

Practical Aspects of Dependency Schemes in QBF Solving

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Questions to be Discussed:

- What is QBF? Propositional logic with quantification.
- How to solve a QBF? Our focus: backtracking search.
- What makes it difficult?
Structural property of QBFs: dependent variables.
- Theoretical solution? Identifying variable independence.
- Practical solution?
Combining backtracking search with dependency schemes.
- Observable effects? Experiments.
- Conclusions and open problems.

Propositional Logic (SAT):

- Our focus: formulae in conjunctive normal form (CNF).
- Boolean variables $V := \{x_1, \dots, x_n\}$, literals $l := v$ or $l := \bar{v}$ for $v \in V$.
- Clauses $C_i := (l_1 \vee \dots \vee l_{k_i})$, CNF $\phi := \bigwedge_{i=1}^n C_i$.

Quantified Boolean Formulae (QBF):

- Prenex CNF: quantifier-free CNF over quantified Boolean variables.
- PCNF $F := Q_1 x_1 \dots Q_n x_n. \phi$, where $Q_i \in \{\exists, \forall\}$ (i.e. no free variables).
- $Q_i x_i \leq Q_{i+1} x_{i+1}$: variables are linearly ordered.
- *Prefix order limits freedom in QBF solving (to be continued!).*

Example

A CNF: $(x \vee \bar{y}) \wedge (\bar{x} \vee y)$, and a PCNF: $\forall x \exists y. (x \vee \bar{y}) \wedge (\bar{x} \vee y)$.

QBF Applications:

- Compact encodings in verification e.g. bounded model checking (BMC).

Solving QBF by Backtracking Search:

- QDPLL: based on DPLL algorithm for SAT.
- PCNF $Q_1x_1 \dots Q_nx_n. \phi$: must branch on variables in prefix order.
 - $\exists a \forall x, y \exists b. \phi$: branching on b possible by prefix order only if x, y assigned.

Respecting Prefix Order is Crucial:

Example

- $\forall x \exists y. (x = y)$ is satisfiable: value of y *depends* on value of x .
- $\exists y \forall x. (x = y)$ is unsatisfiable: value of y is fixed for all values of x .
- $\forall x \exists y. (x = y)$: branching on y before x was assigned is unsound!

Can Prefix Order be Relaxed to Increase Freedom?

- Set of branching variables depends on prefix order.
- Theoretically: can we go from linear to *partial order* on the variables?
- Partial order R : $(x, y) \notin R$ allows arbitrary assignment order of x, y .

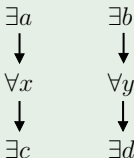
Independence of Variables: different assignment orders preserve result.

Dependency Schemes: $D \subseteq (V_{\exists} \times V_{\forall}) \cup (V_{\forall} \times V_{\exists})$.

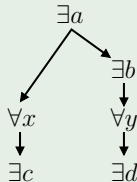
- PCNF-based binary relations based on QBF semantics.
- Conservative (i.e. sound) over-approximations of full independence.
 - $(x, y) \notin D$: y independent from x .
 - $(x, y) \in D$: *conservatively* regard y as depending on x .
- Trivial (D^{triv}), standard dependency scheme (D^{std}), quantifier trees (D^{tree}).

Example

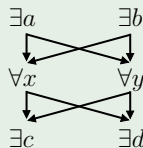
$\exists a, b \forall x, y \exists c, d. (a \vee x \vee c) \wedge (a \vee b) \wedge (b \vee d) \wedge (y \vee d)$.



D^{std}



D^{tree}



D^{triv}

Improvements Over Prefix: $D^{\text{std}} \subseteq D^{\text{tree}} \subseteq D^{\text{triv}}$ (theoretically).

```

State qdpll ()
while (true)
  State s = bcp ();
  if (s == UNDEF)
    // Make decision.
    v = select_branch_var ();
    assign_branch_var (v);
  else
    // Conflict or solution.
    // s == UNSAT or s == SAT.
    btlevel = analyze_leaf (s);
    if (btlevel == INVALID)
      return s;
    else
      backtrack (btlevel);

DecLevel analyze_leaf (State s)
  R = get_initial_reason (s);
  // s == UNSAT: 'R' is empty clause.
  // s == SAT: 'R' is sat. cube...
  // ..or new cube from assignment.
  while (!stop_res (R))
    p = get_pivot (R);
    A = get_antecedent (p);
    R = constraint_res (R, p, A);
    add_to_formula (R);
    assign_forced_lit (R);
  return get_asserting_level (R);

```

Figure: QDPLL with conflict-driven clause and solution-driven cube learning.

