

Dependency Schemes & Q-Resolution

Friedrich Slivovsky & Stefan Szeider

Vienna University of Technology

PCNF

$Q_1 X_1 \dots Q_n X_n$

$\phi(X_1, \dots, X_n)$

$Q_i \in \{\forall, \exists\}$

in CNF

(quantifier) prefix

matrix

PCNF

$Q_1 X_1 \dots Q_n X_n$

$\phi(X_1, \dots, X_n)$

$Q_i \in \{\forall, \exists\}$

in CNF

(quantifier) prefix

matrix

the prefix induces a partial order R_F on the set of variables

PCNF

$Q_1 X_1 \dots Q_n X_n$

$\phi(X_1, \dots, X_n)$

$Q_i \in \{\forall, \exists\}$

in CNF

(quantifier) prefix

matrix

the prefix induces a partial order R_F on the set of variables

$(x, y) \in R_F$ y is to the right of x in F 's prefix

order R_F constrains decision procedures

QDPLL

order R_F constrains decision procedures

QDPLL

1) Unit propagation

$$(y \vee x_1 \vee \neg x_2 \vee \dots \vee x_k)$$

$$(x_i, y) \notin R_F \text{ for each } i$$

order R_F constrains decision procedures

QDPLL

1) Unit propagation

2) Decision variables

$$(y \vee x_1 \vee \neg x_2 \vee \dots \vee x_k)$$

$$(x_i, y) \notin R_F \text{ for each } i$$

branch on v : every w with
 $(w, v) \in R_F$ must be assigned

order R_F constrains decision procedures

QDPLL

1) Unit propagation

2) Decision variables

3) Clause learning

$$(y \vee x_1 \vee \neg x_2 \vee \dots \vee x_k)$$

$$(x_i, y) \notin R_F \text{ for each } i$$

branch on v : every w with
 $(w, v) \in R_F$ must be assigned

$$\frac{C \vee (\neg)x}{C} \quad \forall y \in \text{var}_{\exists}(C): (x, y) \notin R_F$$

dependency scheme D
maps F to a set of dependencies $D_F \subseteq R_F$

$(x, y) \in D_F$

" y may depend on x "

Biere & Lonsing '10

QDPLL(D)

- 1) Unit propagation
- 2) Decision variables
- 3) Clause learning

dependency scheme D

maps F to a set of dependencies $D_F \subseteq R_F$

Biere & Lonsing '10

QDPLL(D)

1) Unit propagation

2) Decision variables

3) Clause learning

dependency scheme D

maps F to a set of dependencies $D_F \subseteq R_F$

$(x_1 \vee y \vee \neg x_2 \vee \dots \vee x_k)$

$(x_i, y) \notin D_F$ for each i

Biere & Lonsing '10

QDPLL(D)

1) Unit propagation

2) Decision variables

3) Clause learning

dependency scheme D

maps F to a set of dependencies $D_F \subseteq R_F$

$$(x_1 \vee y \vee \neg x_2 \vee \dots \vee x_k)$$

$$(x_i, y) \notin D_F \text{ for each } i$$

branch on v : every w with
 $(w, v) \in D_F$ must be assigned

dependency scheme D

maps F to a set of dependencies $D_F \subseteq R_F$

QDPLL(D)

1) Unit propagation

$$(x_1 \vee y \vee \neg x_2 \vee \dots \vee x_k)$$

$(x_i, y) \notin D_F$ for each i

2) Decision variables

branch on v : every w with
 $(w, v) \in D_F$ must be assigned

3) Clause learning

$$\frac{C \vee (\neg)x}{C} \quad \forall y \in \text{var}(C): (x, y) \notin D_F$$

Biere & Lonsing '10

dependency scheme D

maps F to a set of dependencies $D_F \subseteq R_F$

QDPLL(D)

1) Unit propagation

$$(x_1 \vee y \vee \neg x_2 \vee \dots \vee x_k)$$

$(x_i, y) \notin D_F$ for each i

2) Decision variables

branch on v : every w with
 $(w, v) \in D_F$ must be assigned

3) Clause learning

$$\frac{C \vee (\neg)x}{C} \quad \forall y \in \text{var}(C): (x, y) \notin D_F$$

implemented in [DepQBF](#) for the [Standard Dependency Scheme](#) (D^{std})

For which dependency schemes D is QDPLL(D) sound?

