

# BIG BACKBONES

## Improving Anytime CadiBack



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## Backbone

The backbone of a satisfiable formula is the set of literals that are true in all satisfying assignments.

$$\begin{array}{lclclclcl} \textcolor{blue}{a} & \wedge & \overline{a} \vee \textcolor{blue}{b} & \wedge & \overline{a} \vee \overline{b} \vee \textcolor{blue}{c} & \wedge \\ d \vee e \vee \textcolor{blue}{f} & \wedge & \overline{d} \vee e \vee \textcolor{blue}{f} & \wedge & d \vee \overline{e} \vee \textcolor{blue}{f} & \wedge & \overline{d} \vee \overline{e} \vee \textcolor{blue}{f} & \wedge \\ \text{PHP} \vee \textcolor{blue}{g} & & & & & & & & \end{array}$$

# CadiBack

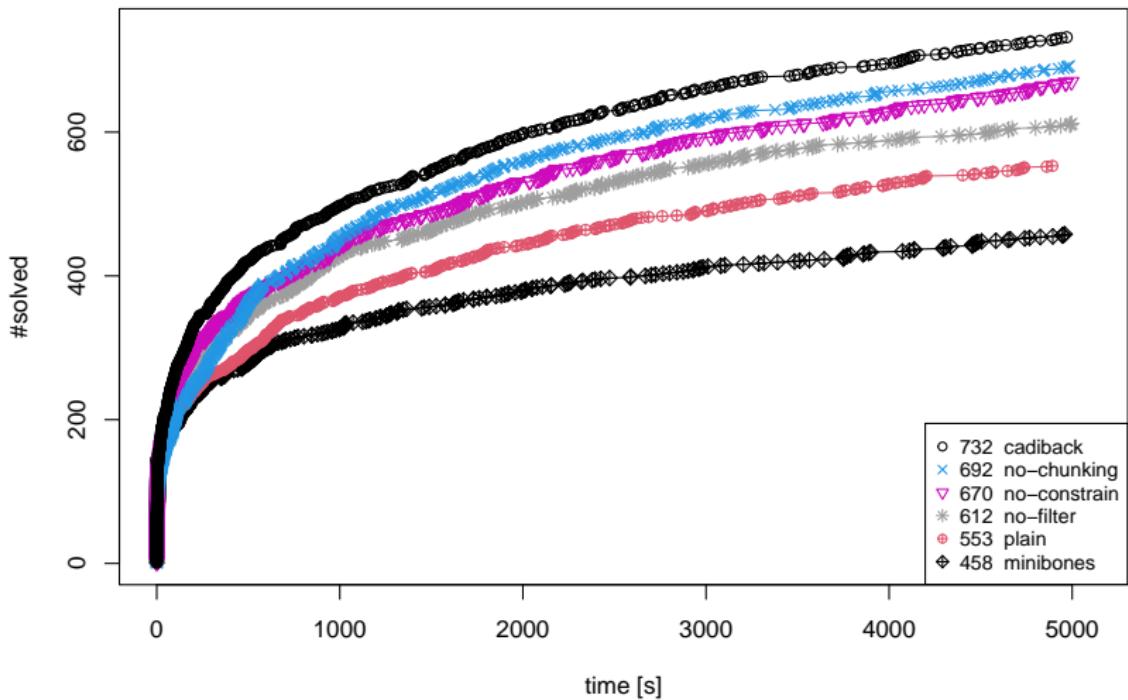
backbone (CNF  $\varphi$ )

```
1  ( $res, \sigma$ )  $\leftarrow$  SAT( $\varphi$ )
2   $\mathcal{B} \leftarrow \emptyset, \quad \Lambda \leftarrow \sigma$ 
3  while  $\Lambda \neq \emptyset$ 
4     $\ell \leftarrow$  pick literal from  $\Lambda$ 
5    ( $res, \sigma$ )  $\leftarrow$  SAT( $\varphi \mid \neg\ell$ )
6    if  $res$  then // satisfiable
7       $\Lambda \leftarrow \Lambda \setminus \{\ell\}$ 
8    else           // unsatisfiable
9       $\mathcal{B} \leftarrow \mathcal{B} \cup \{\ell\} \quad \varphi = \varphi \wedge \ell$ 
10      $\Lambda \leftarrow \Lambda \setminus \{\ell\}$ 
11  return  $\mathcal{B}$ 
```

