabet size
- interleaving as simplification
 - **Fact** same set of reachable states
 - lengths of paths between states may differ but ...
 - ... interleaving model is not exact with respect to relative speed of components



idea follow only one out of 8 possible paths, e.g. just the red or green one

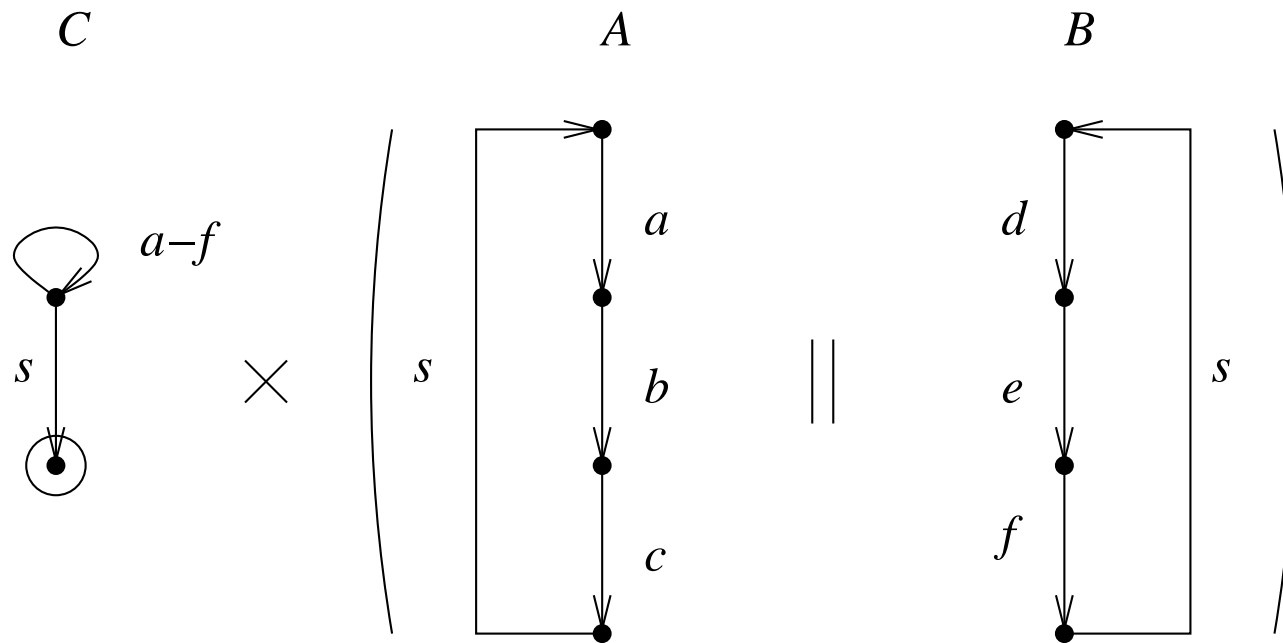
$$\Sigma_i = \{a_i, s\}, \quad \Sigma = \{a_1, \dots, a_n, s\}, \quad \Lambda_i = \{a_i\}, \quad \Gamma = \Gamma_i = \{s\}$$



number states: $|S| = |\{0, 1, 2\}^n| = 3^n$.

number reachable states: $|\{0, 1\}^n \cup \{2\}^n| = 2^n + 1$

number of necessary states: $|(1^*0^* \cap \{0, 1\}^n) \cup \{2\}^n| = (n + 1) + 1$

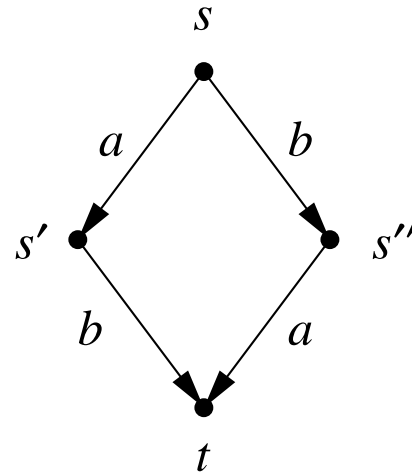


$$C \times (A \parallel B)$$

- checker has to be “invariant” against omitted transitions
 - removing transitions may not alter reachability of final states
 - reduction is depends on checker
- achieving maximum reduction can not be the goal:
 - only possible if reachability of final states is known
 - then there is no gain
- goal is to use a simple criteria that allows to remove transitions
 - best case: these situations can be determined statically ...
 - ... or efficiently dynamically during search

Definition A State $s = (s_1, \dots, s_n)$ in $A_1 \parallel \dots \parallel A_n$ is called **local** to A_i iff **all** transitions in A_i with s_i as source are local to A_i and such a transition exists

Definition A symbol a is commutative with a symbol b in state s iff for all s', s'' with $s \xrightarrow{a} s'$ and $s \xrightarrow{b} s''$ there is a state t with $s' \xrightarrow{b} t$ and $s'' \xrightarrow{a} t$.



Fact Let s be local to A_i . Then all Λ_i are commutative to all other $\Sigma \setminus \Lambda_i$.

Definition An *expansion* of a state is a subset of its successors.

BFS/DFS iterates only over expansions of `current` in inner loop

```
partial_order_recursive_dfs_aux (Stack stack, State current)
{
    ...

    forall next in expansion (current)
        partial_order_recursive_dfs_aux (stack, next);

    ...
}
```

Definition A *partial expansion* is a proper subset of the successors.

Definition *Local partial order reduction* uses the following expansion for a state s :

- exactly the local transitions of one A_i , if s is local to A_i
(this is a partial expansion in general)
- if there are multiple such A_i , then choose an arbitrary one
- if there is no such A_i , use all successors
(this is a non partial or full expansion)

Definition two traces w and w' are **locally equivalent**, written $w \approx_l w'$, iff they are identical after removing all local symbols

Fact the local equivalence \approx_l is in deed an equivalence relation

Proof

- reflexivity and symmetry are easy
- write $w|_{\Sigma'}$ for the trace w after removing symbols from Σ'
- transitivity: from $w_1 \approx_l w_2$, $w_2 \approx_l w_3$ follows $w_1|_{\Gamma} = w_2|_{\Gamma} = w_3|_{\Gamma}$ and therefore $w_1 \approx_l w_3$

Definition Checker C ignores local symbols iff

$$s \xrightarrow{a} = \{s\} \quad \text{in } C \text{ for all local } a \in \Lambda$$

(also called “ a is invisible for C ”)

Fact Let C ignore local symbols and $w \approx_l w'$, then $w \in L(C) \Leftrightarrow w' \in L(C)$.

Proof For $w \in L(C)$, a state sequence that accepts w also accepts w' and vice versa.

Search with partial order reduction only needs to traverse one representative of each equivalence class of \approx_l .

Problem

- partial expansion “delays” execution of transitions of other A_j
- those could be delayed forever even though they are executable

Solution

- cycles with only partial expansion need to be broken by fully expanding one state
- easy to implement in DFS:
 - each cycle is closed through a “back edge” to a state on the search stack
 - if a “back edge” is found the `current` state is fully expanded
- approximation in BFS: full expansion if an edge to an earlier generation is found.

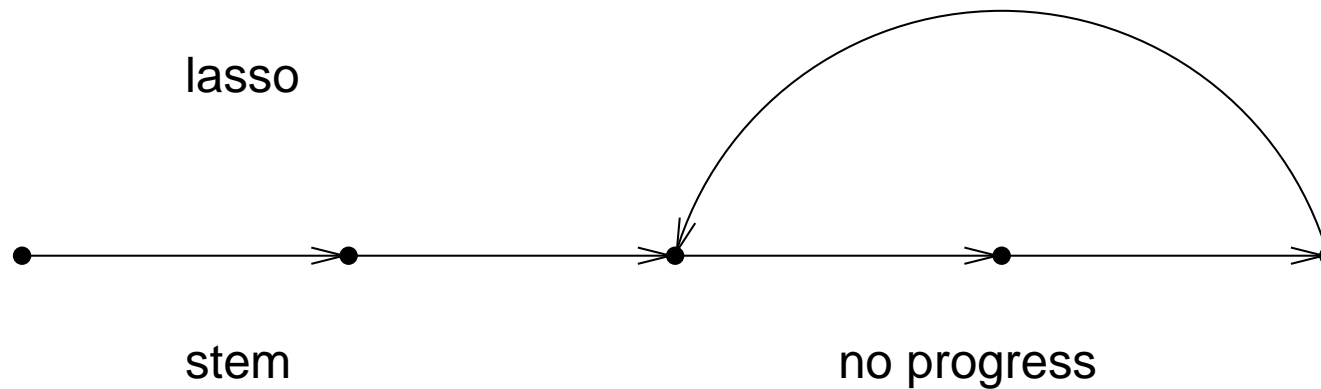
- instead of synchronization through actions:
 - synchronization through global variables
 - and/or synchronization through monitors/semaphores
 - and/or synchronization through messages/channels
- partial order reduction can be applied using the following concepts:
 - independence resp. commutativity of statements
 - * read/write and write/read dependent, read/read independent
 - * similar approach for messages (read = receive, write = send)
 - invisibility of local statements

- Message Passing
 - communication with messages over channels/buffers
 - note: finite models always have channels with finite capacity
- independent or local operations (2 processes, 1 channel):
 - read from channel, which is **not full**
 - write into a channel, which is **not empty**
- dependent or global operations (2 processes, 1 channel):
 - read from a **full** channel
 - write into an **empty** channel

- liveness
 - opposite of safety (not “security”)
 - describes **unavailable** behavior
 - usually only makes sense, if concrete timing is abstracted away:
concentrate on potential sequences of events
- **deadlock** is still a safety property
 - “no state without successor is reachable”
- **livelock** as a generic liveness property:
 - “system is locked up in an endless loop with *real* progress”