Backtracking Search with Constraint Learning:

- Classical QDPLL based on quantifier prefix, i.e. D^{triv} .
- `bcp`: propagate implied (i.e. necessary) assignments.
- `select_branch_var`: branching.
- `analyze_leaf`: add learned constraint produced by Q-resolution.

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Replacing D^{triv} with Arbitrary Partial Order $D \subseteq D^{\text{triv}}$:

- Same basic framework: considering D as a parameter of QDPLL.
- Only change: representation of D for dependency checking.
- Expecting more implications, shorter learned constraints.
- Expecting more freedom for selecting branching variables.

Given dependency scheme D for PCNF F . Write $x \prec y$ if $(x, y) \in D$.

Definition (Unit Clause Rule)

A clause $C \in F$ is *unit* under a partial assignment iff

- no literal $l \in C$ is assigned true,
- exactly one existential literal $l_e \in L_{\exists}(C)$ is unassigned,
- for all unassigned universal literals $l_u \in L_{\forall}(C)$: $l_u \not\prec l_e$.

If C is unit then assigning l_e to true is necessary for F -satisfiability.

Example

$\exists x \forall a \exists y, z. \phi' \wedge (x \vee a \vee y \vee z)$.

Assign \bar{x}, \bar{y} : $\exists x \forall a \exists y, z. \phi' \wedge (x \vee a \vee y \vee z)$.

Given D^{triv} from prefix: $(x \vee a \vee y \vee z)$ *not* unit since $a \prec z$.

Given $D \subseteq D^{\text{triv}}$ where $a \not\prec z$: $(x \vee a \vee y \vee z)$ unit.

Practical Effect: expecting more units when using $D \subseteq D^{\text{triv}}$.

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Constraint Reduction (CR): universal reduction of clauses.

- Delete literals of universally quantified variables from clauses.

Definition (Universal Reduction of Clauses)

A universal literal $l_u \in L_{\forall}(C)$ can be deleted from a clause $C \in F$ iff

- there is no existential $l_e \in L_{\exists}(C)$ with $l_u \prec l_e$.

The result of saturated universal reduction is denoted by $CR(C)$.

Example

$\exists x \forall a \exists y. \phi' \wedge (x \vee a \vee y)$.

Given D^{triv} from prefix: a is irreducible in $(x \vee a \vee y)$ since $a \prec y$.

Given $D \subseteq D^{\text{triv}}$ where $a \not\prec y$: a is reducible in $(x \vee a \vee y)$, yielding $(x \vee y)$.

CR and Unit Literals: unit literal rule applies CR implicitly.

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Constraint Resolution: Q-resolution for clauses.

- Combining propositional resolution with constraint reduction (CR).
- Learning: heuristically add Q-resolvents to cut off parts of search space.

Definition (Q-resolution for Clauses)

Let C_1, C_2 be clauses with $v \in L_{\exists}(C_1), \bar{v} \in L_{\exists}(C_2)$.

- 1 $C' := (CR(C_1) \cup CR(C_2)) \setminus \{v, \bar{v}\}$.
- 2 If $\{x, \bar{x}\} \subseteq C'$ for some variable x then no Q-resolvent exists.
- 3 Otherwise, Q-resolvent $C := CR(C')$ of C_1 and C_2 on v : $\{C_1, C_2\} \vdash_v C$.

Example

$\exists x \forall a \exists y, z. \phi' \wedge (x \vee a \vee y \vee z) \wedge (x \vee a \vee y \vee \bar{z}) \wedge (x \vee \bar{a} \vee \bar{y} \vee z)$.

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Practical Effect: enabling “blocked” resolution steps when using $D \subseteq D^{\text{triv}}$.

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Practical Effect: enabling “blocked” resolution steps when using $D \subseteq D^{\text{triv}}$.

DepQBF: Implementing QDPLL with D^{std}

- Top-ranked solver in QBFEVAL'10.
- Compact representation of D^{std} as dependency-DAG.
- Strategies from SAT solving: restarts, assignment caching,...