# CadiBack

backbone (CNF  $\varphi$ )

```
1  ( $res, \sigma$ )  $\leftarrow$  SAT( $\varphi$ )
2   $\mathcal{B} \leftarrow \emptyset, \quad \Lambda \leftarrow \sigma, \quad k \leftarrow 1$ 
3  while  $\Lambda \neq \emptyset$ 
4     $\Gamma \leftarrow$  pick  $k$  literals from  $\Lambda$  // chunk
5    ( $res, \sigma$ )  $\leftarrow$  SAT( $\varphi \mid \cancel{\ell} \vee_{\ell \in \Gamma} \neg \ell$ )
6    if  $res$  then // satisfiable
7       $\Lambda \leftarrow \Lambda \setminus \{\ell\} \Lambda \cap \sigma$ 
8    else // unsatisfiable
9       $\mathcal{B} \leftarrow \mathcal{B} \cup \cancel{\{\ell\}} \Gamma \quad \varphi = \varphi \wedge \ell$ 
10      $\Lambda \leftarrow \Lambda \setminus \cancel{\{\ell\}} \Gamma$ 
11      $k \leftarrow |\Lambda|$ 
12 return  $\mathcal{B}$ 
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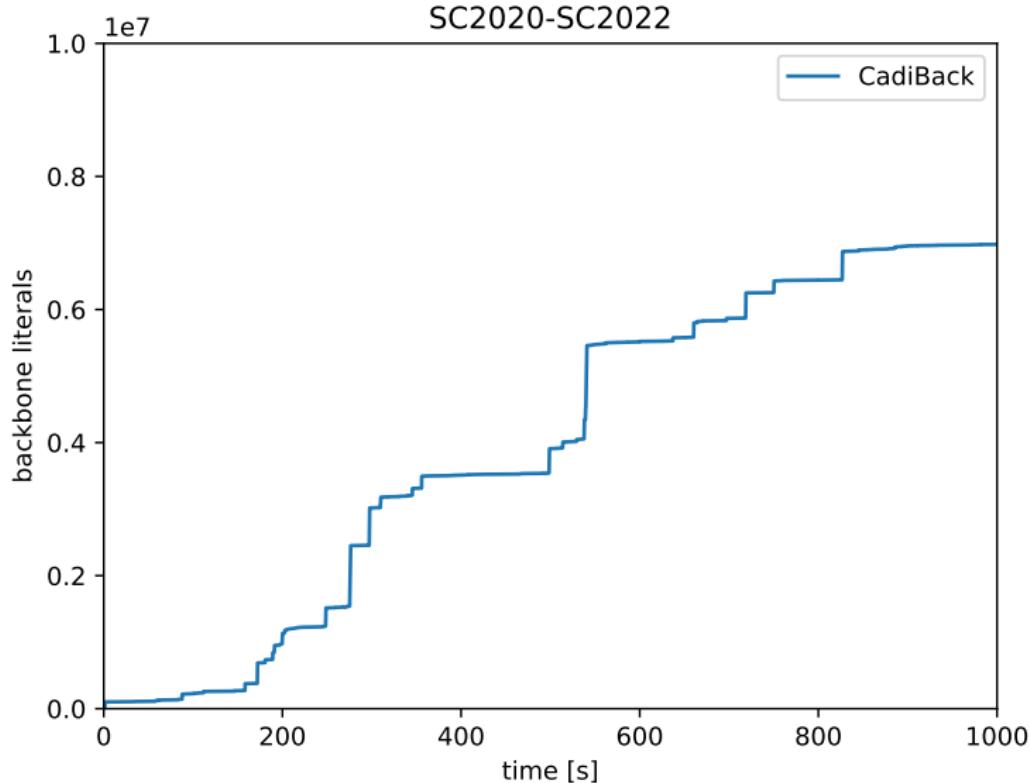


1798 satisfiable instances from SAT competitions 2004 – 2022

"How quickly do you find the majority of these backbones. I would like to use this as preprocessing for model counting."

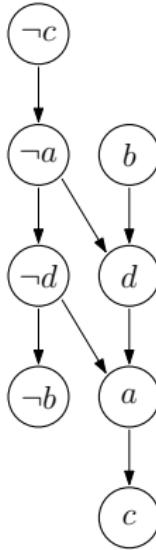
- Johannes Fichte  
A bit after this paper was submitted

## Backbone literals found over time



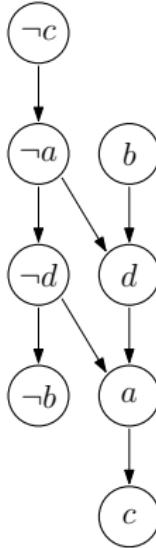
# Focusing on the BIG

## Binary Implication Graph



- $V = \mathcal{L}$
- $E = \{(\neg u, v), (\neg v, u) \mid (u, v) \in \mathcal{F}\}$
- Symmetric (Contraposition)

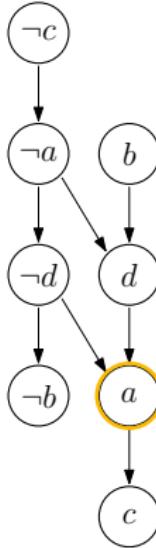
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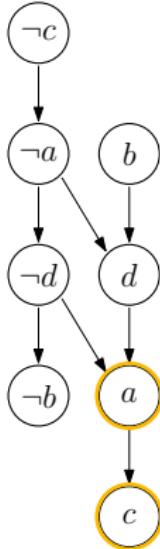
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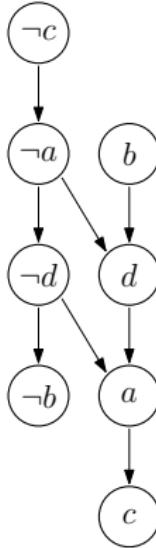
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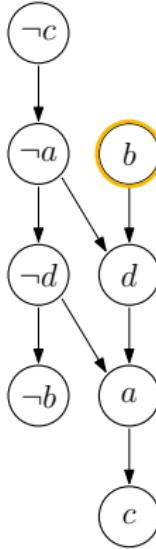
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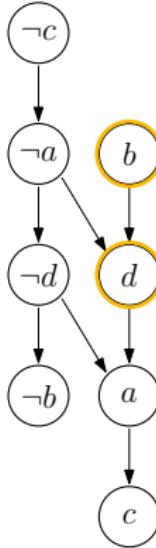
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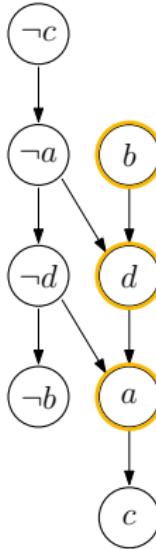
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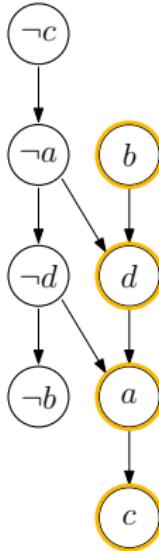
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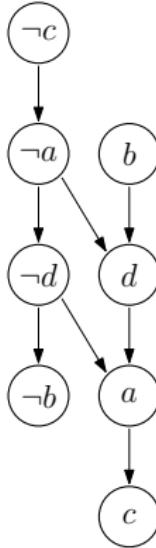
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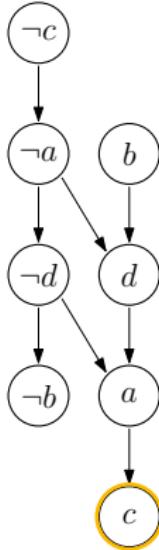
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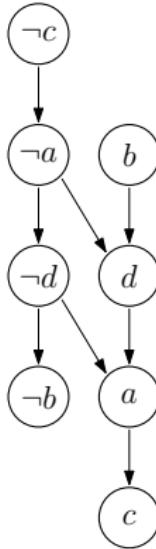
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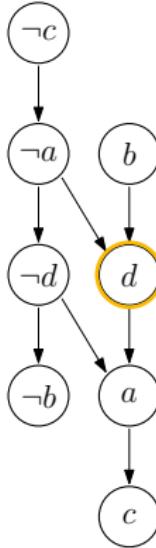
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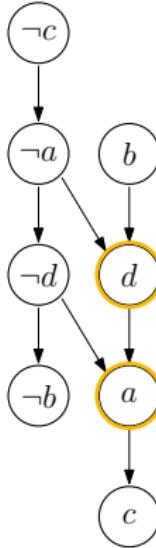
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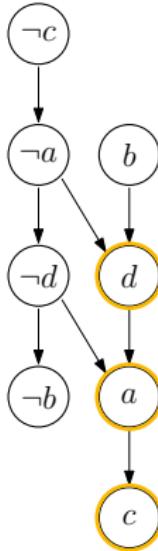
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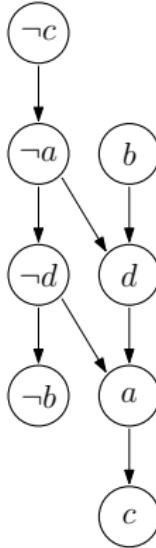
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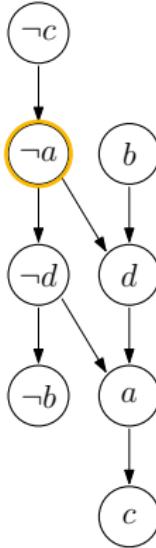
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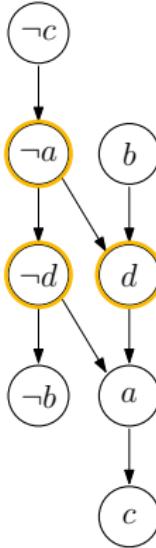
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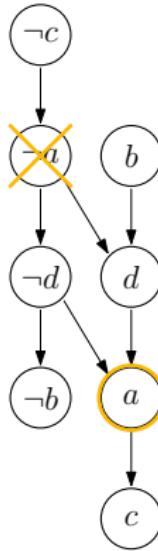
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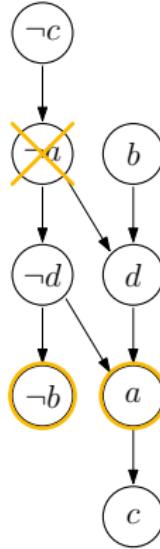
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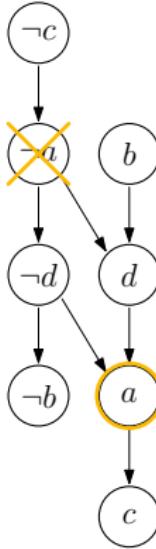
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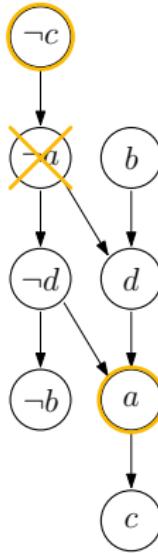
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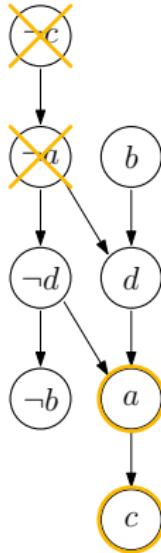
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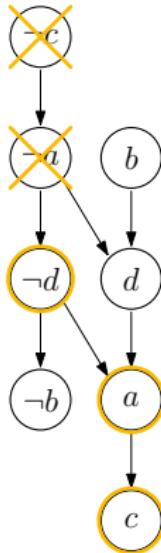
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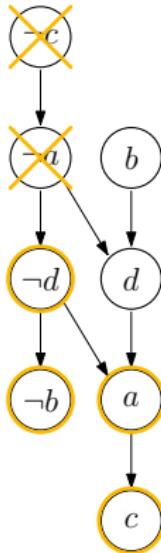
## Focusing on the BIG



$$\Lambda = \frac{a \quad b \quad c \quad d}{\neg a \quad \neg b \quad \neg c \quad \neg d}$$