- termination of programs/processes/protocols:
 - quicksort terminates
 - IEEE Firewire: initialization phase terminates with a proper topology
- expected events really happen:
 - operations in super scalar processors eventually “retire”
 - elevator eventually shows up, if called
- in these examples there are **no time limits**

- **finite** state systems:



- **infinite** state systems:

- “divergence” possible: counter example may not have lasso shape
- for instance an incrementing counter over natural numbers

- abstraction of concrete scheduler:
 - order of process execution is arbitrary in the model
 - applies to interleaving and full asynchronous composition
- this abstraction may lead to spurious resp. artificial counter examples for liveness:
 - not executing a process even though executable may produce spurious live lock
- **fairness:**
 - scheduler does not ignore an (executable) process forever ...
 - ... without specifying a concrete scheduler
(which would be incorrect anyhow, because relative speed of processes unknown)

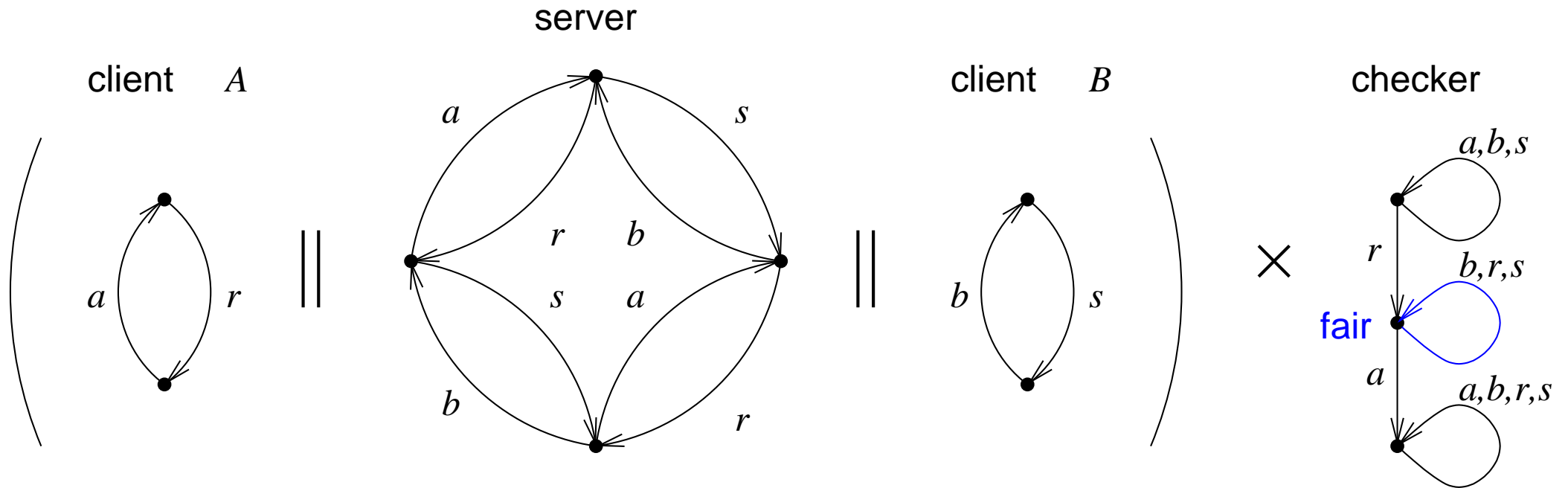
Definition a *fair LTS* $A = (S, I, \Sigma, T, F)$ is an ordinary LTS (S, I, Σ, T) , with $F \subseteq T$ a set of *fair transitions* of A .

(a transition is represented as (s, a, s'))

Definition an infinite path $\pi = s_0 \xrightarrow{a_0} s_1 \rightarrow \dots$ in a fair LTS is *fair* iff

π contains infinitely many fair transitions: $|\{i \mid (s_i, a_i, s_{i+1}) \in F\}| = \infty$.

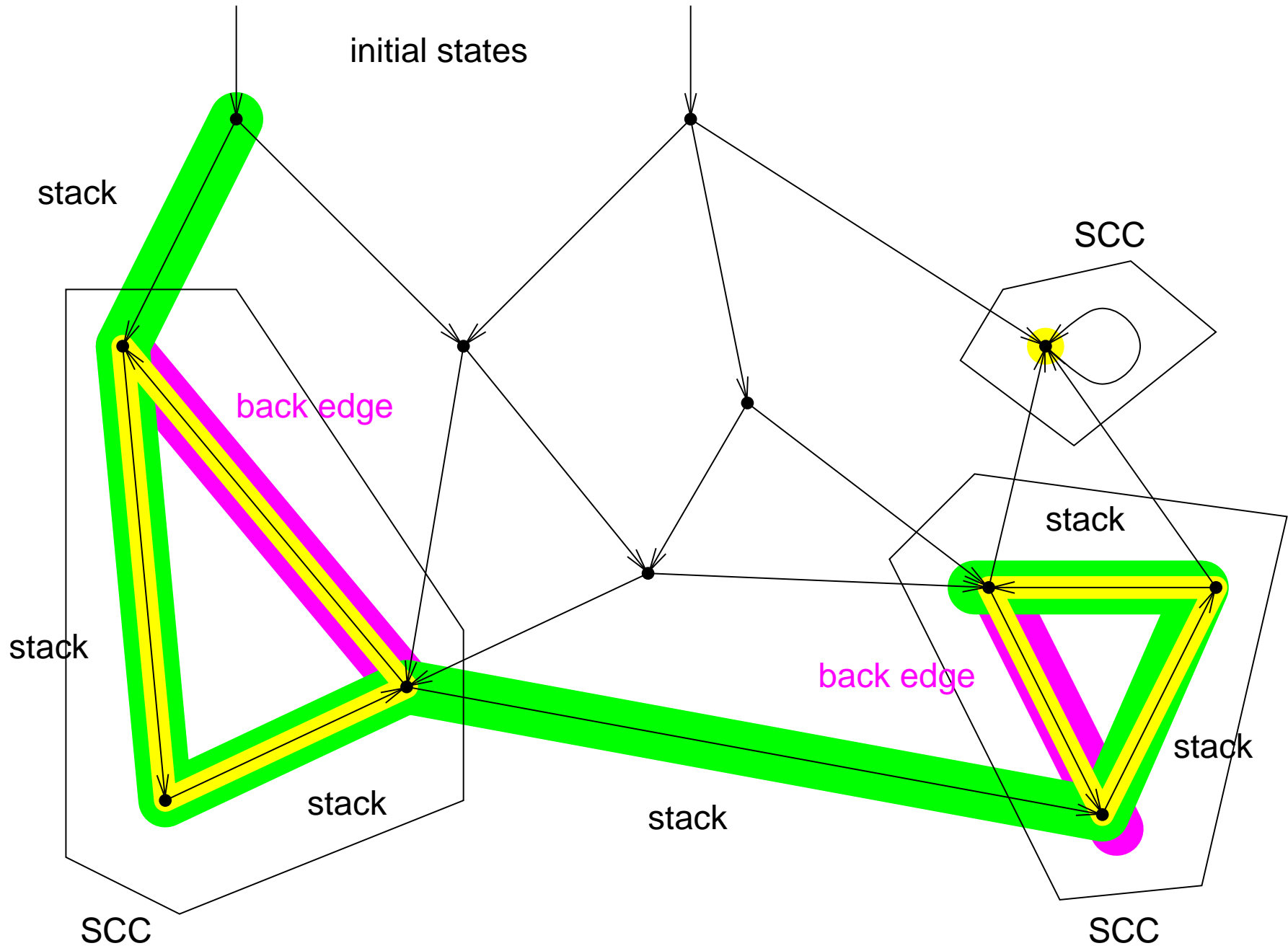
Example choose F as the set of transitions in which a deterministic component A_j either does a local or global transition, or is “disabled” ($s \not\xrightarrow{a}$ for all $a \in \Sigma_j$). A fair path in A_1 according to F in $A_1 \parallel \dots \parallel A_n$ has to execute A_j over and over again.



$F =$ all $\{b, r, s\}$ -transitions, for which the checker stays in the middle state
(counter example, that an a has to occur after r eventually)

there is a fair path with trace $r(bs)^\omega = rbsbsbs\dots$
(in which A is executed once)

- brute force (results in a quadratic algorithm):
 - back edge: edge from `current` to state on stack during recursive DFS
 - cycle closed by back edge is fair iff the cycle contains a fair transition
- SCC = strongly connected component
 - max. set of nodes of a directed graph (= state space),
in which every node is reachable from every other node in the set
- every cycle (incl. the cyclic part of any lasso) is contained in an SCC
 - SCCs can be found by DFS:
linear algorithm by Tarjan for decomposing a directed graph into its SCCs



- for each node/state calculate
 1. *depth first search index* (DFS_I): order nodes as they are discovered
 2. min. reachable DFS_I through back edges (MRDFS_I), initialized by DFS_I
- determine DFS_I in prefix phase of DFS (before successors are visited)
- push each newly reached node on auxiliary stack
- minimize MRDFS_I over the MRDFS_I of each node and its immediate children (during suffix or post-fix phase, but not over children **already in an SCC**)
- after visiting children of current node with MRDFS_I = DFS_I:
 - pop from aux. stack until current node is popped
 - all popped nodes in this last step form an SCC

```
forall nodes N do dfsi[N] = 0, mrdfsi[N] = INF;  
S = empty stack;  
I = 0; forall nodes N do I = tarjan (N, I, S);
```

```
tarjan (N, I, S)  
  if (dfsi[N] != 0) return I;  
  mrdfsi[N] = dfsi[N] = ++I;  
  S.push (N);  
  forall successors M of N do I = tarjan (M, I, S);  
  forall successors M of N do  
    mrdfsi[N] = min (mrdfsi[N], mrdfsi[M]);  
  if (dfsi[N] != mrdfsi[N]) return I;  
  do M = S.pop (), scc[M] = N, mrdfsi[M] = INF; while (M != N);  
  return I;
```

Problem prev. example without *fair* scheduler, allows spurious counter example

Definition a *general fair LTS* $A = (S, I, \Sigma, T, F_1, \dots, F_n)$ is an LTS (S, I, Σ, T) , with $F_i \subseteq T$ a family of fairness constraints.