For which D is QDPLL(D) sound?

dependency scheme D
maps F to a set of dependencies $D_F \subseteq R_F$

cumulative dependency schemes (Samer & Szeider '07)

For which D is QDPLL(D) sound?

dependency scheme D
maps F to a set of dependencies $D_F \subseteq R_F$

cumulative dependency schemes (Samer & Szeider '07)

no

For which D is QDPLL(D) sound?

dependency scheme D
maps F to a set of dependencies $D_F \subseteq R_F$

cumulative dependency schemes (Samer & Szeider '07)

no

resolution path dependency scheme (Van Gelder '11, Slivovsky & Szeider '12) is cumulative, but QDPLL(D) is unsound

For which D is QDPLL(D) sound?

dependency scheme D
maps F to a set of dependencies $D_F \subseteq R_F$

cumulative dependency schemes (Samer & Szeider '07)

no

resolution path dependency scheme (Van Gelder '11, Slivovsky & Szeider '12) is cumulative, but QDPLL(D) is unsound

dependency schemes for QCSP (Samer '08)?

For which D is QDPLL(D) sound?

dependency scheme D
maps F to a set of dependencies $D_F \subseteq R_F$

cumulative dependency schemes (Samer & Szeider '07) **no**

resolution path dependency scheme (Van Gelder '11, Slivovsky & Szeider '12) is cumulative, but QDPLL(D) is unsound

dependency schemes for QCSP (Samer '08)? **no**

For which D is QDPLL(D) sound?

dependency scheme D
maps F to a set of dependencies $D_F \subseteq R_F$

cumulative dependency schemes (Samer & Szeider '07) **no**

resolution path dependency scheme (Van Gelder '11, Slivovsky & Szeider '12) is cumulative, but QDPLL(D) is unsound

dependency schemes for QCSP (Samer '08)? **no**

in this talk

1. stating sufficient conditions
2. proving soundness for D^{std}

Q-resolution

Kleine Büning, Karpinski, Flögel '95

$$\frac{C \vee y \quad \neg y \vee C'}{C \vee C'} \quad C \vee C' \text{ non-tautological}$$

$$\frac{C \vee (\neg)x}{C} \quad \forall y \in \text{var}(C): (x, y) \notin R_F$$

