## Explaining Concurrency Bugs with Interpolants

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## Debugging



## Debugging



## Automatic Debugging Techniques

- Dynamic analysis:
- Comparison of failing and passing traces
, Quality of test suite

- Symbolic execution analysis:
- Max-SAT
- Cause clue clauses [PLDI11]
- Interpolation
* Error Invariant [FM12]
- Flow-sensitive Fault Localization[VMCAI13]
$\times$ Hybrid Algorithm [VSSTE14]


## Overview of our Method

- A concurrency bug explanation technique:
- Symbolic execution analysis
- Interpolation
- A general framework for concurrency bug explanation
- Not relying on specific bug characteristic
- No given pattern templates or annotations


## Outline

- Notion of Interpolant
- Interpolants for debugging sequential traces
- Encoding control-dependencies
* Flow-sensitive slices
- Interpolants for explaining concurrency bugs
- Encoding:
* Locks
* Inter-thread data-dependencies
- Empirical Evaluation


## Interpolants

Given: an unsatisfiable conjunction of formulas $A \wedge B$ :

$$
A \wedge B \equiv \text { false }
$$

An Interpolant for $A \wedge B$ is a formula $I$ s.t. :

- $A \Rightarrow I$
- $I \wedge B \equiv$ false
- $I$ is only on common variables of $A$ and $B$



## Trace Formula

Failing trace


## Trace Formula

Failing trace


Trace in SSA form


- Every variable is assigned once: Example:

$$
\begin{aligned}
& x_{0}=1 \\
& y=x_{0}+5 \\
& x_{1}=2
\end{aligned}
$$

## Trace Formula

Failing trace


Trace in SSA form


## Interpolants for Debugging

Trace formula


Interpolant at Position P:

$$
\mathrm{X} \quad \Rightarrow I_{P} \Rightarrow \quad \neg \mathrm{Y}
$$

## Interpolants for Debugging



## Interpolants for Debugging



Over-approximaton of reachable states at p

## Interpolants for Debugging



## Interpolants for Debugging



## Interpolants for Debugging

| Sample Trace | Trace SSA encoding | Interpolants |
| :--- | :--- | :--- |
| 1. $\mathrm{x}=3 ;$ | $\mathrm{x}_{0}=3$ | true |
| 2. $\mathrm{y}=5 ;$ | $\mathrm{y}_{0}=5$ | $\mathrm{x}_{0}=3$ |
| 3. $\mathrm{m}=\mathrm{y}+\mathrm{x} ;$ | $\mathrm{m}_{0}=\mathrm{y}_{0}+\mathrm{x}_{0}$ | $\mathrm{x}_{0}=3$ |
| 4. $\mathrm{n}=\mathrm{y}-\mathrm{x} ;$ | $\mathrm{n}_{0}=\mathrm{y}_{0}-\mathrm{x}_{0}$ | $\mathrm{~m}_{0}=\mathrm{y}_{0}+3, \mathrm{x}_{0}=3$ |
| 5. $\mathrm{y}=\mathrm{y}+1 ;$ | $\mathrm{y}_{1}=\mathrm{y}_{0}+1$ | $\mathrm{~m}_{0}=\mathrm{n}_{0}+6$ |
| 6. $\operatorname{assert}(\mathrm{n}>\mathrm{m}) ;$ | $\mathrm{n}_{0}>\mathrm{m}_{0}$ | $\mathrm{~m}_{0}=\mathrm{n}_{0}+6$ |

- Interpolants
- contain enough information to understand the failure


## Interpolants for Debugging

Sample Trace

1. $\mathrm{x}=3$;
2. $y=5$;
3. $m=y+x$;
4. $n=y-x$;
5. $\mathrm{y}=\mathrm{y}+1$;
6. assert(n>m);

Trace SSA encoding Interpolants


## Error Explantion



Error Explanation:

- Slice: Isolating relevant statements for assertion violation
- Error Invariants: Revealing the relevant variables


## Error Explantion

| Sample Trace | Error Explantion <br> (Slice) | Error Invariants |
| :--- | :--- | :--- |
| 1. $\mathrm{x}=3 ;$ | 1. $\mathrm{x}=3$ | true |
| 2. $\mathrm{y}=5 ;$ | 3. $\mathrm{m}=\mathrm{y}+\mathrm{x}$ | $\mathrm{x}=3$ |
| 3. $\mathrm{m}=\mathrm{y}+\mathrm{x} ;$ | 4. $\mathrm{n}=\mathrm{y}-\mathrm{x}$ | $\mathrm{m}=\mathrm{y}+3, \mathrm{x}=3$ |
| 4. $\mathrm{n}=\mathrm{y}-\mathrm{x} ;$ | 6. $\operatorname{assert(n>m)}$ |  |
| 5. $\mathrm{y}=\mathrm{y}+1 ;$ |  | false |