Definition transition (s, a, s') is *fair* for the fairness constraint F_i iff $(s, a, s') \in F_i$.

Definition an infinite path $\pi = s_0 \xrightarrow{a_0} s_1 \rightarrow \dots$ in a general fair LTS is *fair* iff π contains infinitely many fair transitions for each fairness constraint F_i : $|\{i \mid (s_j, a_i, s_{j+1}) \in F_i\}| = \infty$.

Example Cont. choose as second fairness constraint all transitions which are either local to A or global. Then no fair path exists and there is no counter example for the property that r has to be followed by an a eventually.

- similar algorithm as just for one fairness constraint:
 - while closing cycles (through back edges)
check whether cycle contains *all* fairness constraints
 - or alternatively check if there is an SCC,
which contains a fair transition for each fairness constraint
- reduction of general fairness to (single) fairness: “counter construction”
 - order fairness constraints, e.g. $F_1 < \dots < F_n$
 - cross product with modulo n counter which goes from i to $(i + 1) \bmod n$ iff
the transition of the (original) LTS is fair with respect to F_{i+1}
 - new single fairness constraint consists of all transitions
in which the counter goes from $n - 1$ to 0

- abstract data type “boolean logic”:
constructors: for boolean constants and variables
operations: conjunction, disjunction, negation, ...
queries: test on satisfiability, tautology ...
- fundamental data type in EDA (Electronic Design Automation) tools:
simulators, synthesis, optimization, compilation, verification, ...
- trade off between fast operations versus space usage

0	0	0	0
0	0	1	1
0	1	0	1
0	1	1	0
1	0	0	1
1	0	1	0
1	1	0	0
1	1	1	1

f

0	0	0	1
0	0	1	0
0	1	0	0
0	1	1	1
1	0	0	0
1	0	1	1
1	1	0	1
1	1	1	0

g

0	0	0	1
0	0	1	1
0	1	0	1
0	1	1	1
1	0	0	1
1	0	1	1
1	1	0	1
1	1	1	1

$f \vee g$

0	0	0	1
0	0	1	0
0	1	0	0
0	1	1	1
1	0	0	0
1	0	1	1
1	1	0	1
1	1	1	0

$\neg f$

point wise operations

- function table always has 2^n rows for n variables (grows exponential)
- operations are linear in the size of its operands:
e.g. conjunction generates function table of same size
- representation is **canonical**:
two semantically equivalent boolean formulas have identical function tables
- queries are linear:
tautology: check that there is no row with 0 as result
satisfiability: find at least one row with 1 as result

- potentially more compact than function table
 - only depends on number of prime implicants
 - minimizing is still NP hard (Quine-McCluskey, heuristics methods: Espresso)
- simple implementation as 2-level circuit (PLA)
- operation of disjunction is linear (without minimization)
- conjunction quadratic, negation exponential (even without minimization)
- satisfiability check has constant complexity:
DNF satisfiable iff DNF has at least one cube

	b				
	0	1	0	1	
a	1	0	1	0	
	0	1	0	1	c
	1	0	1	0	
	0	1	0	1	
d					

$$a \oplus b \oplus c \oplus d$$

- no combination of blocks in KV diagram possible
- only *full* cubes (= min terms) as prime implicants (with max. number of literals)
- DNF for parity of n variables has 2^{n-1} cubes

$$\underbrace{(a \cdot \bar{b} \vee \bar{a} \cdot b \cdot \bar{c})}_{\text{1st Operand}} \wedge \underbrace{(a \cdot \bar{b} \vee \bar{b} \cdot \bar{c})}_{\text{2nd Operand}}$$

multiply out

$$a \cdot \bar{b} \cdot a \cdot \bar{b} \vee a \cdot \bar{b} \cdot \bar{b} \cdot \bar{c} \vee \bar{a} \cdot b \cdot \bar{c} \cdot a \cdot \bar{b} \vee \bar{a} \cdot b \cdot \bar{c} \cdot \bar{b} \cdot \bar{c}$$

simplify

$$a \cdot \bar{b} \vee a \cdot \bar{b} \cdot \bar{c}$$

minimize, e.g. use Quine-McCluskey

$$a \cdot \bar{b}$$

$$\underbrace{(a \vee b \vee c)}_{\text{1st operand}} \wedge \underbrace{(d \vee e \vee f)}_{\text{2nd operand}}$$

distribution of “ \wedge ” over “ \vee ” results in

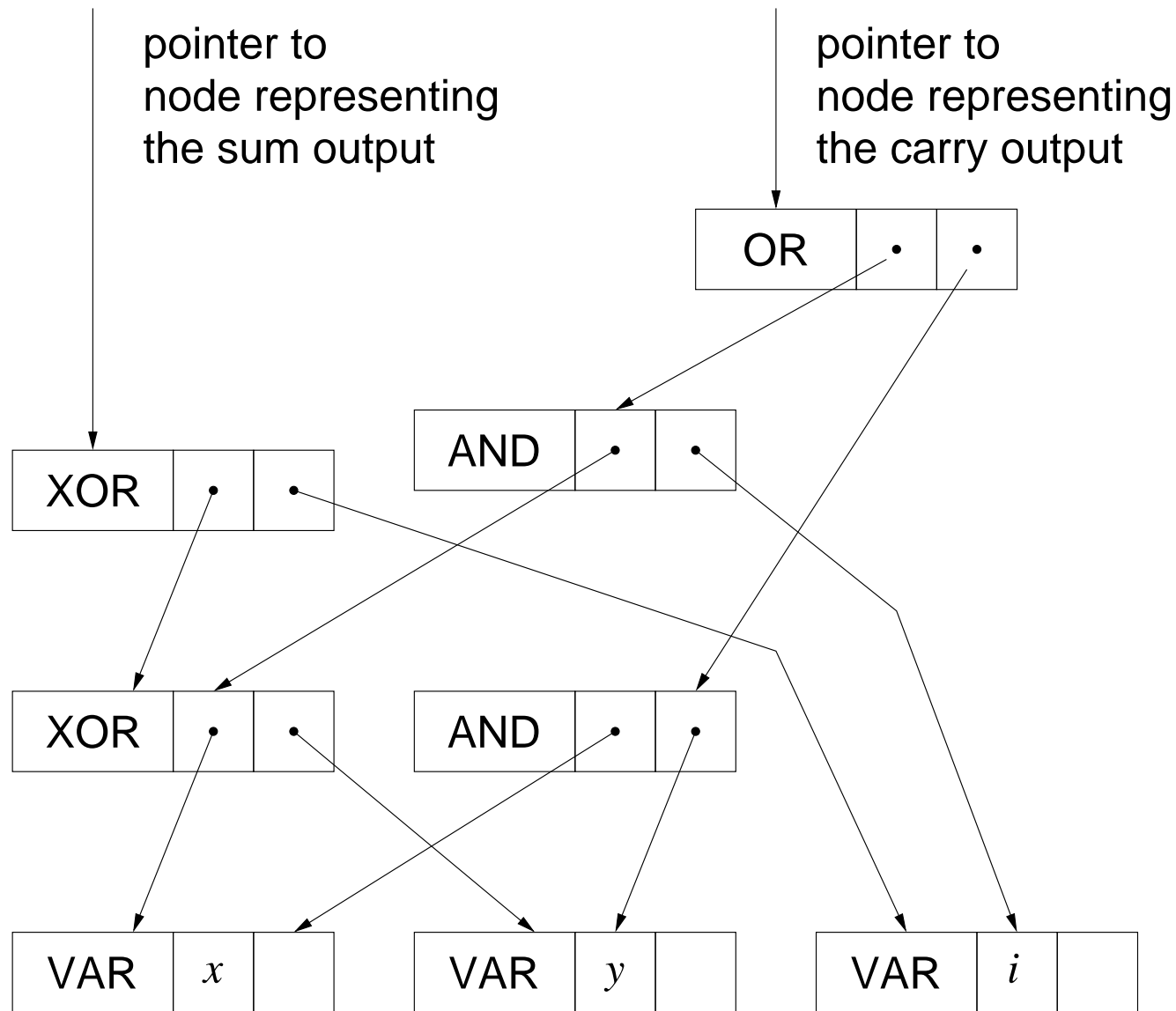
$$a \cdot d \vee a \cdot e \vee a \cdot f \vee b \cdot d \vee b \cdot e \vee b \cdot f \vee c \cdot d \vee c \cdot e \vee c \cdot f$$

no further simplifications!

example can be generalized:

the DNF for the conjunction of two DNFs with n resp. m cubes has $O(n \cdot m)$ cubes

- net list for combinational circuit:
hyper graph with *gates* as nodes and *signals* as hyper edges
(hyper edge: set of nodes connected to this edge)
- parse tree of a boolean formula
- sharing of common sub formulas is more compact:
carry out of a ripple-adders as tree is exponential in bit width
even though it can be implemented with a linear sized circuit
- parse DAG (directed acyclic graph) for combinational logic



```
enum Tag
{
    OR, AND, XOR, NOT, VAR, CONST
};

typedef struct Node Node;
typedef union NodeData NodeData;

union NodeData
{
    Node *child[2];
    int idx;
};

struct Node
{
    enum Tag tag;
    NodeData data;
    int mark; /* traversal */
};
```