F is false iff the empty clause can be derived from F

QDPLL traces correspond to Q-resolution proofs

Giunchiglia, Narizzano, Tacchella '06

Q-resolution

Kleine Büning, Karpinski, Flögel '95

$$\frac{C \vee y \quad \neg y \vee C'}{C \vee C'}$$

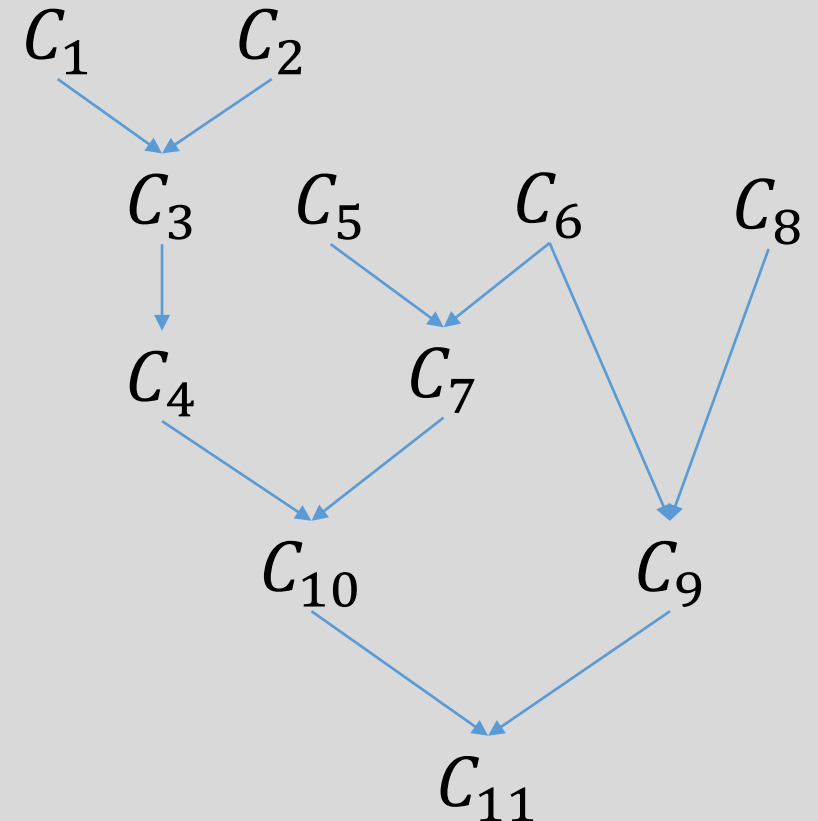
$C \vee C'$ non-tautological

$$\frac{C \vee (\neg)x}{C}$$

$\forall y \in \text{var}(C): (x, y) \notin R_F$

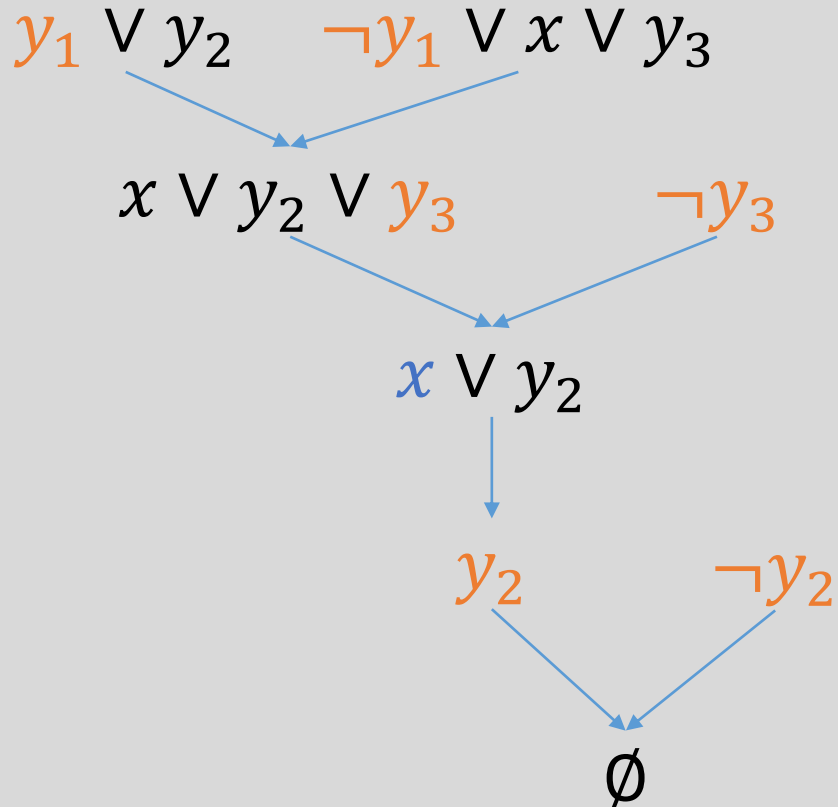
F is false iff the empty clause can be derived from F

derivations as DAGs



DepQBF (almost) generates Q-resolution certificates

$$F = \exists y_1 \forall x \exists y_2 \exists y_3 (y_1 \vee y_2) \wedge (\neg y_1 \vee x \vee y_3) \wedge (\neg y_3) \wedge (\neg y_2)$$