	<i>All</i>		<i>Solved SAT</i>		<i>Solved UNSAT</i>	
	<i>solved</i>	<i>avg.time</i>	<i>solved</i>	<i>avg.time</i>	<i>solved</i>	<i>avg.time</i>
QuBE7.0-pre \Rightarrow DepQBF	424	254.23	197	48.17	227	23.42
QuBE7.0	414	310.29	187	130.52	227	58.33

QBFEVAL'10 main track (568 formulae). DepQBF uses preprocessor integrated in QuBE7.0.

<i>QBFEVAL'08 (solved only)</i>						
	$D^{\text{triv}} \cap D^{\text{tree}}$		$D^{\text{triv}} \cap D^{\text{std}}$		$D^{\text{tree}} \cap D^{\text{std}}$	
<i>solved</i>	1172		1196		1206	
<i>time</i>	23.15	26.68	23.73	25.93	25.63	22.37
<i>implied/assigned</i>	90.4%	90.7%	88.6%	90.5%	90.9%	92.1%
<i>backtracks</i>	32431	27938	34323	31085	25106	26136
<i>learnt constr. size</i>	157	99	150	96	102	95

Observed effects of $D^{\text{std}} \subseteq D^{\text{tree}} \subseteq D^{\text{triv}}$ in DepQBF. Comparing intersections of solved formulae.

Drawbacks of Prenex CNF:

- Linear quantifier order limits freedom of QBF decision procedures.

Dependency Schemes:

- Expressing variable independence based on QBF semantics.
- From linear to partial orders on variables: increased freedom.

Practical Effects:

- Independence allows (implicit) deletion of literals from clauses.
- Shorter Q-resolvents: more unit clauses.
- (Skipped: similar effects for cube learning).
- Combining QDPLL with D^{std} in DepQBF: efficient despite of overhead.

Open Problems and Future Work:

- Theoretical results related to QDPLL with $D \subseteq D^{\text{triv}}$.
- Applying more powerful dependency schemes than D^{std} .

DepQBF 0.1 is open source: <http://fmv.jku.at/depqbf/>



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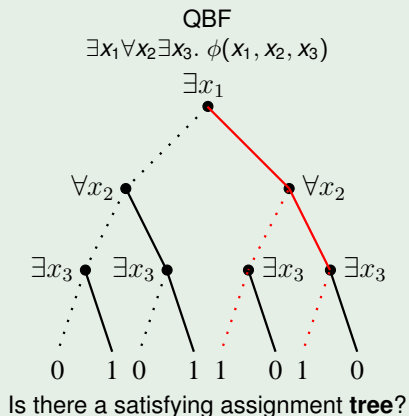
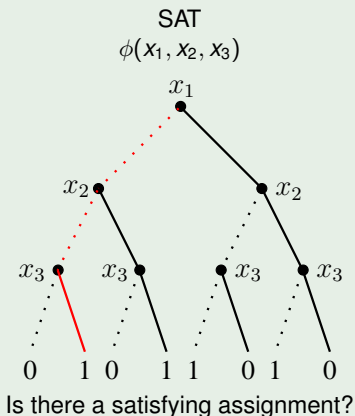
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Decision Problems: NP-complete for SAT vs. PSPACE-complete for QBF.

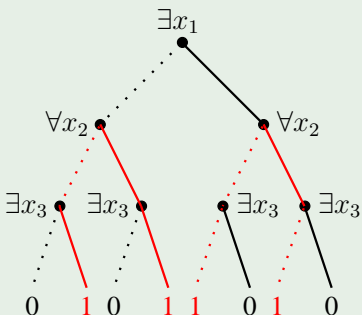
Example



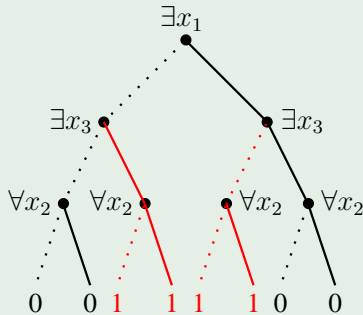
Semantical Definition:

- Given $\forall x \leq \exists y$: y independent from x if value change of x does **not** force value change of y .

Example ($\exists x_1 \forall x_2 \exists x_3. \phi(x_1, x_2, x_3)$)



Value of $\exists x_3$ independent from $\forall x_2$.



Can assign $\exists x_3$ **before** $\forall x_2$, although $\forall x_2 \leq \exists x_3$ in prefix order.

- Problem: how to detect independence **efficiently**? (PSPACE-complete!)