```
1    $\mathcal{B} \leftarrow \emptyset, \quad \Lambda \leftarrow \mathcal{L}$ 
2   while  $\Lambda \neq \emptyset$ 
3      $\ell \leftarrow$  pick literal from  $\Lambda$ 
4      $\sigma \leftarrow \{k \mid \mathcal{F}_{|\ell} \vdash_1 k\}$ 
5     if  $\ell \notin \sigma$  then // satisfiable
6        $\Lambda \leftarrow \Lambda \setminus \{\ell\}$ 
7     else           // unsatisfiable
8        $\mathcal{B} \leftarrow \mathcal{B} \cup \{\ell\} \quad \varphi = \varphi \wedge \ell$ 
9        $\Lambda \leftarrow \Lambda \setminus \{\ell\}$ 
10  return  $\mathcal{B}$ 
```

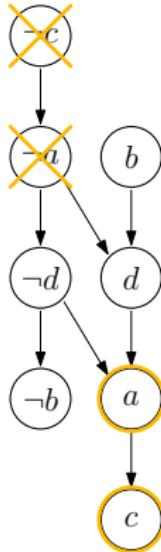
## Focusing on the BIG



$$\Lambda = \frac{a}{\neg a} \frac{b}{\neg b} \frac{c}{\neg c} \frac{d}{\neg d}$$