- similar symbols in symbol table and expressions of compilers
- variable are encoded with integer indices
- boolean constants are represented with index 0 resp. 1
- operations nodes have pointers to their operands
- nodes can be shared
(memory management: reference counting or garbage collection)
- no cycles (DAG)!

```
Node *
new_node_val (int constant_value)
{
    Node *res;

    res = (Node *) malloc (sizeof (Node));
    memset (res, 0, sizeof (Node));
    res->tag = CONST;
    res->data.idx = constant_value;

    return res;
}
```

usually only 0 and 1 as values for constants

```
Node *
new_node_var (int variable_index)
{
    Node *res;

    res = (Node *) malloc (sizeof (Node));
    memset (res, 0, sizeof (Node));
    res->tag = VAR;
    res->data.idx = variable_index;

    return res;
}
```

variables are distinguished by their index

```
Node *
new_node_op (enum Tag tag, Node * child0, Node * child1)
{
    Node *res;

    res = (Node *) malloc (sizeof (Node));
    memset (res, 0, sizeof (Node));
    res->tag = tag;
    res->data.child[0] = child0;
    res->data.child[1] = child1;

    return res;
}
```

operator type as first argument

(assumption: `child1` is 0 for negation operator)

```
Node *x, *y, *i, *o, *s, *t[3];
```

```
x = new_node_var (0);
```

```
y = new_node_var (1);
```

```
i = new_node_var (2);
```

```
t[0] = new_node_op (XOR, x, y);
```

```
t[1] = new_node_op (AND, x, y);
```

```
t[2] = new_node_op (AND, t[0], i);
```

```
s = new_node_op (XOR, t[0], i);
```

```
o = new_node_op (OR, t[1], t[2]);
```

explicit sharing through temporary pointers `t[0]`, `t[1]` and `t[2]`

```
void
input_cone_node (Node * node)
{
    if (node->mark)
        return;
    node->mark = 1;
    switch (node->tag)
    {
        case CONST:
            break;
        case VAR:
            printf ("variable %d in input cone\n", node->data.idx);
            break;
        case NOT:
            input_cone_node (node->data.child[0]);
            break;
        default: /* assume binary operator */
            input_cone_node (node->data.child[0]);
            input_cone_node (node->data.child[1]);
            break;
    }
}
```

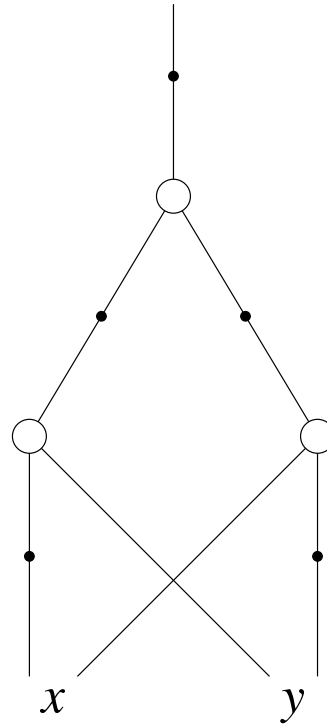


```
void
mark_node (Node * node, int new_value)
{
    if (node->mark == new_value)
        return;

    node->mark = new_value;
    switch (node->tag)
    {
        case VAR:
        case CONST:
            return;
        case NOT:
            mark_node (node->data.child[0], new_value);
            break;
        default:
            mark_node (node->data.child[0], new_value);
            mark_node (node->data.child[1], new_value);
            break;
    }
}
```

- algorithms are essentially a variant of DFS
- avoid multiple visits with `mark` flag
- usually two phases: traversal, reset of `mark` flags
- conjunction, negation are fast (simply use `op`)
- tautology and satisfiability checks are hard
- explicit sharing: not canonical representation

- basic logical operators: conjunction and negation
- DAG representation:
 - operator nodes are all conjunctions
 - negation/sign as *edge attribute*
 - (bit stuffing: compactly stored as LSB in pointer)
- automatic sharing of isomorphic sub graphs
- simplification rules with constant time look ahead



negation/sign are edge attributes

(not part of node)

```
typedef struct AIG AIG;

struct AIG
{
    enum Tag tag;           /* AND, VAR */
    void *data[2];
    int mark, level;       /* traversal */
    AIG *next;             /* hash collision chain */
};

#define sign_aig(aig) (1 & (unsigned) aig)
#define not_aig(aig) ((AIG*)(1 ^ (unsigned) aig))
#define strip_aig(aig) ((AIG*)(~1 & (unsigned) aig))
#define false_aig ((AIG*) 0)
#define true_aig ((AIG*) 1)
```

assumption for correctness:

```
sizeof(unsigned) == sizeof(void*)
```

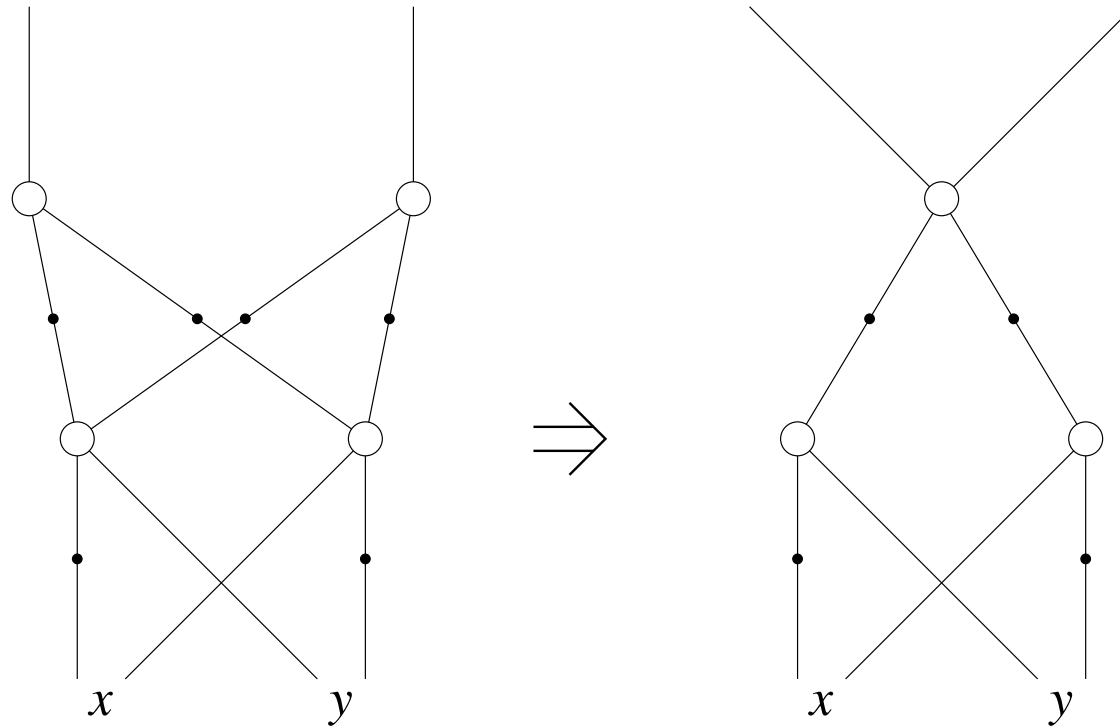
- alignment of modern processors “wastes” several LSBs
alignment is typically 4 or 8 bytes \Rightarrow 2 or 3 LSBs left
`malloc` returns *aligned blocks*, e.g. 8 Byte aligned on Sparc
- negated and not negated formula represented by the same node
(potentially reduces memory usage by half)
- maximal reduction to one operator (AND)
- negation extremely efficient (bit LSB of pointer)
- allows additional simplification rules
constant time detection of arguments with opposite sign

```
int
simp_aig (enum Tag tag, void *d0, void *d1, AIG ** res_ptr)
{
    if (tag == AND)
    {
        if (d0 == false_aig || d1 == false_aig || d0 == not_aig (d1))
            { *res_ptr = false_aig; return 1; }

        if (d0 == true_aig || d0 == d1)
            { *res_ptr = d1; return 1; }

        if (d1 == true_aig)
            { *res_ptr = d0; return 1; }
    }

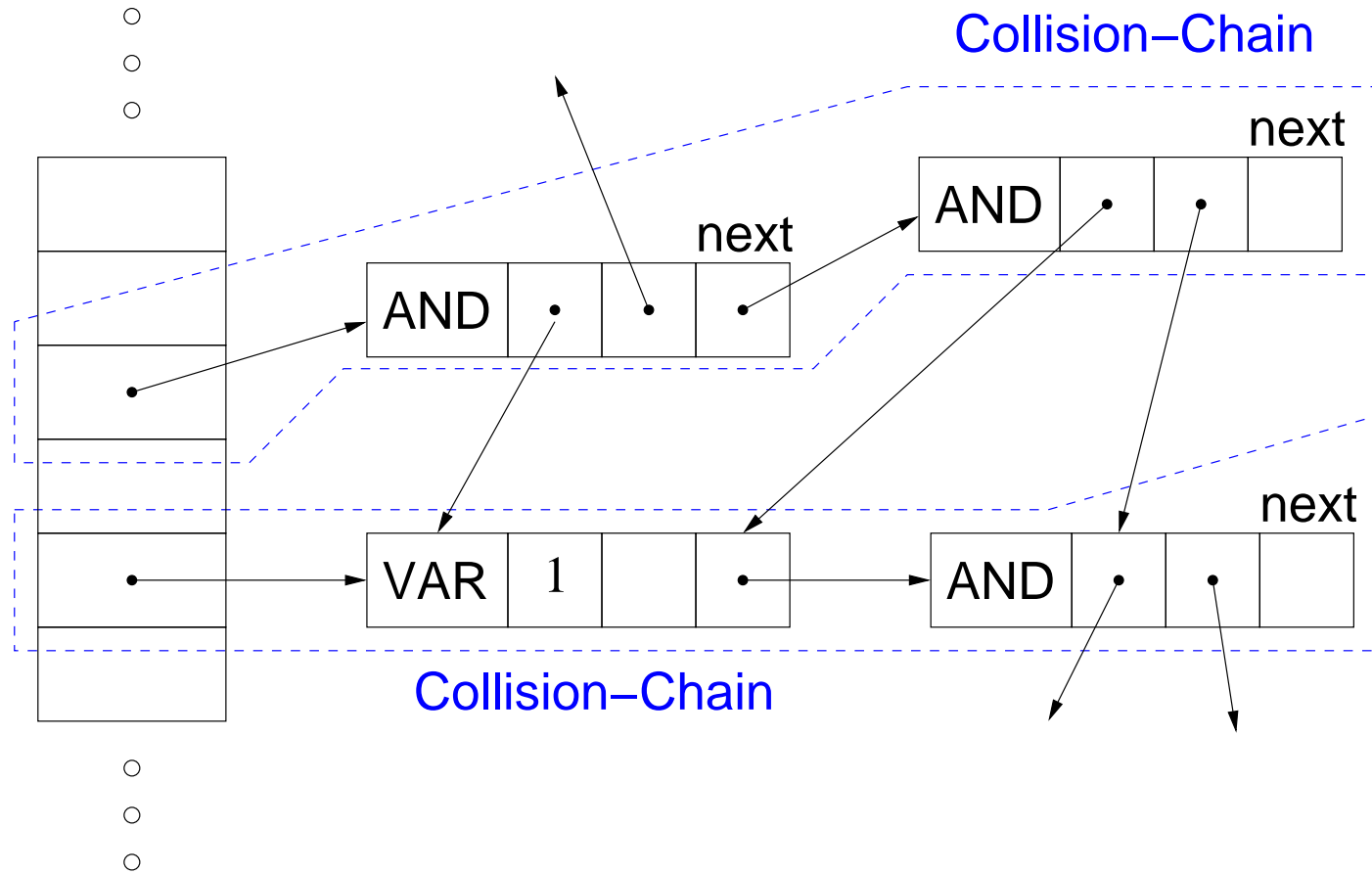
    return 0;
}
```