DepQBF (almost) generates Q-resolution certificates

$$F = \exists y_1 \forall x \exists y_2 \exists y_3 (y_1 \vee y_2) \wedge (\neg y_1 \vee x \vee y_3) \wedge (\neg y_3) \wedge (\neg y_2)$$

$$y_1 \vee y_2 \quad \neg y_1 \vee x \vee y_3$$

$$x \vee y_2 \vee y_3$$

$$\neg y_3$$

$$x \vee y_2$$

$$y_2$$

$$\neg y_2$$

$$\emptyset$$

$$(x, y_2) \in R_F$$

not admissible in Q-resolution

DepQBF (almost) generates Q-resolution certificates

$$F = \exists y_1 \forall x \exists y_2 \exists y_3 (y_1 \vee y_2) \wedge (\neg y_1 \vee x \vee y_3) \wedge (\neg y_3) \wedge (\neg y_2)$$

$$y_1 \vee y_2 \quad \neg y_1 \vee x \vee y_3$$

$$x \vee y_2 \vee y_3$$

$$\neg y_3$$

$$x \vee y_2$$

$$y_2$$

$$\neg y_2$$

$$\emptyset$$

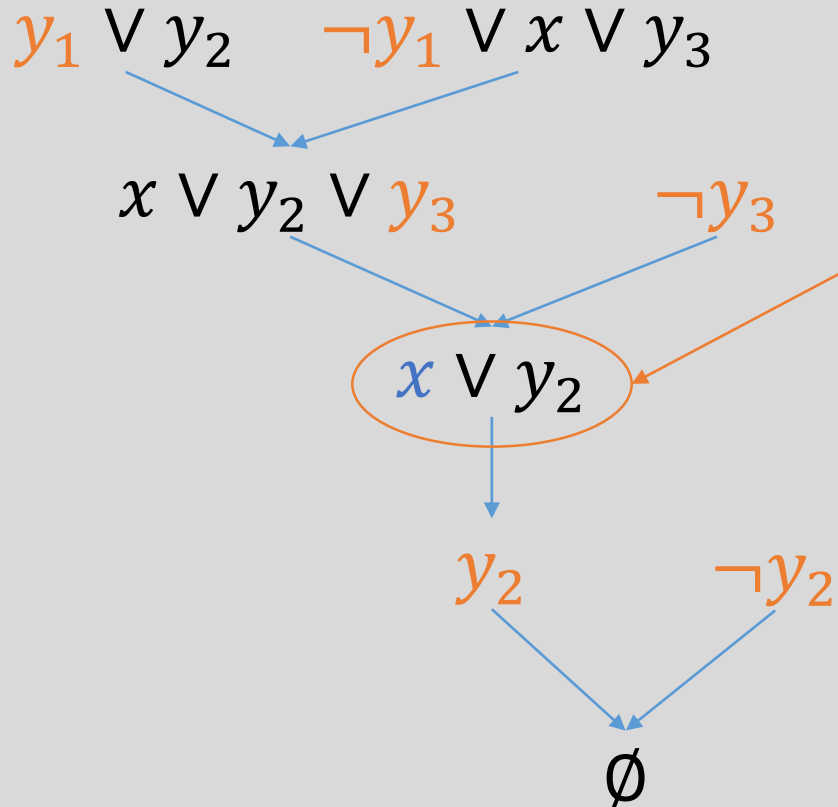
$$(x, y_2) \in R_F$$

not admissible in Q-resolution

DepQBF implements QDPLL(D^{std})

DepQBF (almost) generates Q-resolution certificates

$$F = \exists y_1 \forall x \exists y_2 \exists y_3 (y_1 \vee y_2) \wedge (\neg y_1 \vee x \vee y_3) \wedge (\neg y_3) \wedge (\neg y_2)$$



$$(x, y_2) \in R_F$$

not admissible in Q-resolution

DepQBF implements QDPLL(D^{std})

$$\frac{C \vee (\neg)x}{C} \quad \forall y \in \text{var}(C): (x, y) \notin D_F^{std}$$

$$(x, y_2) \notin D_F^{std}$$

Q(D)-resolution

D can be computed in polynomial time

$$\frac{C \vee (\neg)x}{C} \quad \forall y \in \text{var}(C): (x, y) \notin D_F$$