```
1    $\mathcal{B} \leftarrow \emptyset, \quad \Lambda \leftarrow \mathcal{L}$ 
2   while  $\Lambda \neq \emptyset$ 
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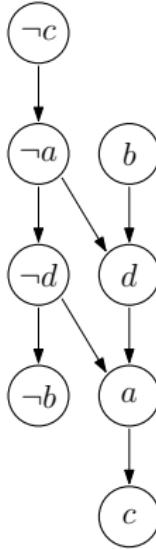
## Focusing on the BIG



$$\Lambda = \frac{a}{\neg a} \frac{b}{\neg b} \frac{c}{\neg c} \frac{d}{\neg d}$$

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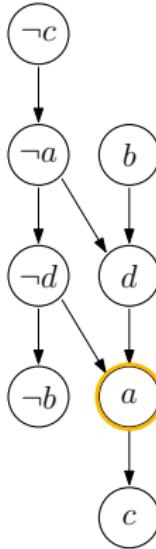
# Keep Assignment Big Backbone



$$\Lambda = \begin{matrix} a & b & c & d \\ \neg a & \neg b & \neg c & \neg d \end{matrix}$$

```
1    $\mathcal{B} \leftarrow \emptyset, \quad \Lambda \leftarrow \mathcal{L}$ 
2   while  $\Lambda \neq \emptyset$ 
3      $\sigma \leftarrow \mathcal{B}, \quad \Delta \leftarrow \emptyset$ 
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10         $\mathcal{B} \leftarrow \mathcal{B} \cup \{\neg \ell\}$ 
11         $\Delta \leftarrow \Delta \cup \mathcal{B} \cup \neg \mathcal{B}$ 
12         $\sigma \leftarrow \sigma \cup \mathcal{B}$ 
13      else  $\sigma \leftarrow \sigma'$ 
14       $\Lambda \leftarrow \Lambda \setminus \Delta$ 
15    return  $\mathcal{B}$ 
```

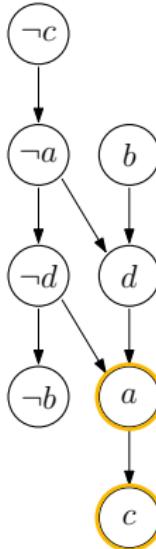
# Keep Assignment Big Backbone



$$\Lambda = \begin{array}{cccc} a & b & c & d \\ \neg a & \neg b & \neg c & \neg d \end{array}$$

```
1    $\mathcal{B} \leftarrow \emptyset, \quad \Lambda \leftarrow \mathcal{L}$ 
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14     $\Lambda \leftarrow \Lambda \setminus \Delta$ 
15  return  $\mathcal{B}$ 
```

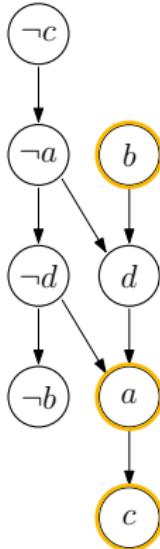
## Keep Assignment Big Backbone



$$\Lambda = \begin{matrix} a \\ \neg a \end{matrix} \begin{matrix} b \\ \neg b \end{matrix} \begin{matrix} c \\ \neg c \end{matrix} \begin{matrix} d \\ \neg d \end{matrix}$$

```
1    $\mathcal{B} \leftarrow \emptyset, \quad \Lambda \leftarrow \mathcal{L}$ 
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3      $\sigma \leftarrow \mathcal{B}, \quad \Delta \leftarrow \emptyset$ 
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```

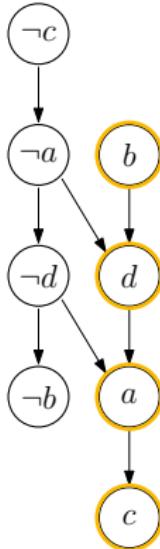
# Keep Assignment Big Backbone



$$\Lambda = \begin{matrix} & a & b & c & d \\ \neg a & \neg b & \neg c & \neg d \end{matrix}$$

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1    $\mathcal{B} \leftarrow \emptyset, \quad \Lambda \leftarrow \mathcal{L}$ 
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13      else  $\sigma \leftarrow \sigma'$ 
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15  return  $\mathcal{B}$ 
```