Error Explanation:
Interpolants are not unique: any formula between WP and SP

- Error Invariants: Revealing the relevant variables


## Sound Error Explanation Slices

- Soundness of explanation
- Slice forms an unsatisfiable formula

Sound Slice

| 1. $x=3$ | true |
| :--- | :--- |
| 3. $m=y+x$ | $x=3$ |
| 4. $n=y-x$ | $m=y+3, x=3$ |
| 6. $\operatorname{assert}(n>m)$ | false |

## Sound Error Explanation Slices

- Soundness of explanation
- Achieved by Inductive Interpolant Sequence [VSSTE 2014]

$$
I_{p-1} \wedge T_{p} \Rightarrow I_{p} \quad \text { Inductive property }
$$



## Sound Error Explanation Slices

- Soundness of explanation
- Achieved by Inductive Interpolant Sequence [VSSTE 2014]

$$
I_{p-1} \wedge T_{p} \Rightarrow I_{p} \quad \text { Inductive property }
$$



## Interpolants for Debugging

- Generating unsatisfiable trace formula - by SSA encoding
- Computing inductive interpolants - for each position in the trace
- Excluding statements
- with stationary surrounding interpolants


## Encoding Conditions

Sample Code

1. $\mathrm{x}=1$;
2. $\mathrm{y}=*$;
3. if $(y<0)$
4. $\mathrm{x}=0$;
5. assert( $x!=0$ );

Sample Trace


Slice
4. $x=0$;
5. $\operatorname{assert}(x$ != 0)

Conditions are required for understanding the failure.

## Encoding Conditions

SSA Trace


Flow-sensitive SSA Trace

|  | true |
| :---: | :---: |
| 1. $\mathrm{x}_{0}=1$; | e |
| 2. $y_{0}=-10$; |  |
| 3. $\left(\mathrm{y}_{0}<0\right) \Rightarrow \mathrm{x}_{1}=0$; | $\mathrm{y}_{0}<0$ |
| 4. $\mathrm{x}_{1} \neq 0$ | $\mathrm{x}_{1}=0$ |
|  | false |
| $\geqslant$ |  |
|  |  |
| 1. $x=1$; |  |
| 2. $\mathrm{y}=*$; |  |
| 3. if $(y<0)$ |  |
| 4. $\mathrm{x}=0$; |  |
| 5. assert(x!=0); |  |

## Flow-sensitive Slices

SSA Trace


Flow-sensitive SSA Trace


- Encoding of control-dependency:
- Conditions are encoded as implications in SSA traces:

$$
\left(\bigwedge_{c \in \text { conds }} c\right) \Rightarrow x=e
$$

## Model of Concurrent Traces

Multi-threaded Programs


## Model of Concurrent Traces

Multi-threaded Programs


Thread CFG


## Model of Concurrent Traces

Concurrent Trace

Multi-threaded Programs



## Concurrent Trace Formula

- SSA encoding of variables
- Encoding control-dependency as implication
- Modeling Locks as:
- Atomic guarded assignments:

$$
\begin{aligned}
& \text { acquire } \ell:(\ell=0) \triangleright \ell:=\text { tid } \\
& \text { release } \ell:(\ell=\text { tid }) \triangleright \ell:=0
\end{aligned}
$$

- Encoding locks as implications (similar to controldependency)


## Interpolants for Debugging Concurrent Bugs



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## Interpolants for Debugging Concurrent Bugs



Flow-insensitive Slice

```
T
\(\mathrm{T}_{0}\) : withdrawal \(:=20\)
```

$\mathrm{T}_{2}$ : bal = balance
$\mathrm{T}_{2}$ : bal = bal - withdrawal
$\mathrm{T}_{2}$ : balance $=$ bal
$\mathrm{T}_{0}:$ assert $($ balance $=30)$

Ignoring Thread 1 altogether

## Interpolants for Debugging Concurrent Bugs



Flow-sensitive Slice


[^0]
## Data dependencies

- Data dependency:
- Flow of data between statements
- Types of data dependency (in general)
- Read-after-write

$$
\mathbf{a}=x ;
$$

$$
y=\mathbf{a}+10 ;
$$

- Write-after-read
$x=\mathbf{a}$;
$\mathbf{a}=\mathrm{y}+10 ;$
- Write-after-write
x $\mathbf{a}=\mathrm{x}+10$;
x $\mathbf{a}=\mathrm{y}+10$;
- Inter-thread data dependencies (in multi threaded programs)
- Being able to indicate
* conflicting accesses or hazards