Q(D)-resolution

D can be computed in polynomial time

$$\frac{C \vee (\neg)x}{C} \quad \forall y \in \text{var}(C): (x, y) \notin D_F$$

working hypothesis

QDPLL(D) generates Q(D)-resolution proofs

Q(D)-resolution

D can be computed in polynomial time

$$\frac{C \vee (\neg)x}{C} \quad \forall y \in \text{var}(C): (x, y) \notin D_F$$

working hypothesis

QDPLL(D) generates Q(D)-resolution proofs

If Q(D)-resolution is sound then QDPLL(D) is sound.

$Q(D^{std})$ -resolution is sound

Theorem

Q-resolution simulates $Q(D^{std})$ -resolution.

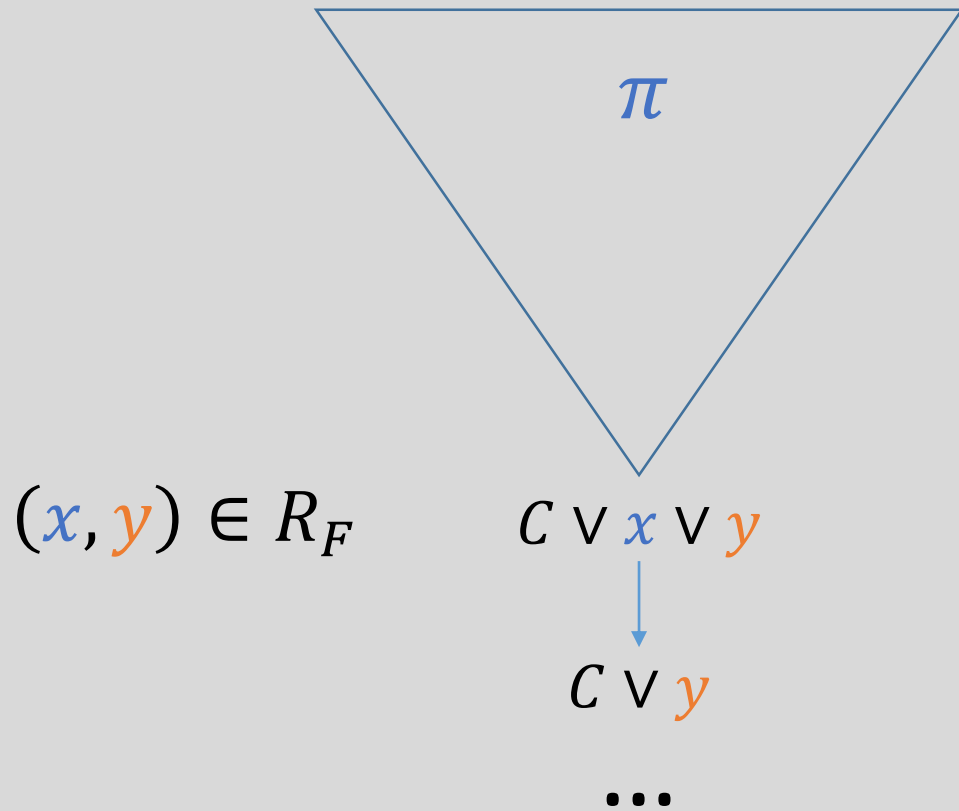
$Q(D^{std})$ -resolution is sound

Theorem

Q-resolution simulates $Q(D^{std})$ -resolution.

There is an $O(3^n)$ time algorithm that, given an arbitrary $Q(D)$ -resolution refutation π of a formula F , computes a Q-resolution refutation of F or concludes that π is not a $Q(D^{std})$ -refutation.