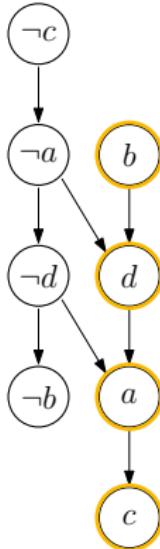
# Keep Assignment Big Backbone



$$\Lambda = \neg a \quad \neg b \quad \neg c \quad \neg d$$

```
1    $\mathcal{B} \leftarrow \emptyset, \quad \Lambda \leftarrow \mathcal{L}$ 
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13      else  $\sigma \leftarrow \sigma'$ 
14     $\Lambda \leftarrow \Lambda \setminus \Delta$ 
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```

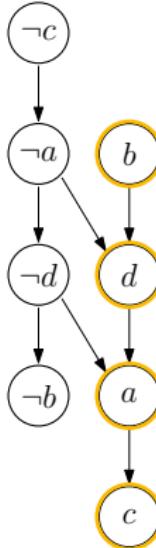
# Keep Assignment Big Backbone



$$\Lambda = \neg a \neg b \neg c \neg d$$

```
1    $\mathcal{B} \leftarrow \emptyset, \quad \Lambda \leftarrow \mathcal{L}$ 
2   while  $\Lambda \neq \emptyset$ 
3      $\sigma \leftarrow \mathcal{B}, \quad \Delta \leftarrow \emptyset$ 
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```

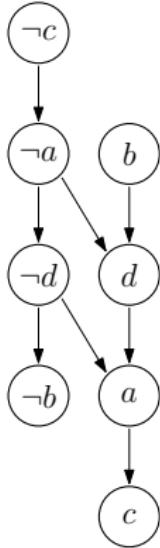
# Keep Assignment Big Backbone



$$\Lambda = \neg a \neg b \neg c \neg d$$

```
1    $\mathcal{B} \leftarrow \emptyset, \quad \Lambda \leftarrow \mathcal{L}$ 
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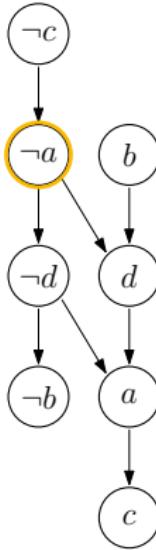
# Keep Assignment Big Backbone



$$\Lambda = \neg a \text{ } \color{orange} \neg b \text{ } \color{orange} \neg c \text{ } \color{orange} \neg d$$

```
1    $\mathcal{B} \leftarrow \emptyset, \quad \Lambda \leftarrow \mathcal{L}$ 
2   while  $\Lambda \neq \emptyset$ 
3      $\sigma \leftarrow \mathcal{B}, \quad \Delta \leftarrow \emptyset$ 
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12         $\sigma \leftarrow \sigma \cup \mathcal{B}$ 
13      else  $\sigma \leftarrow \sigma'$ 
14     $\Lambda \leftarrow \Lambda \setminus \Delta$ 
15  return  $\mathcal{B}$ 
```

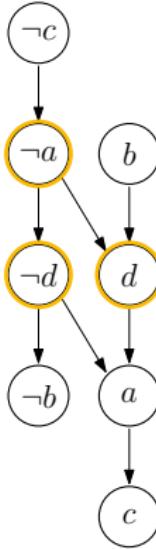
# Keep Assignment Big Backbone



$$\Lambda = \neg a \quad \textcolor{blue}{b} \quad \textcolor{red}{c} \quad \textcolor{blue}{d}$$

```
1    $\mathcal{B} \leftarrow \emptyset, \quad \Lambda \leftarrow \mathcal{L}$ 
2   while  $\Lambda \neq \emptyset$ 
3      $\sigma \leftarrow \mathcal{B}, \quad \Delta \leftarrow \emptyset$ 
4     for  $\ell \in \Lambda //$  next candidate
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15  return  $\mathcal{B}$ 
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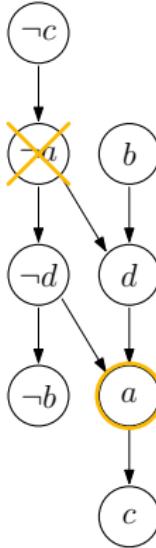
## Keep Assignment Big Backbone



$$\Lambda = \neg a \ \textcolor{blue}{\neg b} \ \textcolor{red}{\neg c} \ \textcolor{brown}{\neg d}$$

```
1    $\mathcal{B} \leftarrow \emptyset, \quad \Lambda \leftarrow \mathcal{L}$ 
2   while  $\Lambda \neq \emptyset$ 
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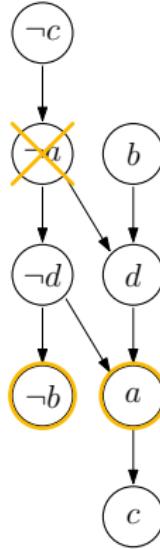
## Keep Assignment Big Backbone



$$\Lambda = \neg \textcolor{blue}{a} \textcolor{red}{b} \textcolor{green}{c} \textcolor{orange}{d}$$