merge nodes with same children

- is also called *algebraic reduction*
- main advantage: automatic sharing, thus less memory usage
- implementation:
nodes stored in a hash table (unique table)
- on-the-fly reduction:
invariant: two nodes always have different children
before generating a new node search for already existing equivalent node
if search is successful return equivalent node

Unique-Table



```
#define UNIQUE_SIZE (1 << 20)
AIG *unique[UNIQUE_SIZE];

AIG **
find_aig (enum Tag tag, void *d0, void *d1)
{
    AIG *r, **p;
    unsigned h = (tag + ((int) d0) * 65537 + 13 * (int) d1);
    h = h & (UNIQUE_SIZE - 1);    /* modulo UNIQUE_SIZE */
    for (p = unique + h; (r = *p); p = &r->next)
        if (r->tag == tag && r->data[0] == d0 && r->data[1] == d1)
            break;

    return p;
}
```

1. compute hash value as combination of tags tags and pointer values
(resp. variable index or constant value)
2. normalize hash value to table size (modulo table size)
3. search through collision chain starting at the normalized hash value
4. compare nodes with node that is to be generated
5. if these are the same return pointer to the link pointing to the existing node
6. otherwise return pointer to last (empty) link field in collision chain

```
void
insert_aig (AIG * aig)
{
    AIG **p;
    int l[2];

    p = find_aig (aig->tag, aig->data[0], aig->data[1]);
    assert (!*p);
    aig->next = *p;
    *p = aig;

    if (aig->tag == AND)
    {
        l[0] = strip_aig (aig->data[0])->level;
        l[1] = strip_aig (aig->data[1])->level;
        aig->level = 1 + ((l[0] < l[1]) ? l[1] : l[0]);
    }
    else
        aig->level = 0;
}
```

`find_aig` returns “new position” of hashed node

```
AIG *
new_aig (enum Tag tag, void *data0, void *data1)
{
    AIG *res;
    if (tag == AND && data0 > data1)
        SWAP (data0, data1);
    if (tag == AND && (simp_aig (tag, data0, data1, &res)))
        return res;
    if ((res = *find_aig (tag, data0, data1)))
        return res;
    res = (AIG *) malloc (sizeof (AIG));
    memset (res, 0, sizeof (AIG));
    res->tag = tag;
    res->data[0] = data0;
    res->data[1] = data1;
    insert_aig (res);

    return res;
}
```

```
AIG *  
var_aig (int variable_index)  
{  
    return new_aig (VAR, (void *) variable_index, 0);  
}
```

```
AIG *  
and_aig (AIG * a, AIG * b)  
{  
    return new_aig (AND, a, b);  
}
```

```
AIG *  
or_aig (AIG * a, AIG * b)  
{  
    return not_aig (and_aig (not_aig (a), not_aig (b)));  
}
```

```
AIG *  
xor_aig (AIG * a, AIG * b)  
{  
    return or_aig (and_aig (a, not_aig (b)), and_aig (not_aig (a), b));  
}
```

```
int
count_aig (AIG * aig)
{
    if (sign_aig (aig))
        aig = not_aig (aig);
    if (aig->mark)
        return 0;
    aig->mark = 1;
    if (aig->tag == AND)
        return count_aig (aig->data[0]) + count_aig (aig->data[1]) + 1;
    else
        return 1;
}
```

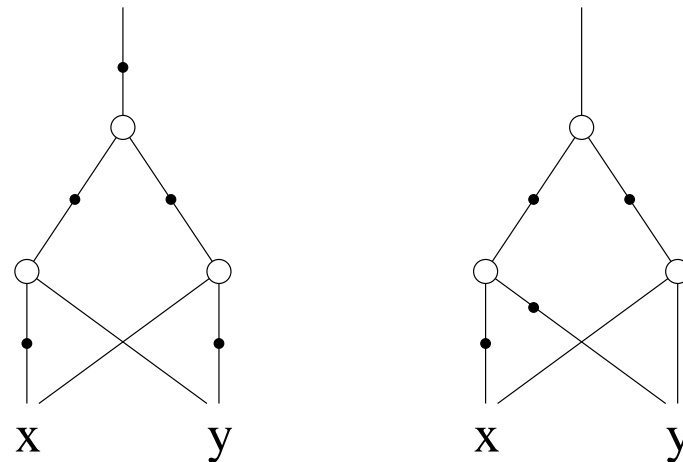
be carefull to handle signs
otherwise simple DFS as for DAG representation


```
void
mark_aig (AIG * aig, int new_value)
{
    if (sign_aig (aig))
        aig = not_aig (aig);
    if (aig->mark == new_value)
        return;
    aig->mark = new_value;
    if (aig->tag == AND)
    {
        mark_aig (aig->data[0], new_value);
        mark_aig (aig->data[1], new_value);
    }
}
```

less cases and less code as for DAG representation!

```
AIG *
node2aig (Node * node)
{
    switch (node->tag)
    {
        case VAR:
            return new_aig (VAR, (void *) node->data.idx, 0);
        case CONST:
            return node->data.idx ? true_aig : false_aig;
        case AND:
            return and_aig (node2aig (node->data.child[0]),
                           node2aig (node->data.child[1]));
        case OR:
            return or_aig (node2aig (node->data.child[0]),
                           node2aig (node->data.child[1]));
        case XOR:
            return xor_aig (node2aig (node->data.child[0]),
                           node2aig (node->data.child[1]));
        default:
            assert (node->tag == NOT);
            return not_aig (node2aig (node->data.child[0]));
    }
}
```

- more robust C code
(for instance 64 Bit conformance)
- memory management
(either use reference counting or garbage collection)
- input format, parser
- more complex simplification rules for grand children
(see our paper BrummayerBiere-MEMICS'06)



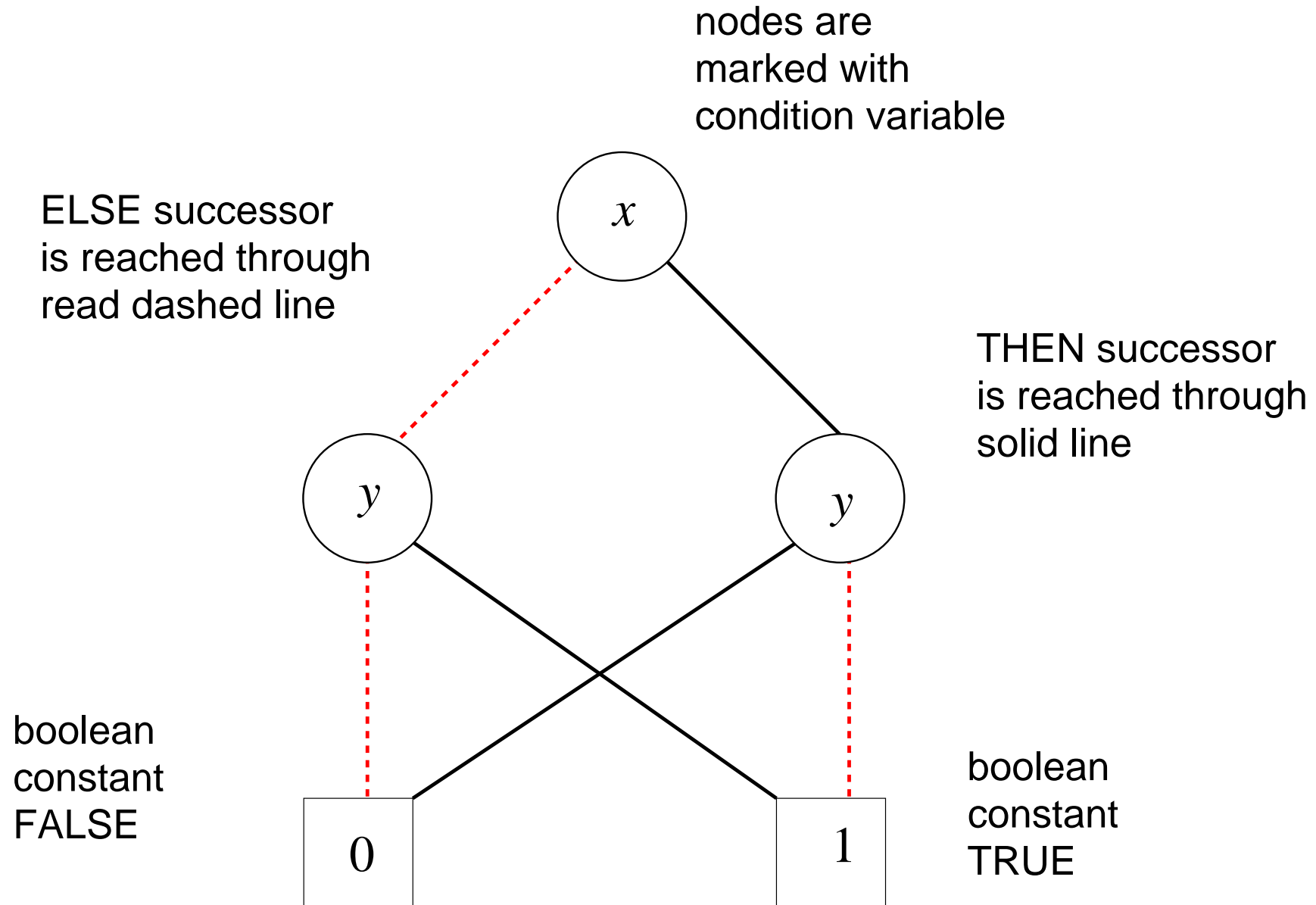
$$\bar{x} \cdot y \vee x \cdot \bar{y} \quad \equiv \quad (\bar{x} \vee \bar{y}) \cdot (x \vee y)$$