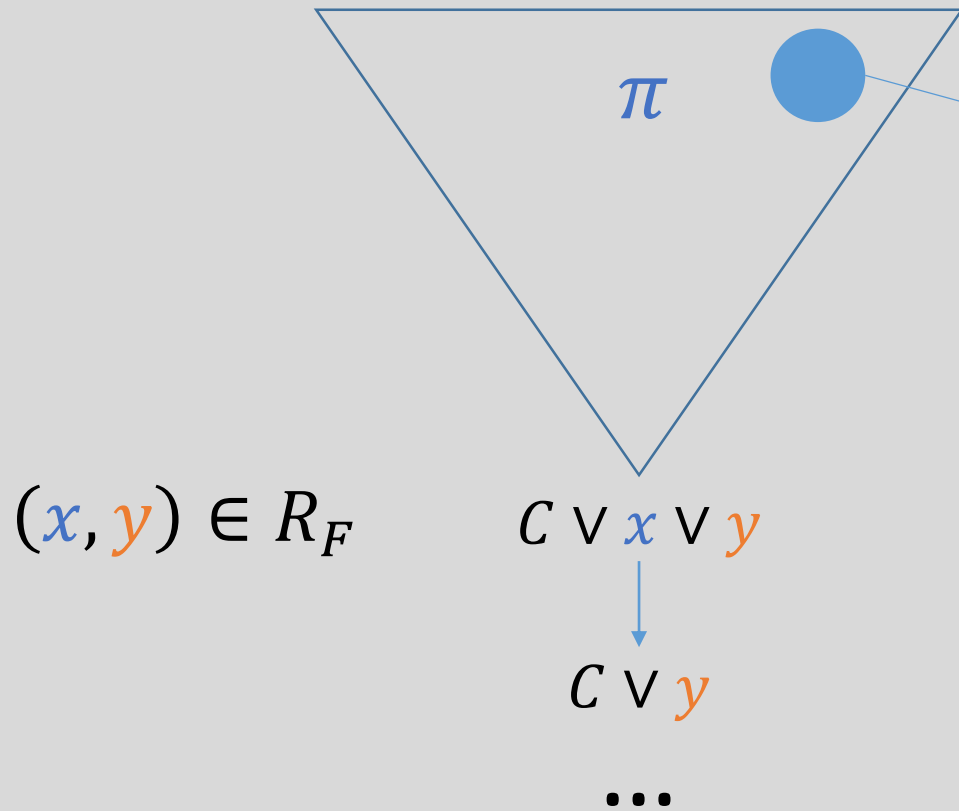
$Q(D^{std})$ -resolution is sound



Lemma

π contains a resolution step on z with $(z, x) \in R_F$, or $(x, y) \in D_F^{std}$.