```
1    $\mathcal{B} \leftarrow \emptyset, \quad \Lambda \leftarrow \mathcal{L}$ 
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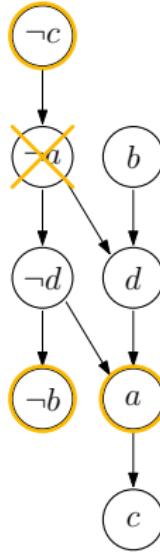
# Keep Assignment Big Backbone



$$\Lambda = \frac{a}{\neg a} \frac{b}{\neg b} \frac{c}{\neg c} \frac{d}{\neg d}$$

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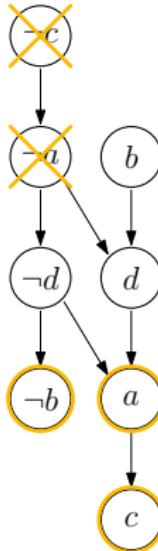
# Keep Assignment Big Backbone



$$\Lambda = \begin{matrix} a & b & c & d \\ \neg a & \neg b & \neg c & \neg d \end{matrix}$$

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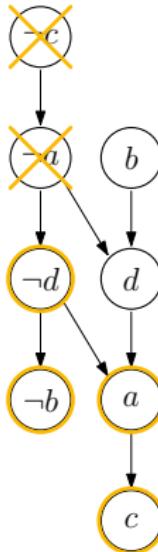
# Keep Assignment Big Backbone



$$\Lambda = \begin{array}{cccc} a & b & c & d \\ \neg a & \neg b & \neg c & \neg d \end{array}$$

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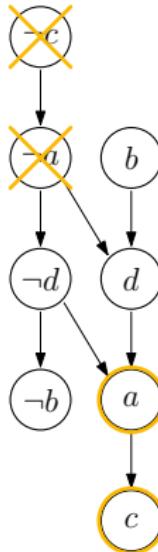
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## Keep Assignment Big Backbone



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```

## Only Sound on 2-CNF

$$(\neg a \vee \neg b \vee \neg c) \wedge (\neg a \vee \neg b \vee c)$$

$$\Lambda = a, b, \dots$$

Models

$$(\neg a \wedge b \wedge c), (a \wedge \neg b \vee \neg c), \dots$$

```
1    $\mathcal{B} \leftarrow \emptyset, \quad \Lambda \leftarrow \mathcal{L}$ 
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```

## Only Sound on 2-CNF

$$(\neg a \vee \neg b \vee \neg c) \wedge (\neg a \vee \neg b \vee c)$$

$$\Lambda = a, b, \dots$$

Models

$$(\neg a \wedge b \wedge c), (a \wedge \neg b \vee \neg c), \dots$$

```
1    $\mathcal{B} \leftarrow \emptyset, \quad \Lambda \leftarrow \mathcal{L}$ 
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12          $\sigma \leftarrow \sigma \cup \mathcal{B}$ 
13       else  $\sigma \leftarrow \sigma'$ 
14      $\Lambda \leftarrow \Lambda \setminus \Delta$ 
15   return  $\mathcal{B}$ 
```

## Only Sound on 2-CNF

$$(\neg \textcolor{blue}{a} \vee \neg \textcolor{red}{b} \vee \neg c) \wedge (\neg \textcolor{blue}{a} \vee \neg \textcolor{red}{b} \vee c)$$

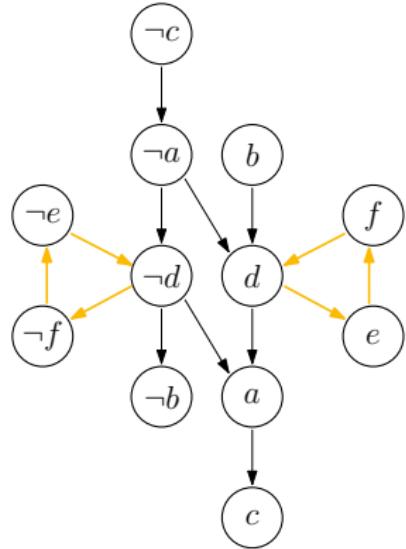
$$\Lambda = a, b, \dots$$

Models

$$(\neg a \wedge b \wedge c), (a \wedge \neg b \vee \neg c), \dots$$

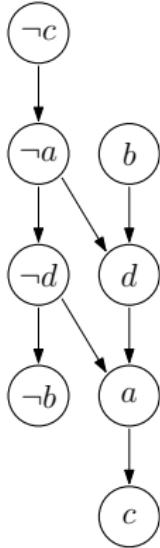
```
1    $\mathcal{B} \leftarrow \emptyset, \quad \Lambda \leftarrow \mathcal{L}$ 
2   while  $\Lambda \neq \emptyset$ 
3      $\sigma \leftarrow \mathcal{B}, \quad \Delta \leftarrow \emptyset$ 
4     for  $\ell \in \Lambda //$  next candidate
5       if  $\neg \ell \in \sigma$  continue
6        $\Delta \leftarrow \Delta \cup \{\ell\}$ 
7       if  $\ell \in \sigma$  continue
8        $\sigma' \leftarrow \{k \mid \mathcal{F}_{|\sigma \wedge \ell} \vdash_1 k\}$ 
9       if  $\ell \in \sigma'$  then
10         $\mathcal{B} \leftarrow \mathcal{B} \cup \{\neg \ell\}$ 
11         $\Delta \leftarrow \Delta \cup \mathcal{B} \cup \neg \mathcal{B}$ 
12         $\sigma \leftarrow \sigma \cup \mathcal{B}$ 
13      else  $\sigma \leftarrow \sigma'$ 
14       $\Lambda \leftarrow \Lambda \setminus \Delta$ 
15    return  $\mathcal{B}$ 
```

# Equivalent Literal Substitution