both formulas and AIGs represent XOR of x and y

(multiply out right formula and simplify result to obtain left one)

in general there are multiple AIGs for the same boolean function

- based on ternary base operation ITE (if-then-else):
condition is always a variable
- go back to Shannon also called Shannon graphs
- mainly used in the version of ROBDDs
Reduced Ordered Binary Decision Diagrams
- [Bryant86] showed canonicity of ROBDDs:
each boolean function has exactly one ROBDD modulo variable order

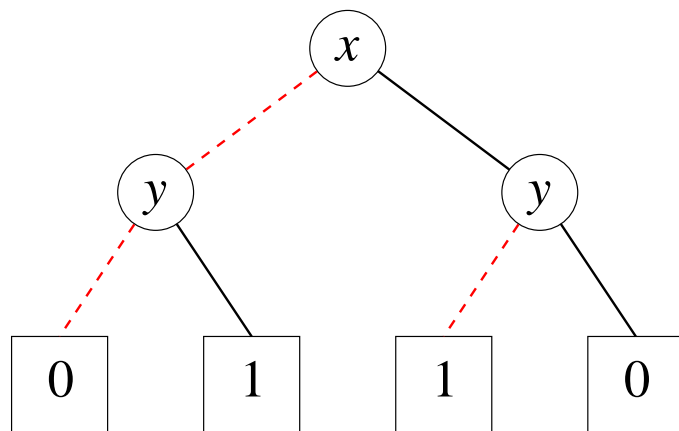


- inner nodes are ITE, leafs are boolean constants
- notation $ite(x, f_1, f_0)$ means *if x then f_1 else f_0*
(note that ELSE argument f_0 follows f_1 despite reverse indices)
- semantic $eval$ produces boolean expressions out of a BDD

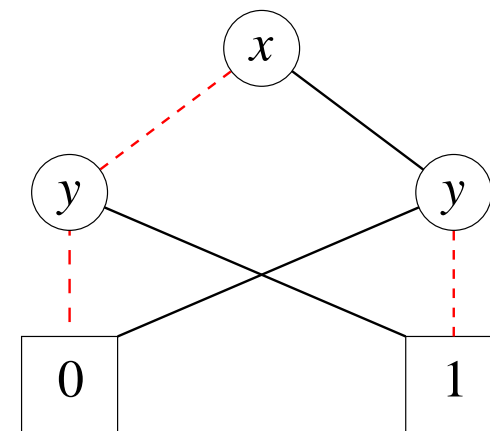
$$\begin{aligned}eval(0) &\equiv 0 \\eval(1) &\equiv 1 \\eval(ite(x, f_1, f_0)) &\equiv x \cdot eval(f_1) \vee \bar{x} \cdot eval(f_0)\end{aligned}$$

- BDDs are again algebraically reduced DAGs
(max. sharing of isomorphic sub graphs as for AIGs)
- negated edges are also possible

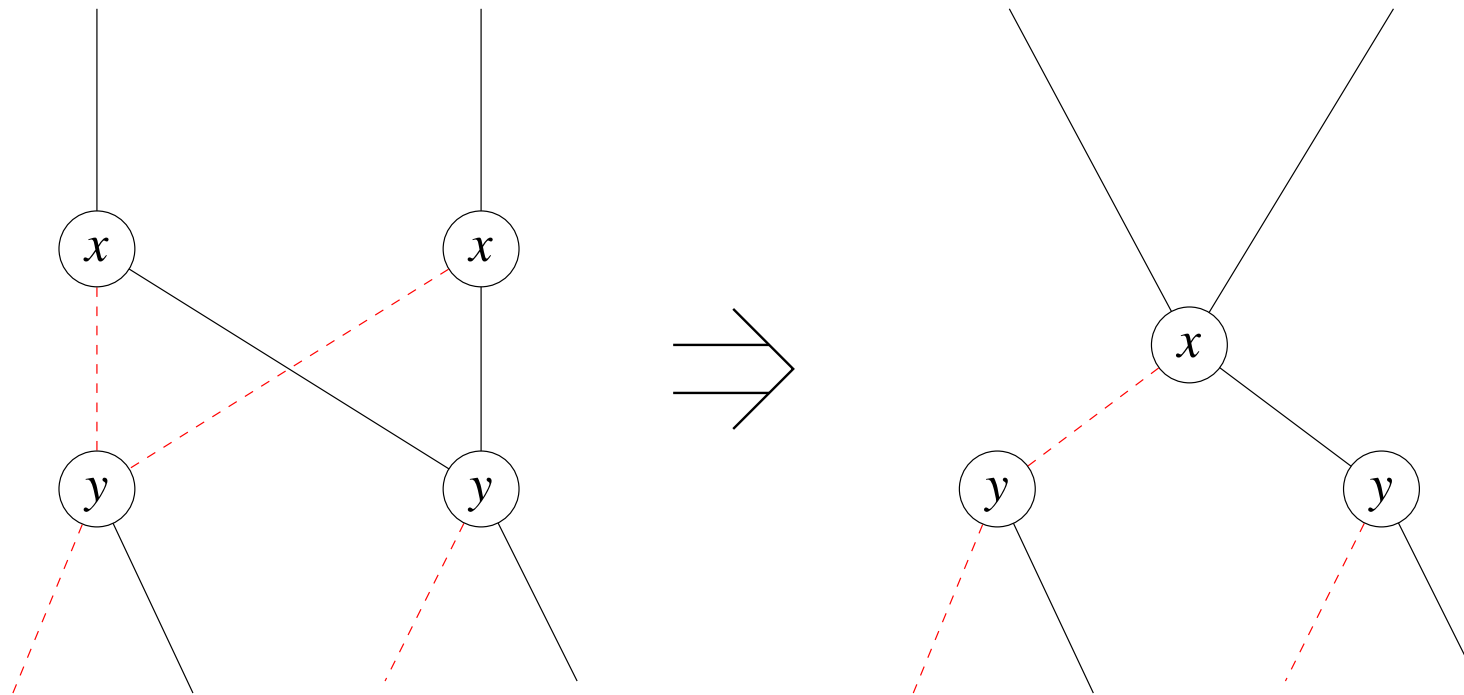
x	y	$x \oplus y$
0	0	0
0	1	1
1	0	1
1	1	0



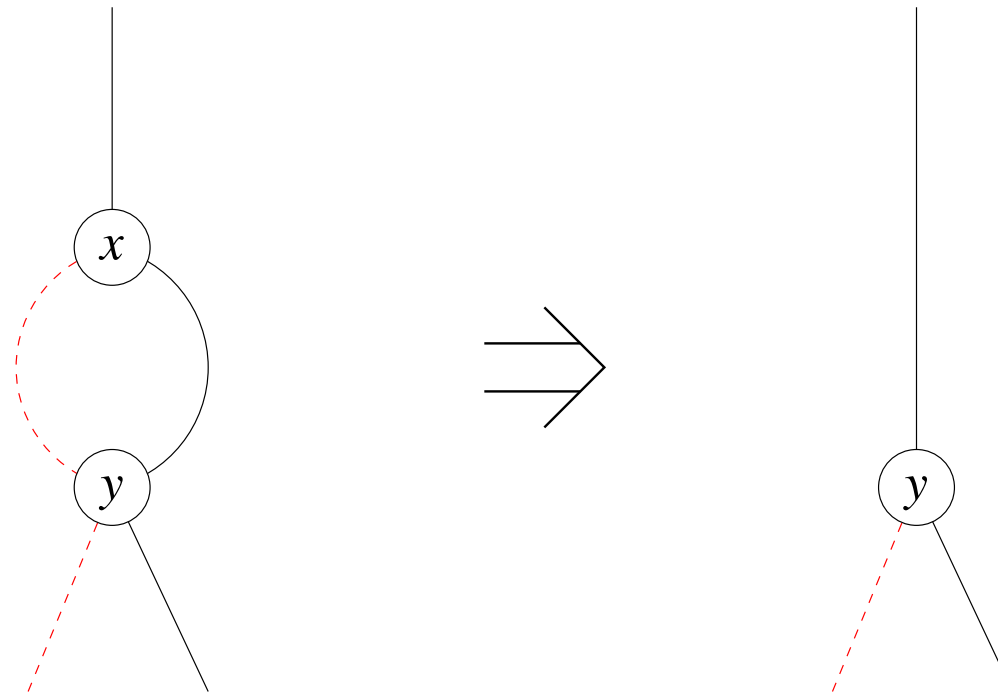
decision tree



decision diagram
(DAG)