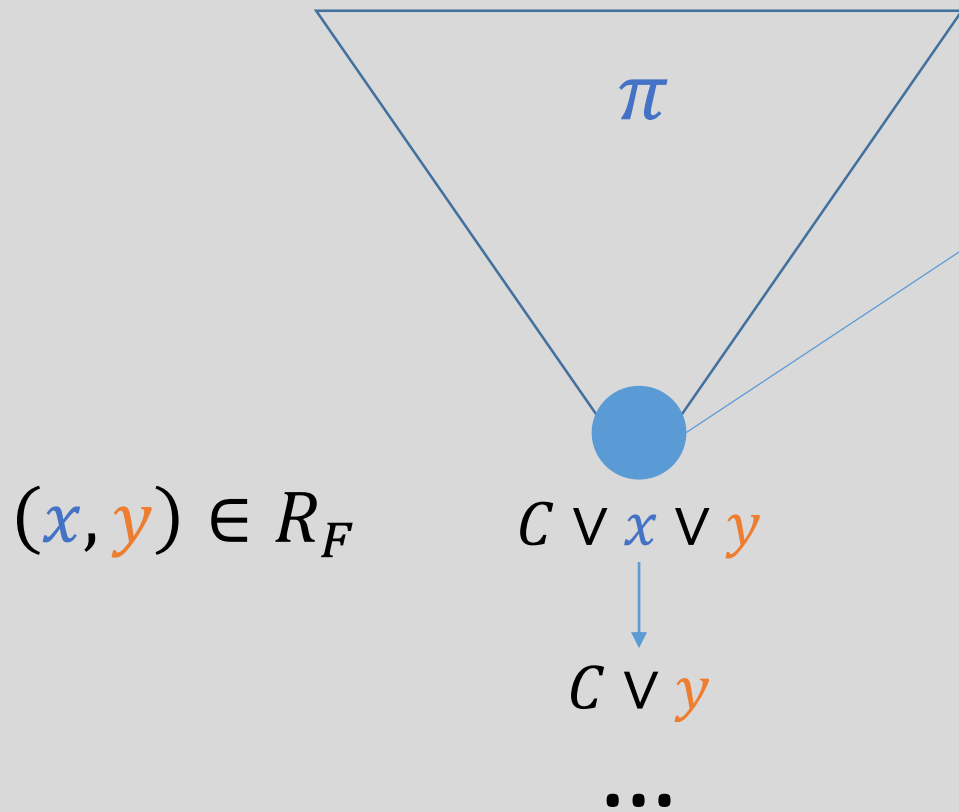
$Q(D^{std})$ -resolution is sound



resolution on z with $(z, x) \in R_F$

idea lower this resolution step
past the universal reduction step

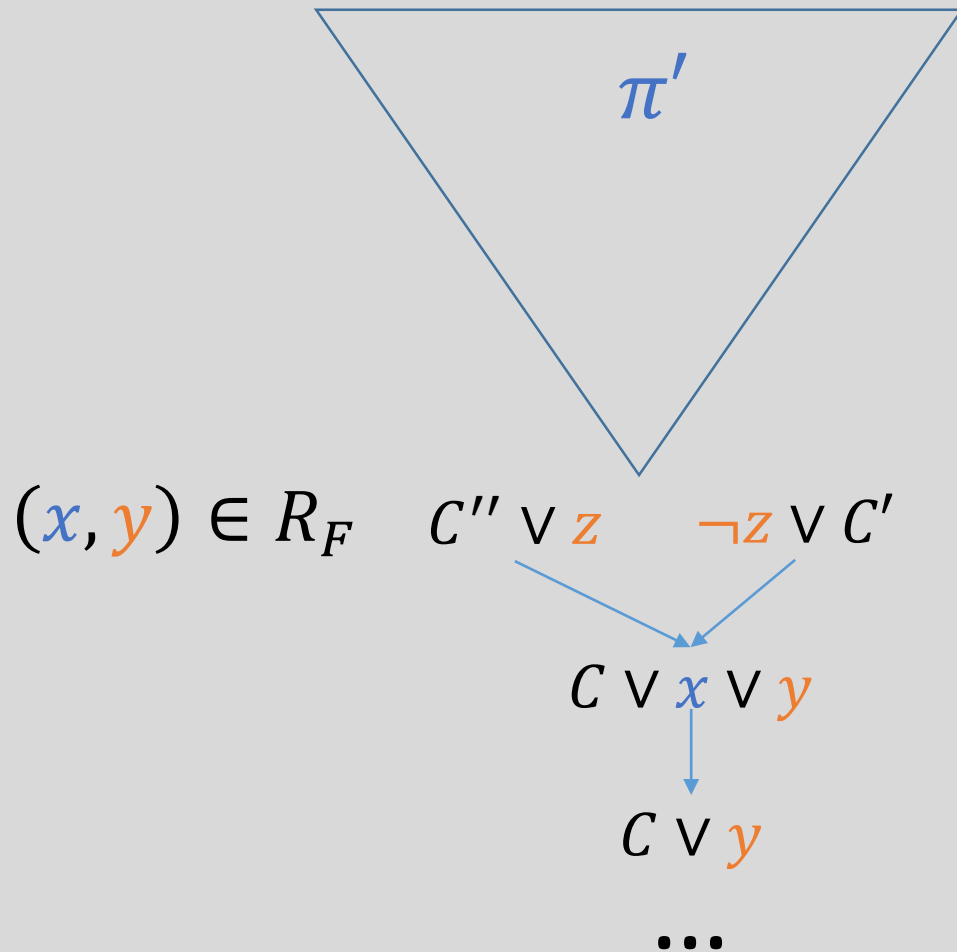
$Q(D^{std})$ -resolution is sound



resolution on z with $(z, x) \in R_F$

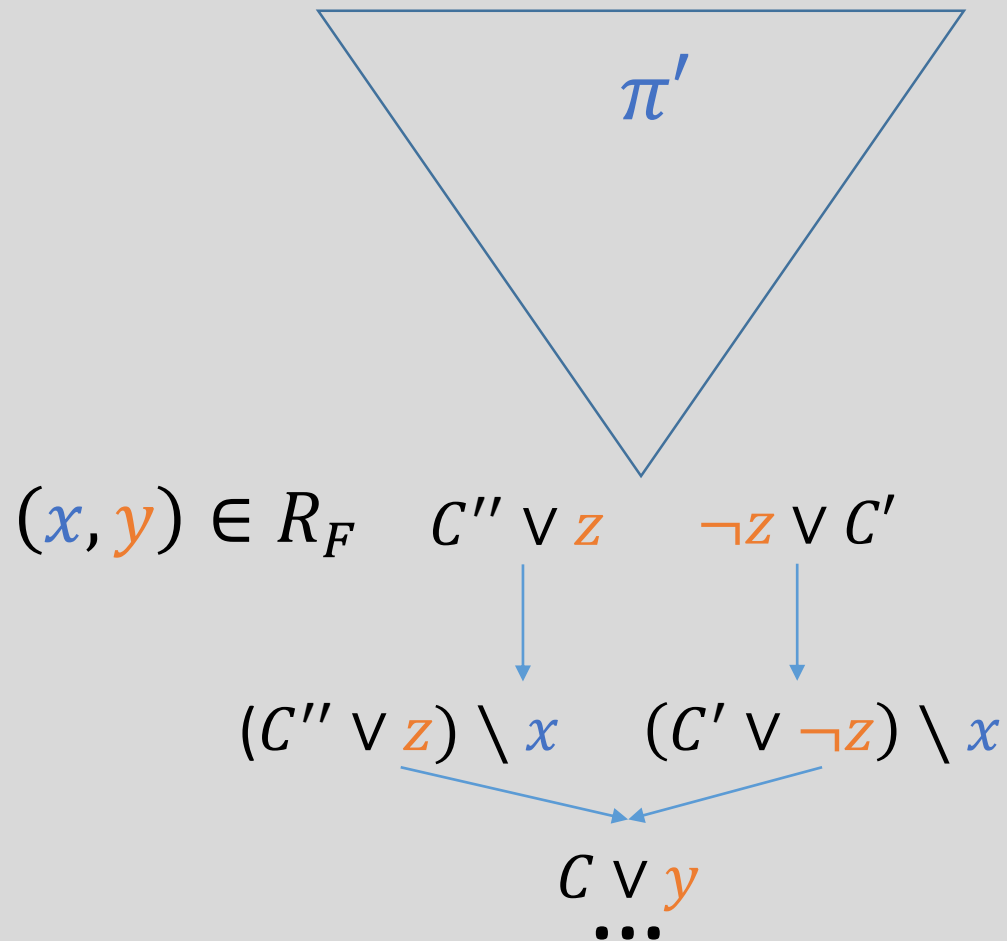
idea lower this resolution step
past the universal reduction step

$Q(D^{std})$ -resolution is sound



Because z is to the left of x , it does not interfere with forall reduction.

$Q(D^{std})$ -resolution is sound



Because z is to the left of x , it does not interfere with forall reduction.

So we can raise forall reduction.

repeat for subderivations

Future Work

- Proving soundness of $Q(D^{std})$ -term-resolution
- Proving soundness of $Q(D^{res*})$ -resolution
- Can we extract models without rewriting?
- Proof complexity