```
1    $\mathcal{B} \leftarrow \emptyset, \quad \Lambda \leftarrow \mathcal{L}$ 
2   while  $\Lambda \neq \emptyset$ 
3      $\sigma \leftarrow \mathcal{B}, \quad \Delta \leftarrow \emptyset$ 
4     for  $\ell \in \Lambda //$  next candidate
5       if  $\neg \ell \in \sigma$  continue
6        $\Delta \leftarrow \Delta \cup \{\ell\}$ 
7       if  $\ell \in \sigma$  continue
8        $\sigma' \leftarrow \{k \mid \mathcal{F}_{|\sigma \wedge \ell} \vdash_1 k\}$ 
9       if  $\ell \in \sigma'$  then
10          $\mathcal{B} \leftarrow \mathcal{B} \cup \{\neg \ell\}$ 
11          $\Delta \leftarrow \Delta \cup \mathcal{B} \cup \neg \mathcal{B}$ 
12          $\sigma \leftarrow \sigma \cup \mathcal{B}$ 
13       else  $\sigma \leftarrow \sigma'$ 
14      $\Lambda \leftarrow \Lambda \setminus \Delta$ 
15   return  $\mathcal{B}$ 
```

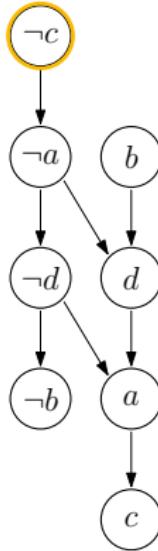
## Roots Only



$$\Lambda = \neg c, b$$

```
1    $\mathcal{B} \leftarrow \emptyset, \quad \Lambda \leftarrow \mathcal{L}$ 
2   while  $\Lambda \neq \emptyset$ 
3      $\sigma \leftarrow \mathcal{B}, \quad \Delta \leftarrow \emptyset$ 
4     for  $\ell \in \Lambda //$  next candidate
5       if  $\neg \ell \in \sigma$  continue
6        $\Delta \leftarrow \Delta \cup \{\ell\}$ 
7       if  $\ell \in \sigma$  continue
8        $\sigma' \leftarrow \{k \mid \mathcal{F}_{|\sigma \wedge \ell} \vdash_1 k\}$ 
9       if  $\ell \in \sigma'$  then
10         $\mathcal{B} \leftarrow \mathcal{B} \cup \{\neg \ell\}$ 
11         $\Delta \leftarrow \Delta \cup \mathcal{B} \cup \neg \mathcal{B}$ 
12         $\sigma \leftarrow \sigma \cup \mathcal{B}$ 
13      else  $\sigma \leftarrow \sigma'$ 
14     $\Lambda \leftarrow \Lambda \setminus \Delta$ 
15  return  $\mathcal{B}$ 
```

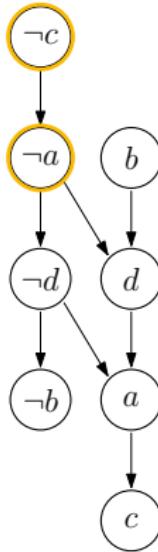
## Search Order



$$\Lambda = \neg c, b$$

```
1    $\mathcal{B} \leftarrow \emptyset, \quad \Lambda \leftarrow \mathcal{L}$ 
2   while  $\Lambda \neq \emptyset$ 
3      $\sigma \leftarrow \mathcal{B}, \quad \Delta \leftarrow \emptyset$ 
4     for  $\ell \in \Lambda //$  next candidate
5       if  $\neg \ell \in \sigma$  continue
6        $\Delta \leftarrow \Delta \cup \{\ell\}$ 
7       if  $\ell \in \sigma$  continue
8        $\sigma' \leftarrow \{k \mid \mathcal{F}_{|\sigma \wedge \ell} \vdash_1 k\}$ 
9       if  $\ell \in \sigma'$  then
10         $\mathcal{B} \leftarrow \mathcal{B} \cup \{\neg \ell\}$ 
11         $\Delta \leftarrow \Delta \cup \mathcal{B} \cup \neg \mathcal{B}$ 
12         $\sigma \leftarrow \sigma \cup \mathcal{B}$ 
13      else  $\sigma \leftarrow \sigma'$ 
14     $\Lambda \leftarrow \Lambda \setminus \Delta$ 
15  return  $\mathcal{B}$ 
```

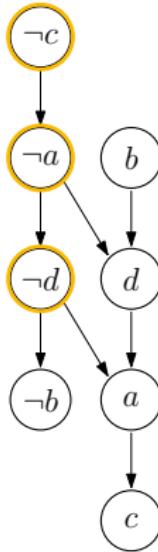
## Search Order



$$\Lambda = \neg c, b$$