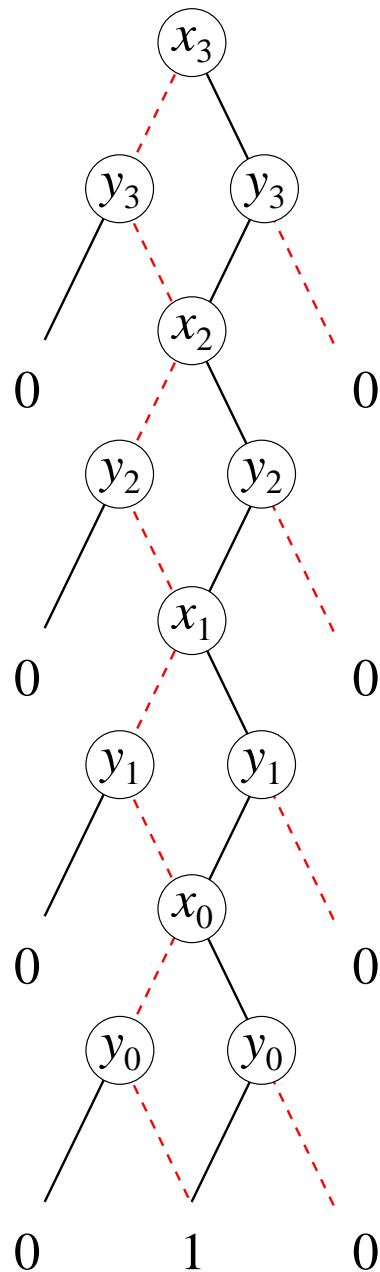


max. sharing of isomorphic sub graphs



elimination of redundant nodes

- apply both rules exhaustively
(that gives **reduced** BDDs: the “R” in “ROBDDs”)
- variables on paths from the root to leafs are ordered always in the same way
(that gives **ordered** BDDs: the “O” in “ROBDDs”)
- these assumptions make ROBDDs canonical modulo the variable order
 - different orders usually lead to different ROBDDs
 - variable order has great influence ROBDD size for a given function
- **in the following we always mean ROBDD, when we say BDD**



boolean function/expression:

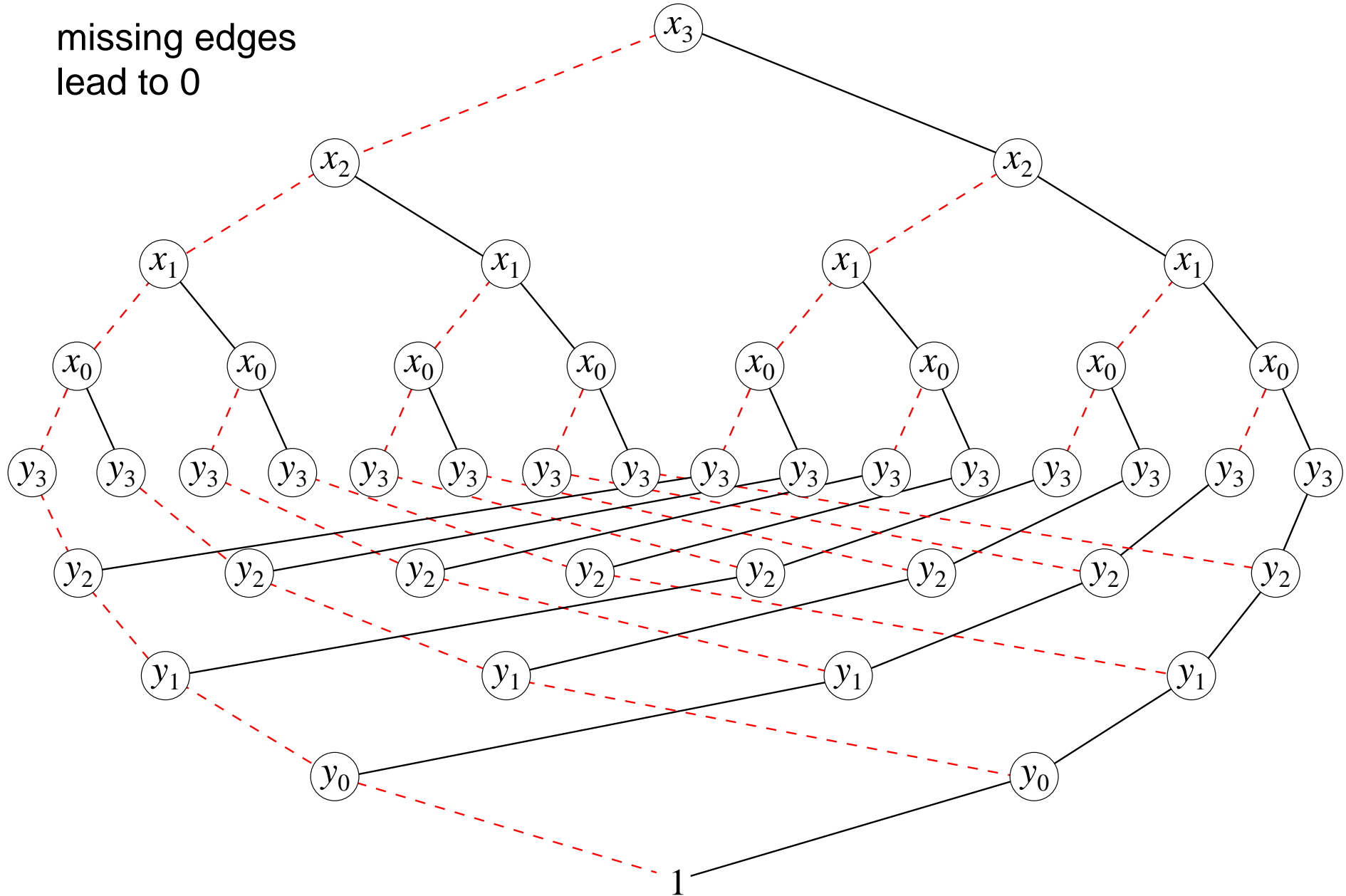
$$\bigwedge_{i=0}^{n-1} x_i = y_i$$

interleaved variable order:

$$x_3 > y_3 > x_2 > y_2 > x_1 > y_1 > x_0 > y_0$$

comparison of two n -bit-vectors needs $3 \cdot n$ inner nodes for the interleaved variable order

missing edges
lead to 0



- exponential difference between variable orders
- there also exist *exponential* functions:
BDD is always exponential in size, e.g. middle output bit of multiplier circuits
- heuristics for *static variable ordering*:
order the variables with DFS as they occur in the DAG/AIG
(as for instance in `input_cone_aig`)
- *dynamic reordering of variables*
based on *in place* exchange of neighboring variables

canonicity of BDDs results in:

more precisely ROBDD

- BDD is a tautology, iff it is only made of the 1 leaf
- BDD is satisfiable, iff it is not only made of the 0 leaf
- two BDDs are equivalent, iff they are isomorphic
(constant time pointer comparison if unique table as in AIGs is used)

Question: where is the NP completeness of satisfiability?

Answer: hidden in the effort to construct the BDD.

$$f(x) \equiv x \cdot f(1) \vee \bar{x} \cdot f(0)$$

let x the top most variable of two BDDs f and g :

$$f \equiv \text{ite}(x, f_1, f_0) \quad g \equiv \text{ite}(x, g_1, g_0)$$

with f_i resp. g_i the children of f and g for $i = 0, 1$.

$$f(0) = f_0 \quad g(0) = g_0 \quad f(1) = f_1 \quad g(1) = g_1$$

Because of the **R** in **ROBDD** x occurs only at the top of f and g .

$$\begin{aligned} (f@g)(x) &\equiv x \cdot (f@g)(1) \vee \bar{x} \cdot (f@g)(0) \\ &\equiv x \cdot (f(1)@g(1)) \vee \bar{x} \cdot (f(0)@g(0)) \\ &\equiv x \cdot (f_1@g_1) \vee \bar{x} \cdot (f_0@g_0) \end{aligned}$$

where $@$ is any binary boolean operation, such as \wedge , \vee , \oplus , ...