## Interpolants for Debugging Concurrent Bugs



Flow-sensitive Slice
$\mathrm{T}_{0}$ : balance := 40
$\mathrm{T}_{0}$ : withdrawal $:=20$
$\mathrm{T}_{2}$ : acquire $\ell$
$\mathrm{T}_{2}:$ bal = balance
$\mathrm{T}_{2}$ : release $\ell$
$\mathrm{T}_{1}$ : acquire $\ell$
$\mathrm{T}_{1}$ : release $\ell$
$\mathrm{T}_{1}$ : acquire $\ell$
$\mathrm{T}_{1}$ : release $\ell$

$$
\begin{gathered}
\mathrm{T}_{2}: \text { bal }=\text { bal }- \\
\text { withdrawal } \\
\mathrm{T}_{2}: \text { acquire } \ell \\
\mathrm{T}_{2}: \text { balance }=\text { bal } \\
\mathrm{T}_{2}: \text { release } \ell
\end{gathered}
$$

$\mathrm{T}_{0}$ : assert(balance $=30$ )

## Hazard-sensitive Slices

- Encoding inter-thread data dependencies:
- as implication (using auxiliary variables)
- The resulting slice:
- Hazard-sensitive slice


## Hazard-sensitive Slice



## Interpolants for Debugging Concurrent Bugs



## Interpolants for Debugging Concurrent Bugs



Hazard-sensitive Slice

$$
\begin{aligned}
& \mathrm{T}_{0} \text { : balance :=40 } \\
& \text { \{balance } \leq 40\} \\
& \mathrm{T}_{0} \text { : withdrawal := } 20 \\
& \text { \{balance } \leq \text { withdrawal }+20\} \\
& \mathrm{T}_{2} \text { : bal = balance } \\
& \{\text { bal } \leq \text { withdrawal }+20\} \\
& \mathrm{T}_{1} \text { : balance = bal } \\
& \{\text { bal } \leq \text { withdrawa }\}+20\} \\
& 3 \mathrm{~T}_{2}: \text { bal }=\mathrm{bal}- \\
& \stackrel{\substack{\overparen{C} \\
1}}{\substack{T_{2} \\
\text { withdrawal } \\
\mathrm{T}_{2}: \text { balance }=\text { bal }}} \\
& \{\text { bal } \leq 20\} \\
& \text { \{balance } \leq 20\} \\
& \mathrm{T}_{0} \text { : assert(balance }=30 \text { ) }
\end{aligned}
$$

## Fine-Tuning Explanations

- Adding different levels of detail to the explanations
o Encoding:
x control- and inter-thread data-dependency (fs+hs)
x control-dependency (fs)
$\times$ inter-thread data-dependency (hs)
$\times$ no dependency ( $\varnothing$ )
o Leading to different reductions in number of:
* variables
x statements


## Fine-Tuning Explanations

$\emptyset$

$$
\begin{aligned}
& \mathrm{T}_{0}: \text { balance }:=40 \\
& \mathrm{~T}_{0}: \text { withdrawal }:=20 \\
& \mathrm{~T}_{2}: \text { bal = balance } \\
& \mathrm{T}_{2}: \text { bal }=\text { bal }- \text { withdrawal } \\
& \mathrm{T}_{2}: \text { balance }=\text { bal } \\
& \mathrm{T}_{0}: \text { assert }(\text { balance }=30)
\end{aligned}
$$

fs

```
T
T
T
T
T
T
T
T
T
T
T
T
T
T
```

$\mathrm{T}_{0}:$ balance $:=40$
$\mathrm{~T}_{0}:$ withdrawal $:=20$
$\mathrm{~T}_{2}:$ bal = balance
$\mathrm{T}_{1}:$ balance $=$ bal
$\mathrm{T}_{2}:$ bal $=$ bal - withdrawal
$\mathrm{T}_{2}:$ balance $=$ bal
$\mathrm{To}_{0}:$ assert $($ balance $=30)$

$$
\mathrm{T}_{0}: \text { withdrawal }:=20
$$

$\mathrm{T}_{2}$ : bal = balance
$\mathrm{T}_{1}:$ balance $=$ bal
$\mathrm{T}_{2}$ : bal = bal - withdrawal
$\mathrm{T}_{2}$ : balance $=$ bal
то : assert(balance = 30)

## Empirical Evaluation

## Quality + Quantity results:

| Program | Concurrency <br> bug | Number of <br> traces | Type of slice | Avg. reduction of <br> statements(\%) | Avg. reduction of <br> variables |
| :--- | :--- | :--- | :--- | :--- | :--- |
| Lock free pool | Linearizability <br> problem | 8 | fs | $61 \%$ | $34 \%$ |
|  |  | fs+hs | $60 \%$ | $34 \%$ |  |
| Bank account | Atomicity <br> violation | 5 | fs+hs | $46 \%$ | $23 \%$ |
|  |  |  | $88 \%$ | $33 \%$ |  |

## Conclusion

- A general framework for concurrency bug explantion
- Interpolation
- Symbolic execution analysis
$\times$ Encoding of :
- Control-dependency
- Inter-thread data-dependency
o Implementation
* Interpolant computation
- VERMEER [ICSE15]
* Tracing failing concurrent traces
o ConCrest [FSE13]



[^0]:    $\mathrm{T}_{1}:$ balance $=$ bal is missing