```
1    $\mathcal{B} \leftarrow \emptyset, \quad \Lambda \leftarrow \mathcal{L}$ 
2   while  $\Lambda \neq \emptyset$ 
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6        $\Delta \leftarrow \Delta \cup \{\ell\}$ 
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13      else  $\sigma \leftarrow \sigma'$ 
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```

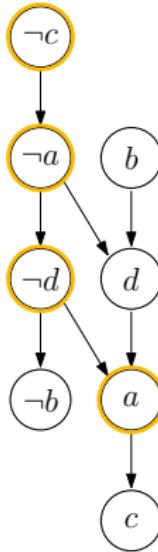
## Search Order



$$\Lambda = \neg c, b$$

```
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11         $\Delta \leftarrow \Delta \cup \mathcal{B} \cup \neg \mathcal{B}$ 
12         $\sigma \leftarrow \sigma \cup \mathcal{B}$ 
13      else  $\sigma \leftarrow \sigma'$ 
14     $\Lambda \leftarrow \Lambda \setminus \Delta$ 
15  return  $\mathcal{B}$ 
```

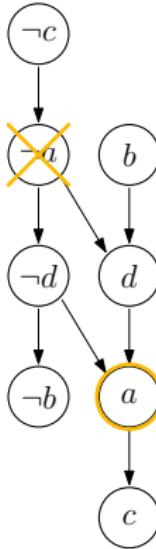
## Search Order



$$\Lambda = \neg c, b$$

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1    $\mathcal{B} \leftarrow \emptyset, \quad \Lambda \leftarrow \mathcal{L}$ 
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12         $\sigma \leftarrow \sigma \cup \mathcal{B}$ 
13      else  $\sigma \leftarrow \sigma'$ 
14     $\Lambda \leftarrow \Lambda \setminus \Delta$ 
15  return  $\mathcal{B}$ 
```

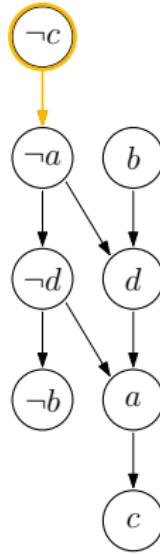
## Search Order



$$\Lambda = \neg c, b$$

```
1    $\mathcal{B} \leftarrow \emptyset, \quad \Lambda \leftarrow \mathcal{L}$ 
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9       if  $\ell \in \sigma'$  then
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11         $\Delta \leftarrow \Delta \cup \mathcal{B} \cup \neg \mathcal{B}$ 
12         $\sigma \leftarrow \sigma \cup \mathcal{B}$ 
13      else  $\sigma \leftarrow \sigma'$ 
14     $\Lambda \leftarrow \Lambda \setminus \Delta$ 
15  return  $\mathcal{B}$ 
```

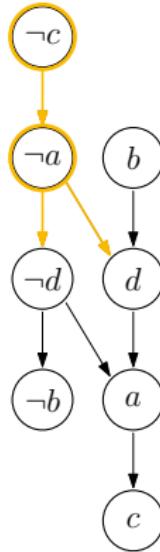
# Unique Implication Point



$$\Lambda = \neg c, b$$

```
1    $\mathcal{B} \leftarrow \emptyset, \quad \Lambda \leftarrow \mathcal{L}$ 
2   while  $\Lambda \neq \emptyset$ 
3      $\sigma \leftarrow \mathcal{B}, \quad \Delta \leftarrow \emptyset$ 
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11         $\Delta \leftarrow \Delta \cup \mathcal{B} \cup \neg \mathcal{B}$ 
12         $\sigma \leftarrow \sigma \cup \mathcal{B}$ 
13      else  $\sigma \leftarrow \sigma'$ 
14     $\Lambda \leftarrow \Lambda \setminus \Delta$ 
15  return  $\mathcal{B}$ 
```

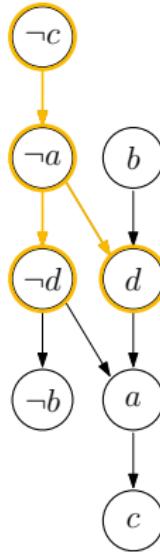
# Unique Implication Point



$$\Lambda = \neg c, b$$

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```

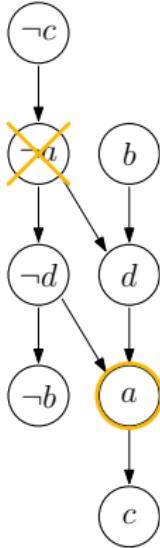
# Unique Implication Point



$$\Lambda = \neg c, b$$

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11         $\Delta \leftarrow \Delta \cup \mathcal{B} \cup \neg \mathcal{B}$ 
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15  return  $\mathcal{B}$ 
```

# Unique Implication Point



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```
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11         $\Delta \leftarrow \Delta \cup \mathcal{B} \cup \neg \mathcal{B}$ 
12         $\sigma \leftarrow \sigma \cup \mathcal{B}$ 
13      else  $\sigma \leftarrow \sigma'$ 
14     $\Lambda \leftarrow \Lambda \setminus \Delta$ 
15  return  $\mathcal{B}$ 
```

	backbone		KB3	
	BFS	DFS	BFS	DFS
Base	21 136	21 287	648	728
ELS	20 524	20 757	<b>640</b>	733
ELS+Roots	18 164	18 756	644	721
ELS+Roots+UIP			822	

- Total time in seconds on 1798 instances
- ELS takes 51 seconds

## Anytime advantage with KB3