recursive algorithm to compute operations on BDDs


```
typedef struct BDD BDD;

struct BDD
{
    int idx, mark;
    BDD *child[2], *next;
};

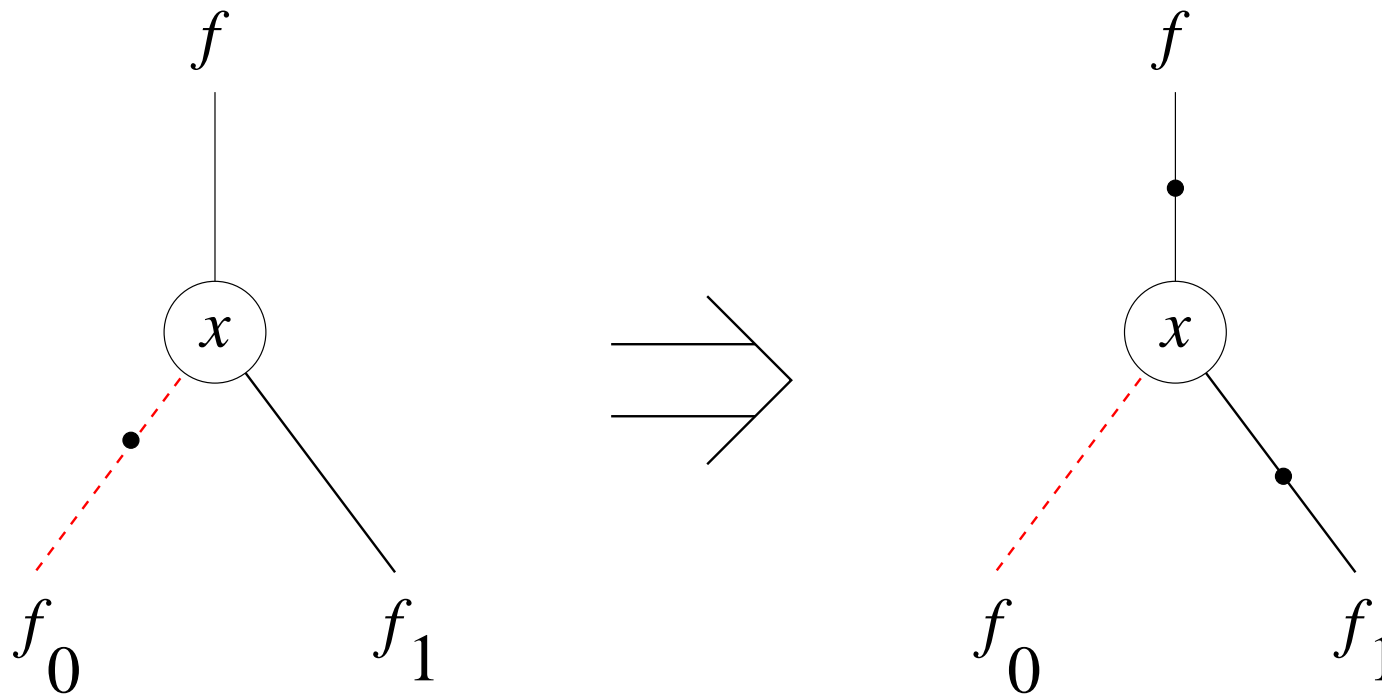
#define sign_bdd(ptr) (1 & (unsigned) ptr)
#define strip_bdd(ptr) ((BDD*) (~1 & (unsigned) ptr))
#define not_bdd(ptr) ((BDD*) (1 ^ (unsigned) ptr))
#define true_bdd ((BDD*) 1)
#define false_bdd ((BDD*) 0)

#define is_constant_bdd(ptr) \
    ((ptr) == true_bdd || (ptr) == false_bdd)
```

```
#define UNIQUE_SIZE (1 << 20)
BDD *unique[UNIQUE_SIZE];

BDD **
find_bdd (int idx, BDD * c0, BDD * c1)
{
    BDD *r, **p;
    unsigned h = (idx + ((int) c0) * 65537 + 13 * (int) c1);
    h = h & (UNIQUE_SIZE - 1);
    for (p = unique + h; (r = *p); p = &r->next)
        if (r->idx == idx && r->child[0] == c0 && r->child[1] == c1)
            break;

    return p;
}
```



$$\begin{aligned}
 \text{ite}(x, f_1, \overline{f_0}) &\equiv x \cdot f_1 \vee \overline{x} \cdot \overline{f_0} \equiv \overline{(\overline{x} \vee \overline{f_1}) \cdot (x \vee f_0)} \\
 &\equiv \overline{x \cdot \overline{f_1} \vee \overline{x} \cdot f_0 \vee \overline{f_1} \cdot f_0} \\
 &\equiv \overline{x \cdot \overline{f_1} \vee \overline{x} \cdot f_0} \equiv \overline{\text{ite}(x, \overline{f_1}, f_0)}
 \end{aligned}$$

```
BDD *
new_bdd_aux (int idx, BDD * c0, BDD * c1)
{
    BDD *res;

    assert (!sign_bdd (c0));

    if ((res = *find_bdd (idx, c0, c1))
        return res;

    res = (BDD *) malloc (sizeof (BDD));
    memset (res, 0, sizeof (BDD));
    res->idx = idx;
    res->child[0] = c0;
    res->child[1] = c1;
    *find_bdd (idx, c0, c1) = res;

    return res;
}
```

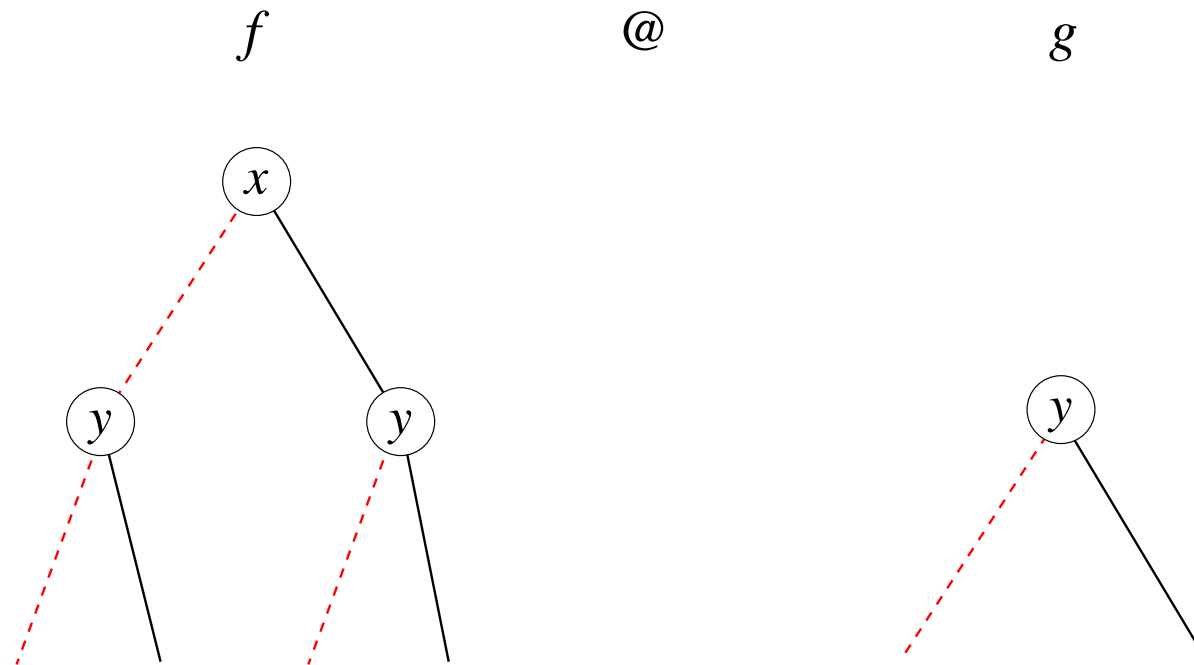
```
BDD *
new_bdd (int idx, BDD * c0, BDD * c1)
{
    BDD *res;
    int sign;

    if (c0 == c1)
        return c0;

    if ((sign = sign_bdd (c0)))
    {
        c0 = not_bdd (c0);
        c1 = not_bdd (c1);
    }

    res = new_bdd_aux (idx, c0, c1);

    return sign ? not_bdd (res) : res;
}
```



$$(f @ g)(x) \equiv x \cdot (f_1 @ g) \vee \bar{x} \cdot (f_0 @ g)$$

if top variables do not match only one argument is split

```
int
top_idx_bdd (BDD * a, BDD * b)
{
    int res[2];
    res[0] = (is_constant_bdd (a)) ? -1 : strip_bdd (a)->idx;
    res[1] = (is_constant_bdd (b)) ? -1 : strip_bdd (b)->idx;
    return res[res[0] < res[1]];
}
```

```
BDD *
cofactor (BDD * bdd, int pos, int idx)
{
    BDD *res;
    int sign;
    if (is_constant_bdd (bdd))
        return bdd;
    if ((sign = sign_bdd (bdd)))
        bdd = not_bdd (bdd);
    res = (bdd->idx == idx) ? bdd->child[pos] : bdd;
    return sign ? not_bdd (res) : res;
}
```



```
void  
cofactor2 (BDD * a, BDD * b, BDD * c[2][2], int *idx_ptr)  
{  
    int idx = *idx_ptr = top_idx_bdd (a, b);  
    c[0][0] = cofactor (a, 0, idx);  
    c[0][1] = cofactor (a, 1, idx);  
    c[1][0] = cofactor (b, 0, idx);  
    c[1][1] = cofactor (b, 1, idx);  
}
```

```
BDD *
basic_and (BDD * a, BDD * b)
{
    assert (is_constant_bdd (a) && is_constant_bdd (b));
    return (BDD *) (((unsigned) a) & (unsigned) b);
}
```

```
BDD *
basic_or (BDD * a, BDD * b)
{
    assert (is_constant_bdd (a) && is_constant_bdd (b));
    return (BDD *) (((unsigned) a) | (unsigned) b);
}
```

```
BDD *
basic_xor (BDD * a, BDD * b)
{
    assert (is_constant_bdd (a) && is_constant_bdd (b));
    return (BDD *) (((unsigned) a) ^ (unsigned) b);
}
```

```
typedef BDD *(*BasicFunctor) (BDD *, BDD *);

BDD *
apply (BasicFunctor op, BDD * a, BDD * b)
{
    BDD *tmp[2], *c[2][2];
    int idx;
    if (is_constant_bdd (a) && is_constant_bdd (b))
        return op (a, b);
    cofactor2 (a, b, c, &idx);
    tmp[0] = apply (op, c[0][0], c[1][0]);
    tmp[1] = apply (op, c[0][1], c[1][1]);
    return new_bdd (idx, tmp[0], tmp[1]);
}
```

```
BDD *
and_bdd (BDD * a, BDD * b)
{
    return apply (basic_and, a, b);
}

BDD *
or_bdd (BDD * a, BDD * b)
{
    return apply (basic_or, a, b);
}

BDD *
xor_bdd (BDD * a, BDD * b)
{
    return apply (basic_xor, a, b);
}

BDD *
var_bdd (int idx)
{
    return new_bdd (idx, false_bdd, true_bdd);
}
```